

# ESP

Evidence Synthesis Program

## **Evidence Brief: Detection and Treatment of Dental Problems on Chronic Disease Outcomes**

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# Acknowledgements

The review team developed the report's scope, study questions, and methodology in consultation with the Operational Partners (*ie*, topic nominators). Broad expertise and perspectives were sought. Divergent and conflicting opinions are common and perceived as healthy scientific discourse. Therefore, in the end, study questions, design, methodologic approaches, and/or conclusions do not necessarily represent the views of individual technical and content experts.

The authors gratefully acknowledge the following individuals for their contributions to this project:

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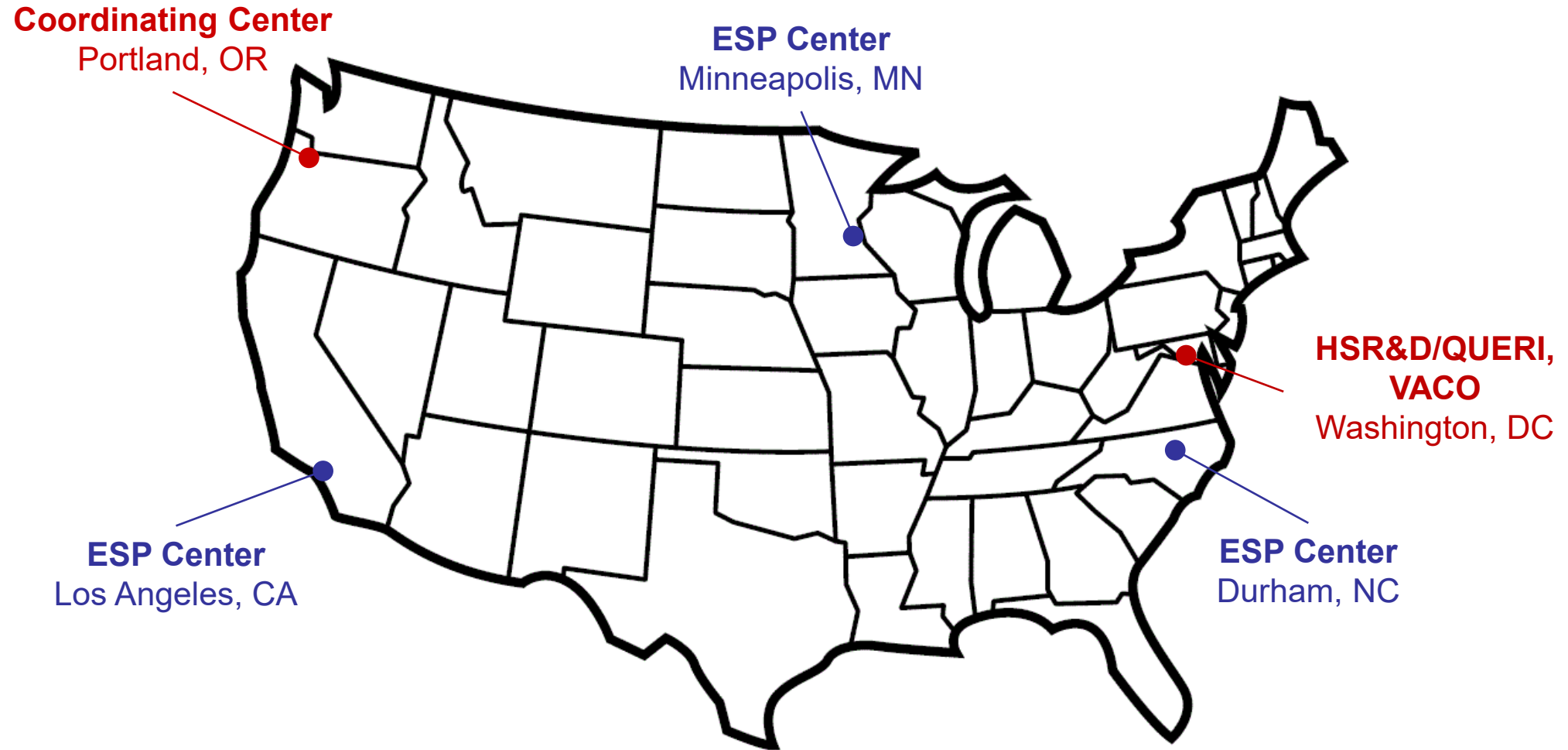
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- Established in 2007
- Provides tailored, timely, and accurate evidence syntheses of VA-relevant, Veteran-focused healthcare topics. These reports help:
  - Develop clinical policies informed by evidence;
  - Implement effective services and support VA clinical practice guidelines and performance measures; and
  - Set the direction for future research to address gaps in clinical knowledge.
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  - Directors are VA clinicians, recognized leaders in the field of evidence synthesis, and have close ties to the AHRQ Evidence-based Practice Center Program and Cochrane Collaboration
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# ESP Center Locations





## Evidence Brief: Detection and Treatment of Dental Problems on Chronic Disease Outcomes

February 2021

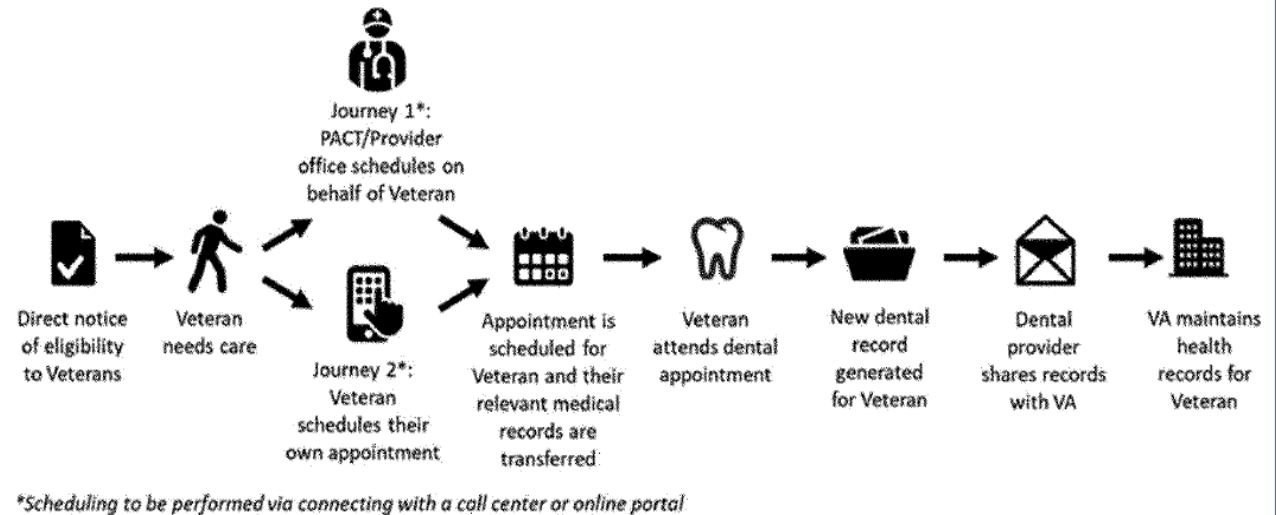
Full-length report available on ESP website:

<http://www.hsrd.research.va.gov/publications/esp/reports.cfm>

# Background on VIC Care Coordination for Dental Benefits Program

- Only 8% of Veterans have a dental issue that is service-connected or meet other criteria required to receive dental care through the VA.
- In 2019, VA initiated the Veterans Innovation Center (VIC) Care Coordination for Dental Benefits program.
- Through this program, administrative staff are empowered to coordinate care for Veterans who need dental services.
- Goal is to increase Veteran access to dental health care by connecting them with community-based, pro bono, or discounted dental service providers.

Figure 1: Care Coordination for Dental Benefits (CCDB) Journey Map



<https://www.govinfo.gov/content/pkg/FR-2019-12-13/pdf/2019-26901.pdf>

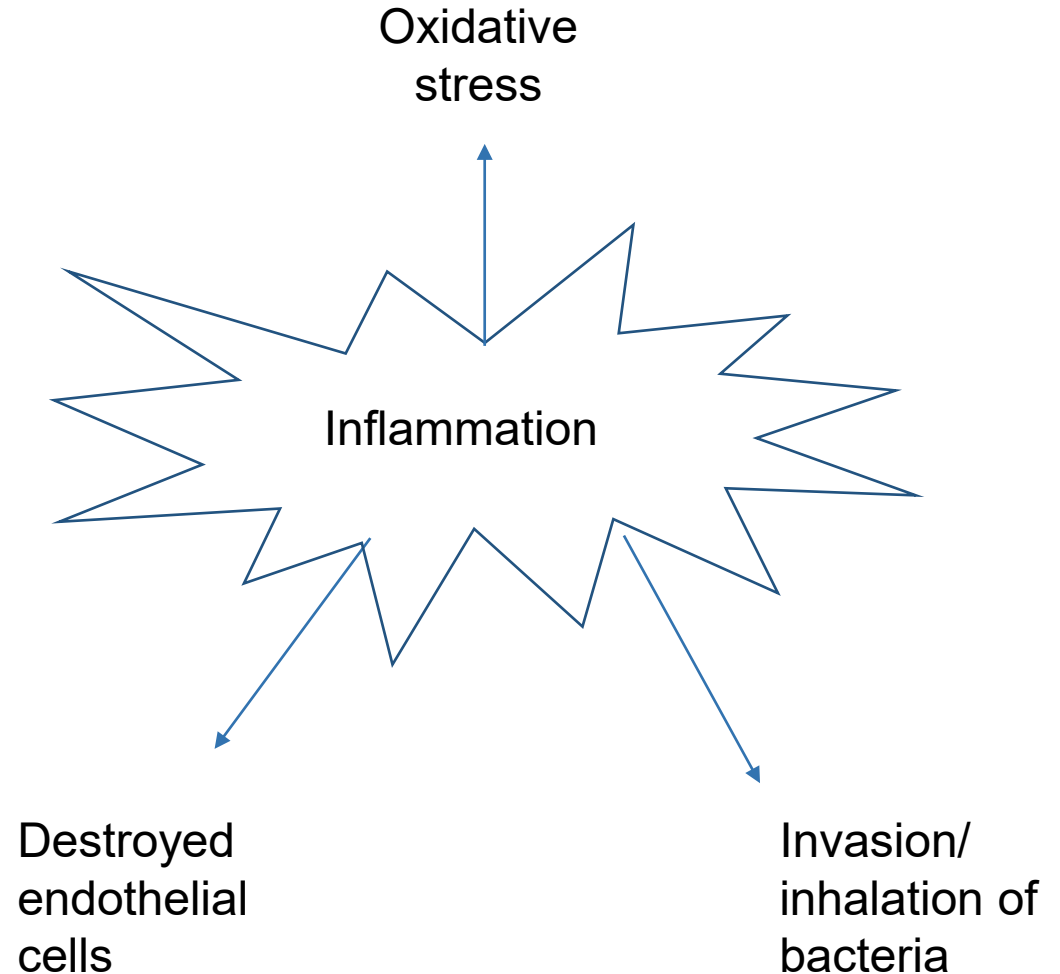


Image from: <https://www.niddk.nih.gov/health-information/diabetes/overview/preventing-problems/gum-disease-dental-problems>

- Periodontal disease (PD) is a gum infection typically caused by poor dental hygiene.
- Half of US adults over 30 years old have periodontal disease.
- There is an established relationship between PD and chronic disease.
  - PD linked to higher risk of coronary heart disease, coronary artery disease, stroke, and mortality due to atherosclerotic vascular disease-causes.
  - PD also linked to worse glycemic control, diabetes complications, and development of type 2 diabetes.



- Biological pathway between PD and chronic disease is not well understood and may vary by chronic disease.
- There are several possible pathways involving inflammatory responses.
- Relationship is bi-directional- PD is known as the “sixth complication of diabetes.”



**Key question 1:** Among adults with cardiovascular disease (CVD), cerebrovascular disease, type 2 diabetes (T2D), and/or chronic obstructive pulmonary disease (COPD), does detection and treatment of dental problems improve patient-reported symptoms and other complications of chronic disease?

**Key question 2:** Among adults with CVD, cerebrovascular disease, T2D, and/or COPD, does detection and treatment of dental problems improve indicators of chronic disease management (eg, HbA1c, blood pressure, cholesterol) and patient quality of life?

**Key question 3:** Among adults with CVD, cerebrovascular disease, T2D, and/or COPD, does detection and treatment of dental problems decrease health care utilization and costs?

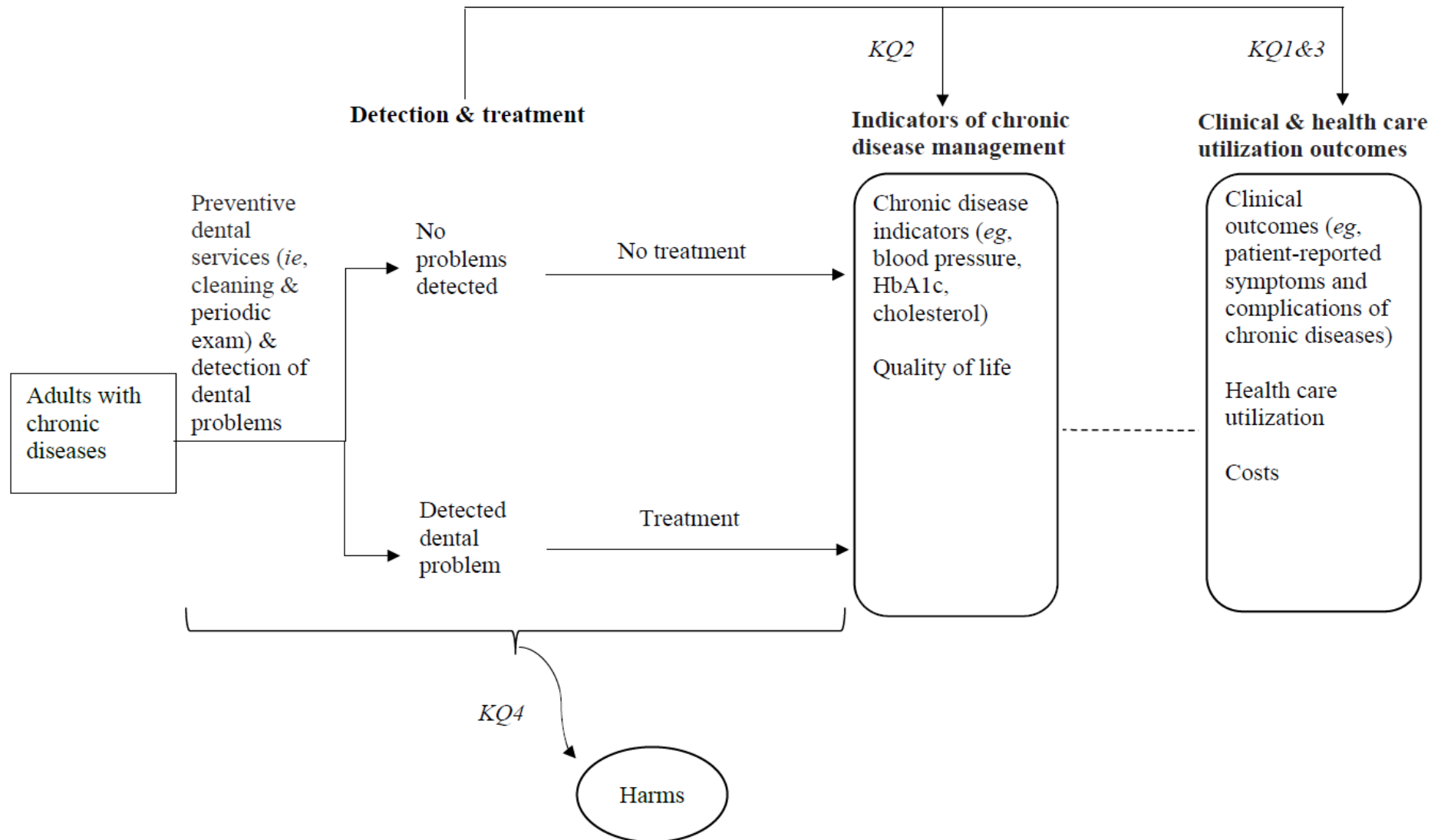
**Key question 4:** Among adults with CVD, cerebrovascular disease, T2D, and/or COPD, what are the possible harms of detection and treatment of dental problems?

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**Key question 4:** Among adults with CVD, cerebrovascular disease, T2D, and/or COPD, what are the possible harms of detection and treatment of dental problems?



**Population:** Adults with CVD, cerebrovascular disease, T2D, and/or COPD

**Intervention:** Detection and treatment of dental problems (*ie*, use of preventive dental services such as regular oral exams, detection of dental problems, and treatment of dental problems detected during exams) - *Limited to periodontal treatment after SR search*

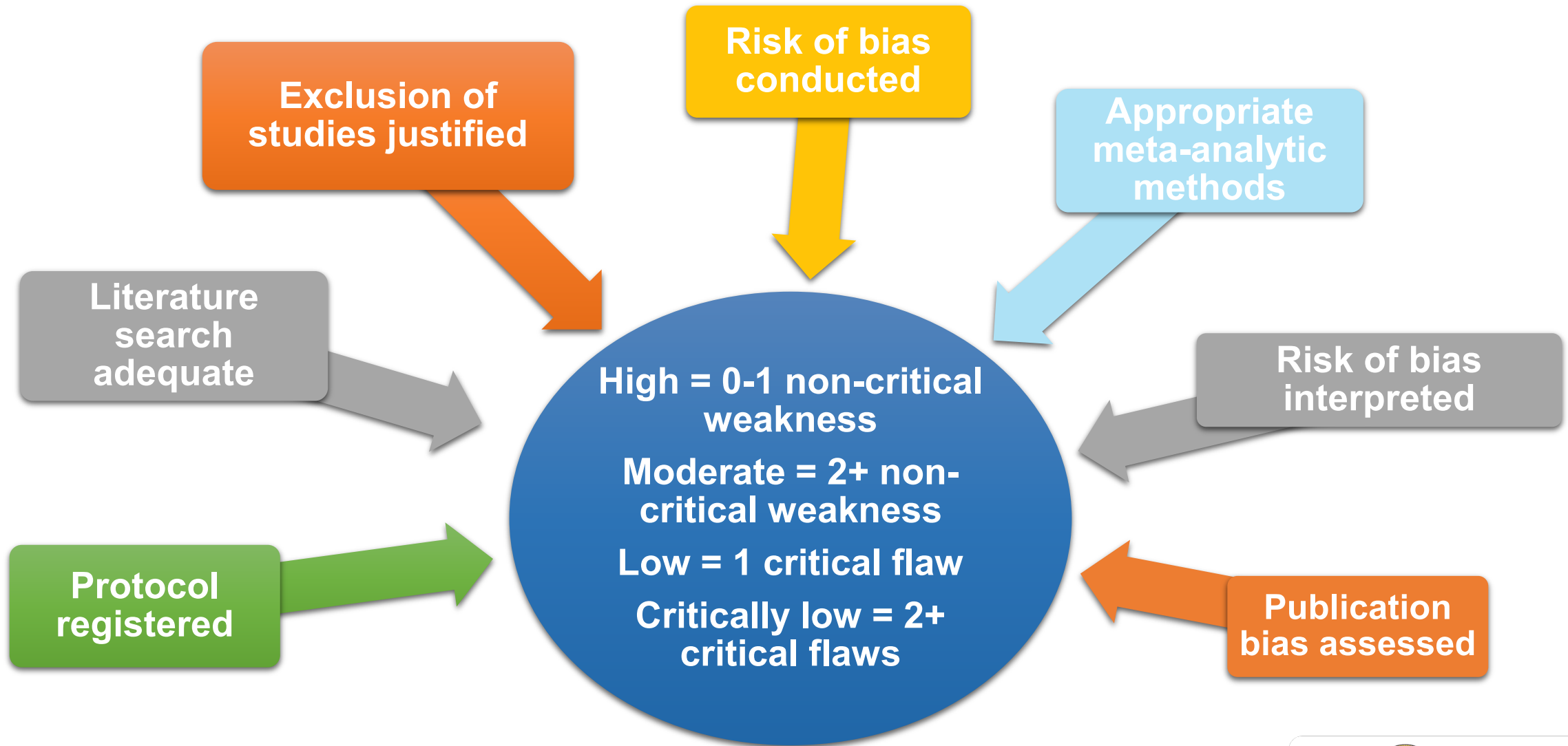
**Comparator:** No detection or treatment of dental problems

**Outcomes:**

- Clinical outcomes (*eg*, patient-reported symptoms, complications)
- Chronic disease indicators (*eg*, HbA1c, blood pressure, cholesterol)
- Quality of life (*eg*, oral health-related quality of life)
- Healthcare utilization (*eg*, ED visits for non-dental conditions, health care visits associated with chronic disease management, direct costs)
- Harms

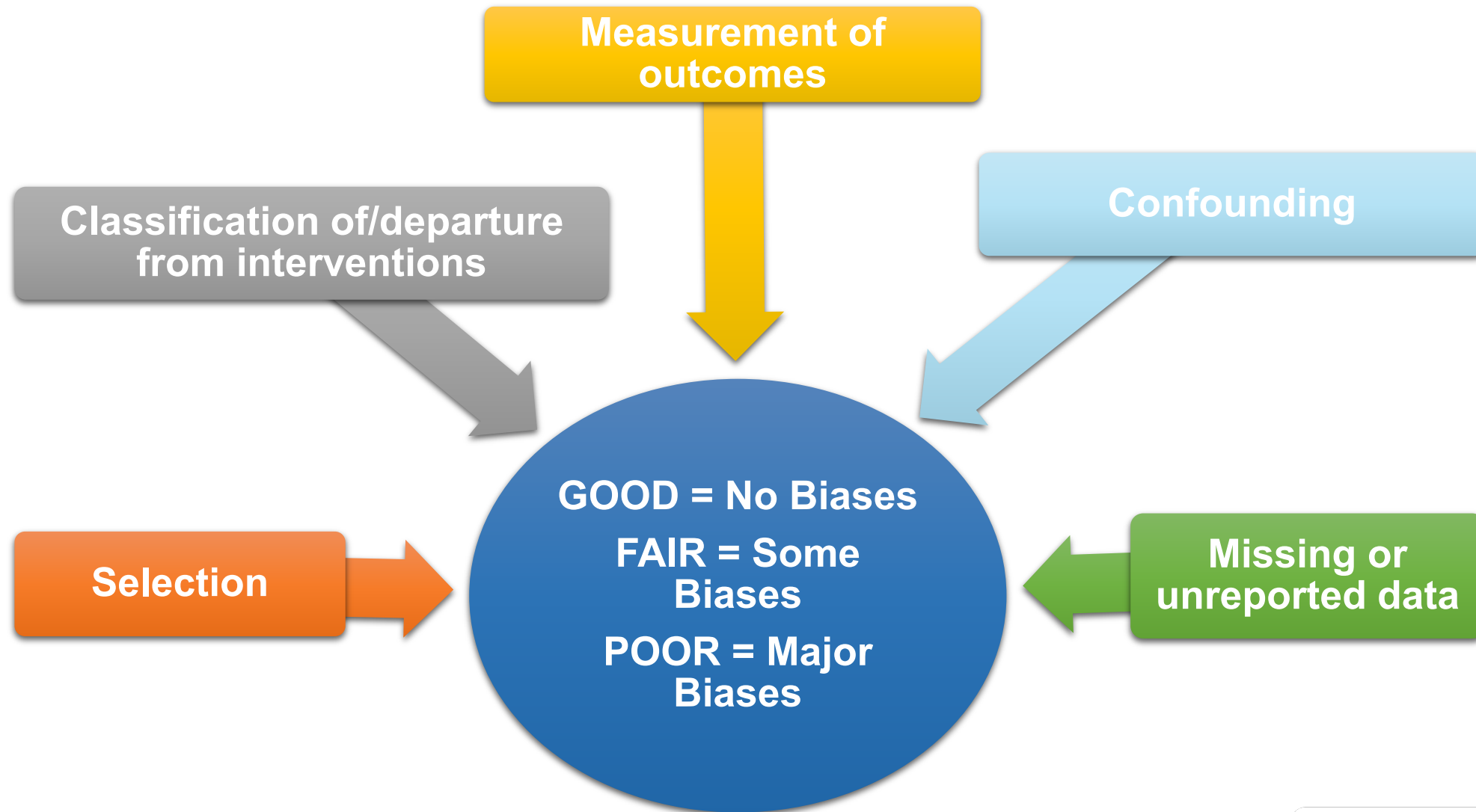
- **Search:** 2-stage search-
  - Search #1: SRs indexed in MEDLINE, CDSR, & other SR databases
  - Search #2: Primary studies indexed in MEDLINE or CENTRAL that addressed gaps in SR evidence or were published after SRs
- **Study selection:** Based on eligibility criteria
- **Data abstraction:** Study characteristics (PICO) and results
- **Critical appraisal:** Use of standardized tools
- **Quality control:** Assessments first completed by one reviewer and checked by one additional reviewer. Disagreements resolved by consensus.
- **Peer review:** Topic and methodological experts commented, responses are publicly available

# Criteria for Assessing Quality of Systematic Reviews



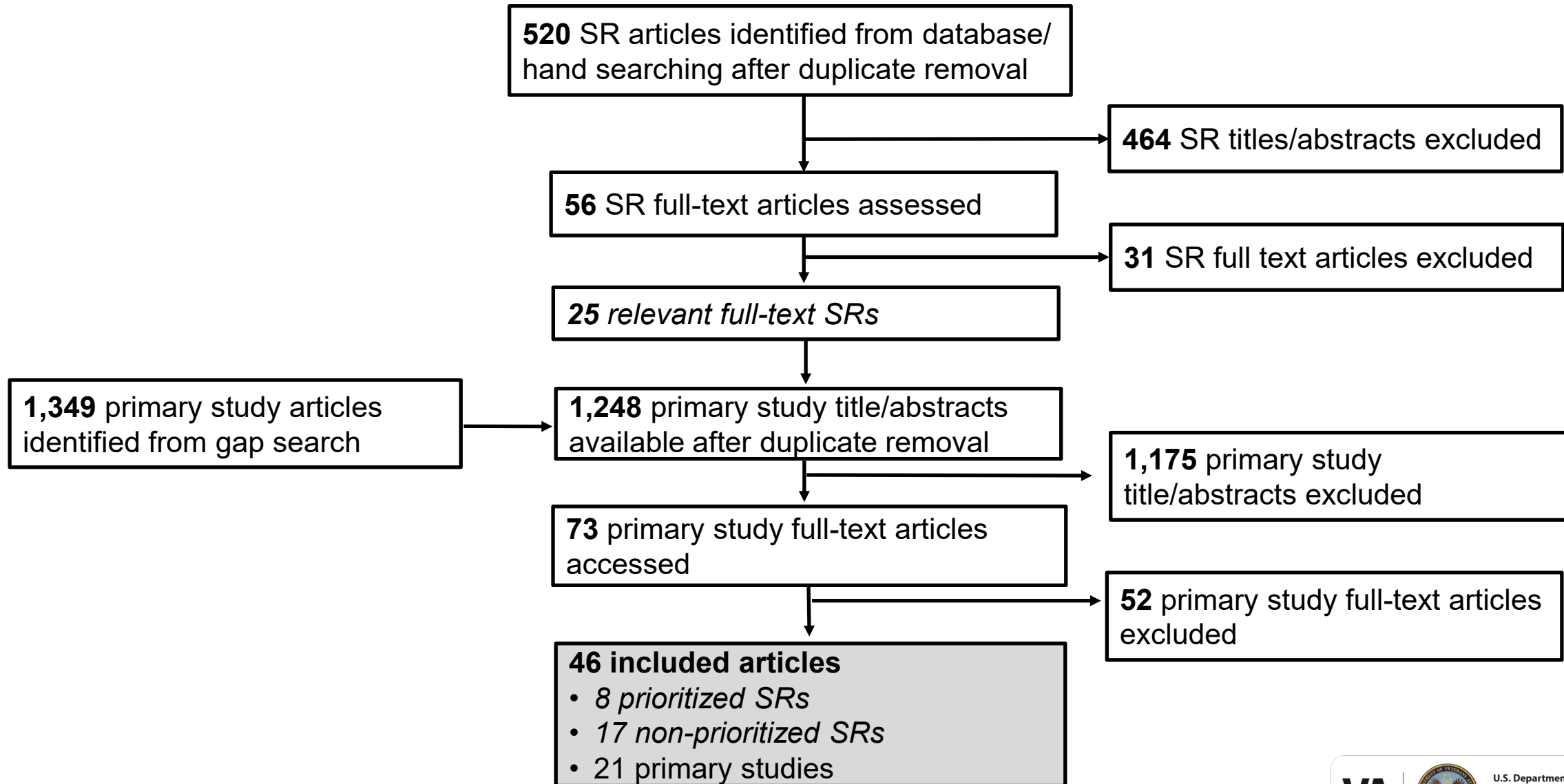
\*Based on AMSTAR-2 critical appraisal tool

# Criteria for Assessing Risk of Bias of Primary Studies



\*Based on Cochrane's ROB 2.0 and ROBINS-I quality assessment tool



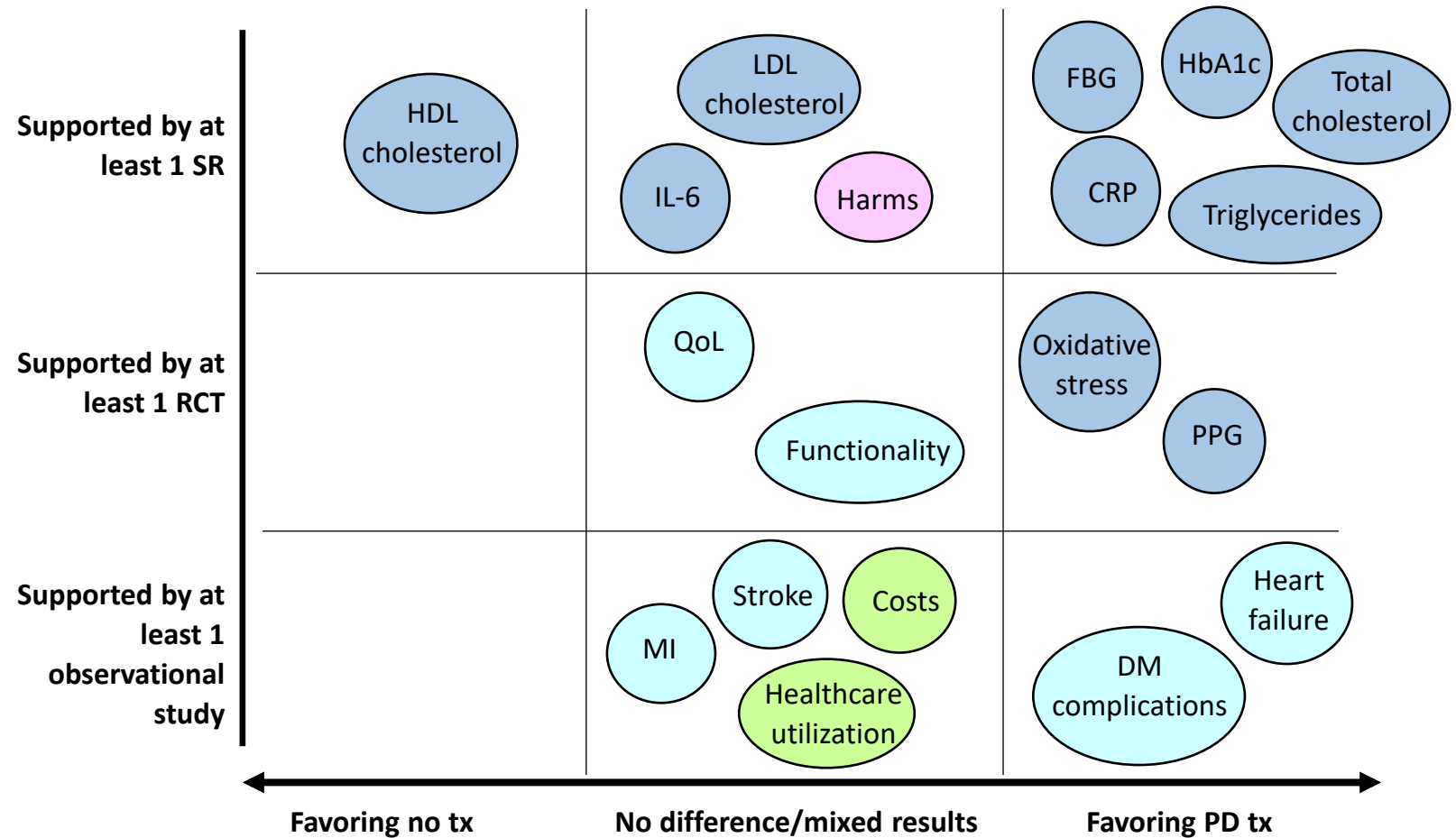


- **Study design:** Variable study designs-
  - 8 prioritized SRs
  - 8 RCTs
  - 1 non-randomized controlled trial
  - 8 retrospective cohort study
  - 1 case-control study
  - 2 modeling studies
  - 1 self-controlled case series
- **Population:** All 4 populations assessed
  - Type 2 diabetes (6 SRs + 17 primary studies)
  - Cardiovascular disease (2 SRs + 5 primary studies)
  - COPD (4 primary studies)
  - Cerebrovascular disease (3 primary studies)
- **Interventions:** Primarily non-surgical periodontal treatment (scaling and root planing)
- **Comparators:** Primarily no periodontal treatment, delayed treatment, or oral health education
- **Outcomes:** All 4 categories of outcomes assessed
- **Study quality:**
  - 8 prioritized SRs: 2 high quality, 4 moderate quality, 1 low quality, and 1 critically-low quality
  - 21 primary studies: 13 fair-quality, 5 poor-quality, 3 not assessed (either modeling study or case series)

# Type 2 Diabetes: Overall Results

- 6 SRs + 17 primary studies
- PD tx likely improves most **measures of chronic disease severity and inflammation** with only **minor adverse events** in the short term (3-4 months). Benefits do not seem to persist beyond 6 months.
- Findings are unclear on the relation between PD tx and most **patient-reported outcomes, diabetes-related complications, healthcare utilization, and costs.**

Improvements in outcomes associated with PD tx vs. no tx.

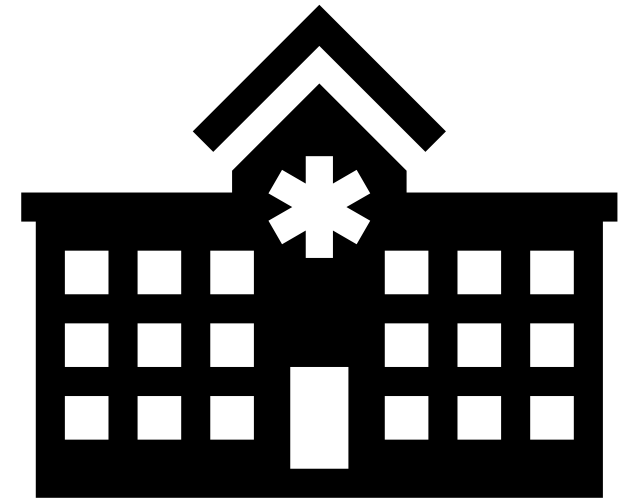


## **Inpatient admissions:**

- ↓ rates of annual patient admissions in PD tx vs. no tx group (1 retrospective cohort study)
- ≈ probability of being hospitalized in both groups (1 retrospective cohort study)

**Outpatient physician visits:** ≈ outpatient physician visits in both groups (1 retrospective cohort study)

**ED visits:** ≈ ED visits in both groups (1 retrospective cohort study)



# Type 2 Diabetes: Costs

Author Year	Study design	Quality	Sample size	Country	Types of costs	Results
Smits 2020	Retrospective cohort	Fair	N= 41,598	Netherlands	DM-related dx, tx, rx & hospitalization	Lower costs in PD tx group
Jeffcoat 2014	Retrospective cohort	Poor	N= 338,891	U.S.	All medical costs	Lower costs in PD tx group
Nasseh 2017	Retrospective cohort	Poor	N= 15,002	U.S.	All medical costs	Lower costs in PD tx group
United Healthcare 2013	Retrospective cohort	Poor	N= 130,546	U.S.	All medical costs	Lower costs in PD tx group
Choi 2020	Modeling study	NA	N = 10,000	U.S.	All medical & dental costs	Lower costs in PD tx group
Albert 2006	Retrospective cohort	Fair	N= 116,306	U.S.	All medical costs	Higher costs in PD tx group
Solowiej-Wedderburn 2017	Modeling study	NA	NA	U.K.	Cost savings of improved HbA1c vs. costs of periodontal tx	Higher costs in PD tx group
Blaschke 2021	Retrospective cohort	Poor	N= 23,771	Germany	All medical costs	No difference between groups

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vs.



Populations with  
periodontal disease  
who received  
treatment

Populations that did not  
receive periodontal  
treatment (who may or may  
not have had periodontal  
disease)

## HbA1c level:

- Those with poorly-controlled HbA1c ( $\geq 7\%$ ) who underwent PD tx experienced improvements in oral health related QoL compared to no tx, while those with well-controlled HbA1c ( $< 7\%$ ) did not experience these improvements (1 case-control study).
- PD tx was most cost-effective for those with higher HbA1c as they had more to gain from PD tx-associated reductions in HbA1c (1 modeling study).

## Age:

- PD tx most cost-effective for those who are older as lifetime costs of PD tx is lower (1 modeling study)

## Use of medications:

- Only those who did not initiate diabetes medications experienced lower healthcare costs associated with PD tx (1 retrospective cohort study)

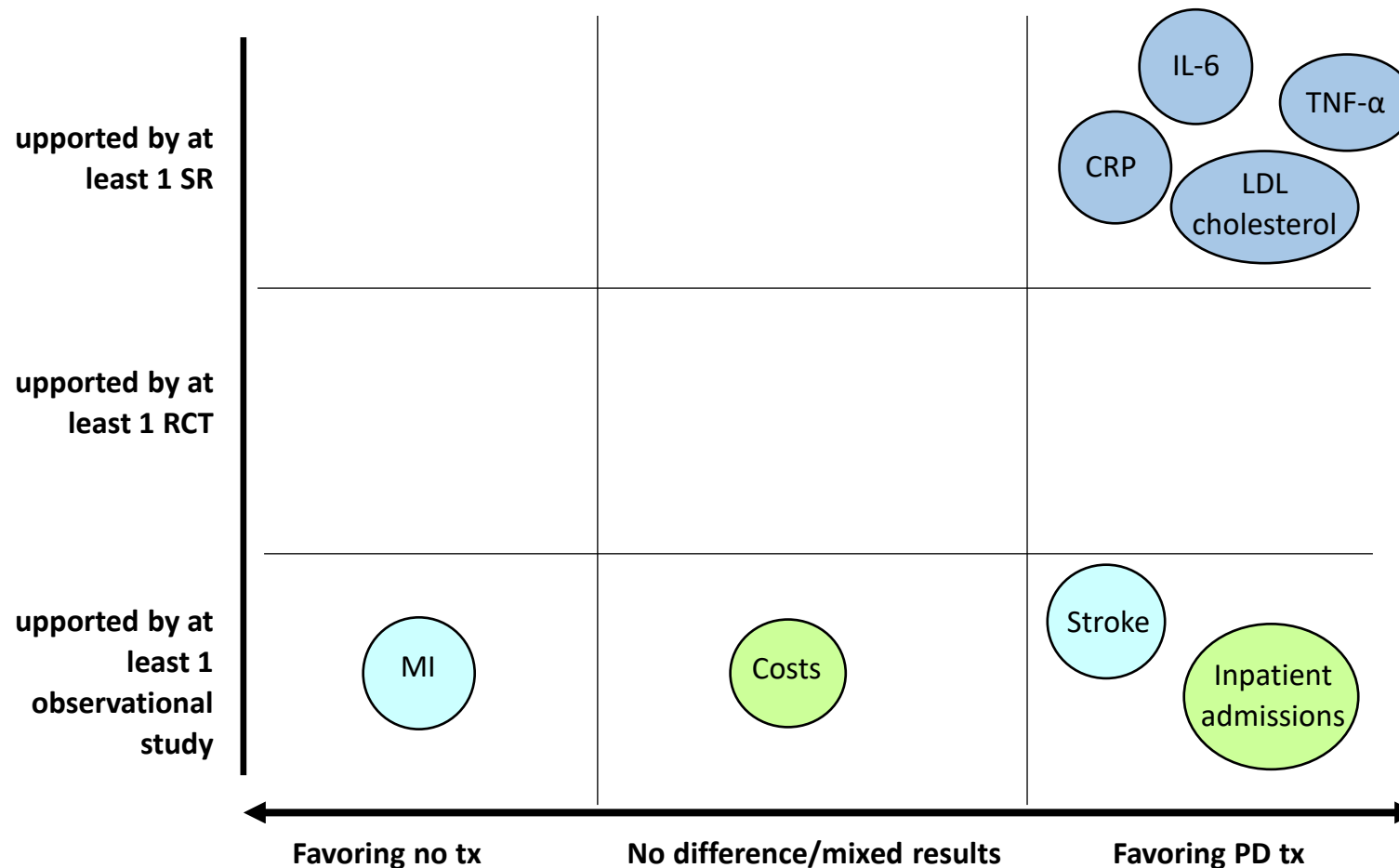


"Close-up, test strip in a glucometer" by [wuestenigel](#) is licensed under [CC BY 2.0](#)

# Cardiovascular Disease: Overall Results

- 2 SRs + 5 primary studies
- PD tx likely improves **measures of inflammation** at 3 months; longer-term outcomes have not been evaluated.
- Findings are unclear on the relation between periodontal treatment and **cardiovascular disease-related complications, healthcare utilization and costs.**

Improvements in outcomes associated with PD tx vs. no tx.



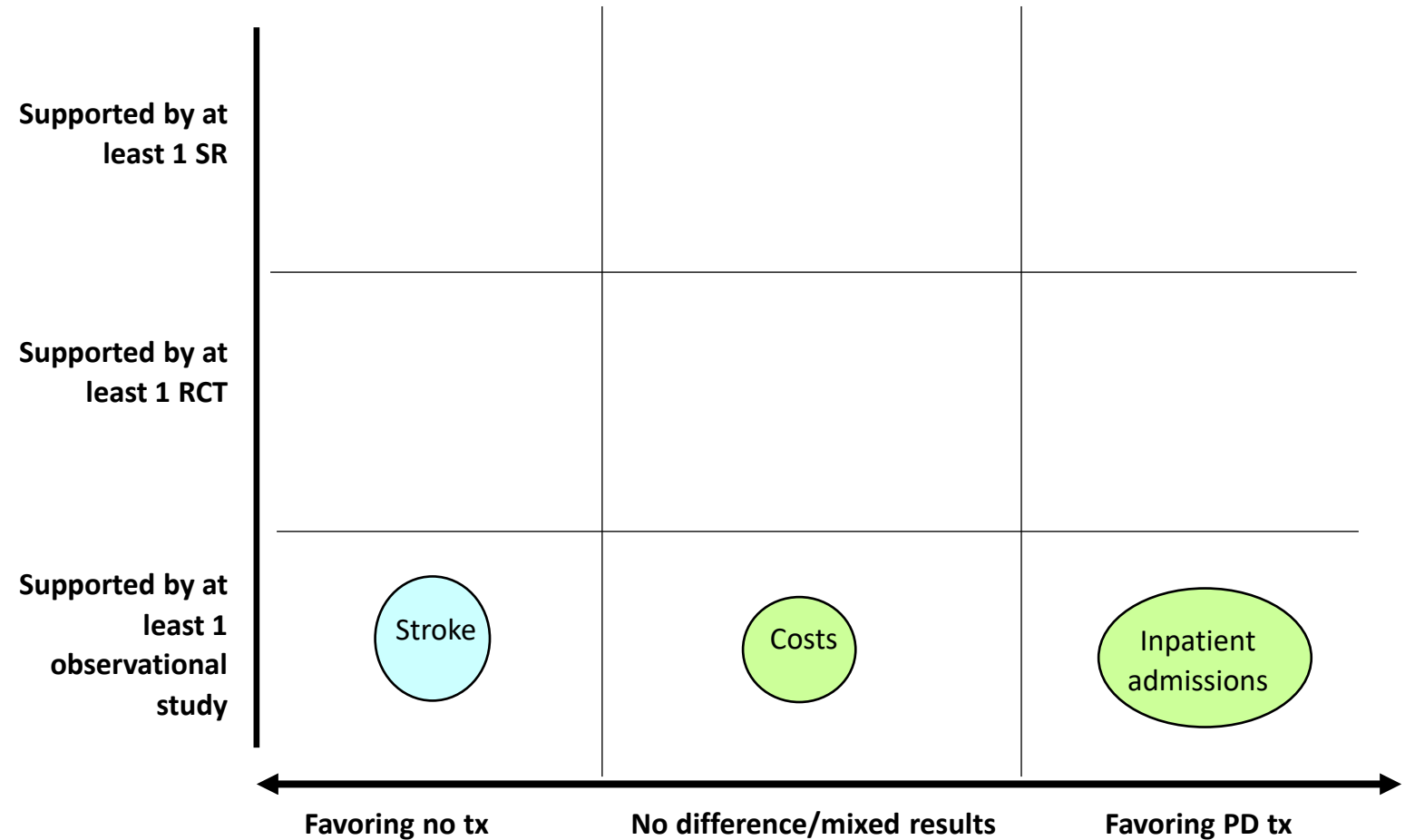
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# Cerebrovascular disease: Overall Results

Improvements in outcomes associated with PD tx vs. no tx.

- 3 primary studies
- Studies are unclear on the relation of periodontal treatment to **complications and costs**, similar to findings for diabetes and cardiovascular disease.



# Cerebrovascular disease: Costs

Author Year	Study design	Quality	Sample size	Country	Types of costs	Results
Jeffcoat 2014	Retrospective cohort	Poor	N= 338,891	U.S.	All medical costs	Lower costs in PD tx group
Albert 2006	Retrospective cohort	Fair	N= 116,306	U.S.	All medical costs	Higher costs in PD tx group

**Annals of Internal Medicine**  
**ORIGINAL RESEARCH**  
**Invasive Dental Treatment and Risk for Vascular Events**  
A Self-Controlled Case Series  
Caroline Minassian, MSc; Francesco D'Aluigi, PhD; Aaron D. Hingorani, PhD; and Liam Smeeth, PhD

**Background:** Treatment of periodontal disease may reduce cardiovascular risk in the longer term, but studies have suggested a link among dental procedures, acute inflammation, and endothelial dysfunction. However, whether such acute inflammatory effects translate into a short-lived increased risk for vascular events is not known.

**Objective:** To investigate whether invasive dental treatment transiently increases the risk for vascular events.

**Design:** Self-controlled case series.

**Setting:** Data came from the U.S. Medicaid claims database.

**Patients:** All persons exposed to invasive dental treatment with a primary hospital discharge diagnosis of ischemic stroke ( $n = 650$ ) or myocardial infarction ( $n = 525$ ) from 2002 to 2006.

**Measurements:** The incidence of ischemic stroke and myocardial infarction in periods immediately after invasive dental treatment was compared with the incidence in all other observed time periods. Incidence ratios and 95% CIs were calculated.

**Results:** The rate of vascular events significantly increased in the first 4 weeks after invasive dental treatment (incidence ratio, 1.50 [95% CI, 1.09 to 2.06]) and gradually returned to the baseline rate within 6 months. The positive association remained after exclusion of persons with diabetes, hypertension, or coronary artery disease or persons with prescriptions for antiplatelet or salicylate drugs before treatment.

**Limitations:** Power to examine the effects of invasive dental treatment on stroke and myocardial infarction separately was limited because of the low frequency of invasive dental procedures. Lack of information about use of over-the-counter drugs limited the ability to assess confounding by possible withholding of antiplatelet or salicylate drugs before invasive dental treatment or by the use of nonsteroidal anti-inflammatory drugs after treatment.

**Conclusion:** Invasive dental treatment may be associated with a transient increase in the risk for vascular events. However, the absolute risks are minimal, and the long-term benefits on vascular health will probably outweigh the short-lived adverse effects.

**Primary Funding Source:** Wellcome Trust.

Ann Intern Med. 2010;153:499-506.  
For author affiliations, see end of text.

www.annals.org

There is considerable interest in the role of inflammatory mechanisms in the occurrence of cardiovascular events. Local inflammation—the process by which the body responds to injury or infection—plays an important role in the pathogenesis of the atherosclerotic lesion (1). Moreover, long-term, low-grade chronic systemic inflammation has been linked to adverse cardiovascular outcomes (2). Acute inflammation after surgery (3), or bacterial infection (4), has also been associated with a short-term increase in the risk for vascular events, with endothelial dysfunction representing a possible common pathway through which several risk factors, including inflammation, may influence the atherogenic process (5, 6).

Epidemiologic data implicate exposure to low-grade dental infection—particularly periodontitis (a common chronic infection of the oral cavity caused by bacteria)—in the cause of cardiovascular disease. Such infections have been found to be associated with elevated levels of C-reactive protein and other inflammatory biomarkers (7), endothelial dysfunction (8), atherosclerosis, and an increased risk for stroke and myocardial infarction (9). Recent studies have shown that intensive periodontal treatment leads to transiently impaired, flow-mediated dilation (a measure of endothelial function) and increased markers of inflammation and endothelial activation in the week after treatment followed by a longer-term improvement relative to baseline (10, 11). The more invasive the dental treatment (12), the more marked the changes.

Ischemic stroke and myocardial infarction share a common pathophysiologic process: arterial thrombosis occurring in a background of atherosclerosis. We have previously established that infections cause a transient increase in the risk for both myocardial infarction and stroke (6). If the risk for both myocardial infarction and stroke is increased in the underlying inflammatory state and endothelial function, then invasive dental treatment sufficient to produce an inflammatory response may transiently increase the risk for vascular events—namely myocardial infarction and stroke—despite providing longer term vascular benefits due to reducing the infectious burden. To test this hypothesis of a transient increased risk, we examined the incidence

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Appendix  
Appendix Tables  
Appendix Figures  
CME quiz  
Conversion of graphics into slides

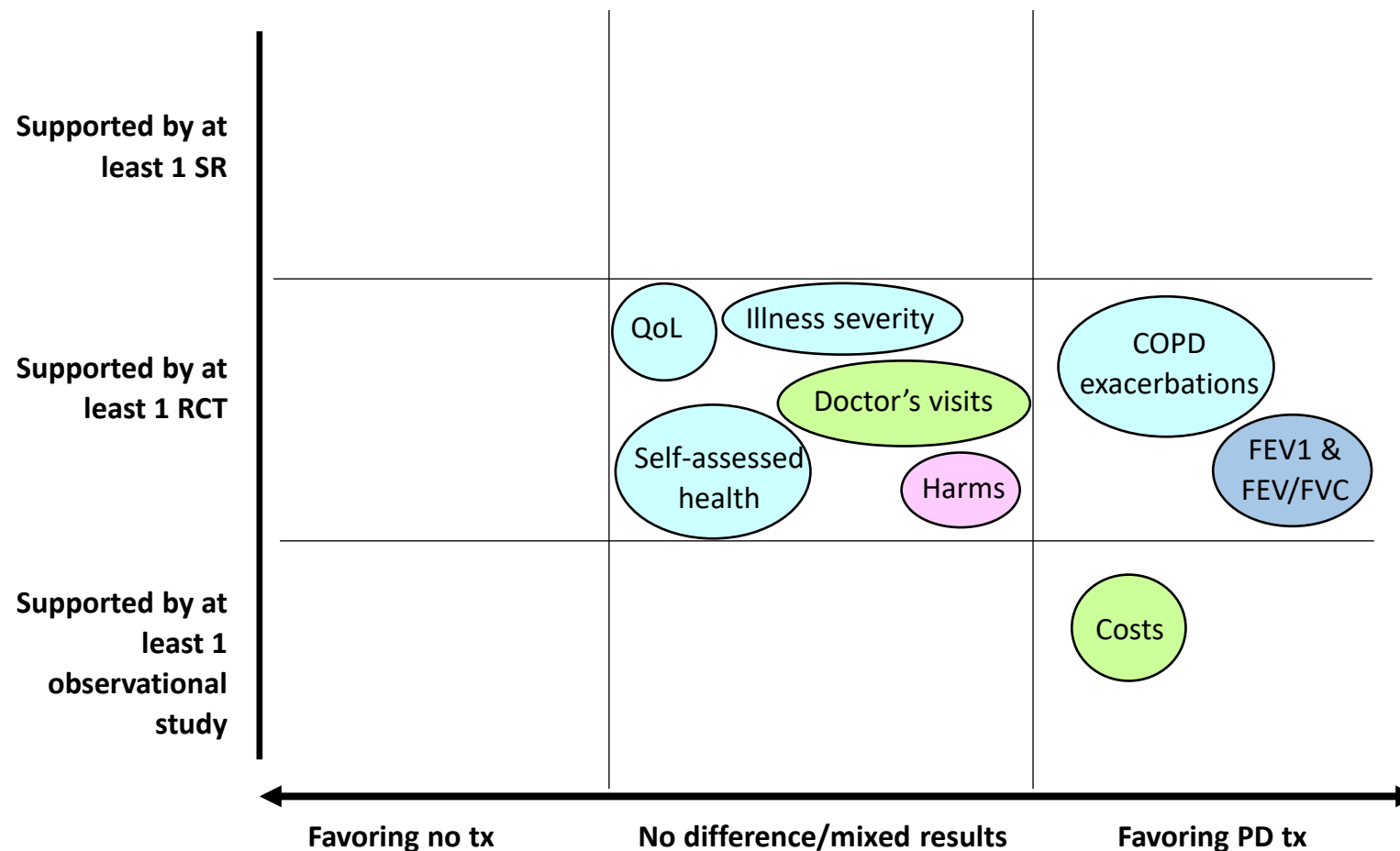
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- Self-controlled case series (N=1,175) of pts from a US Medicaid claims database who underwent an invasive dental procedure and were hospitalized for stroke or MI.
- Higher incidence of MI in 4 weeks after invasive dental tx than baseline period (Incidence ratio= 1.56, 95% CI [0.98, 2.47]). Ratio decreased over the next 20 weeks.
- Higher incidence of stroke in 4 weeks after invasive dental tx than baseline period (IR = 1.39, 95% CI [0.89, 2.15]). Unclear pattern of resolution.
- Limitations:
  - No separate control group
  - Possibility for confounding (discontinuing use of NSAIDs, blood thinners, or antiplatelet medications)

# COPD: Overall Results

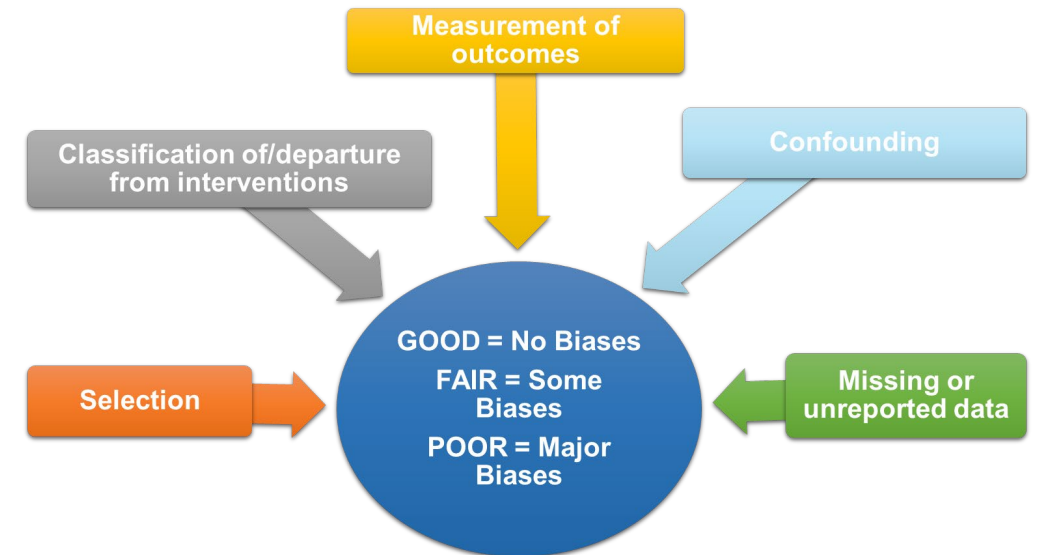
- 4 primary studies
- PD tx may improve **lung function** and reduce the frequency of **exacerbations** at 1 and 2 years compared to no treatment.
- Periodontal tx may also contribute to **lower annual medical costs**.

Improvements in outcomes associated with PD tx vs. no tx.

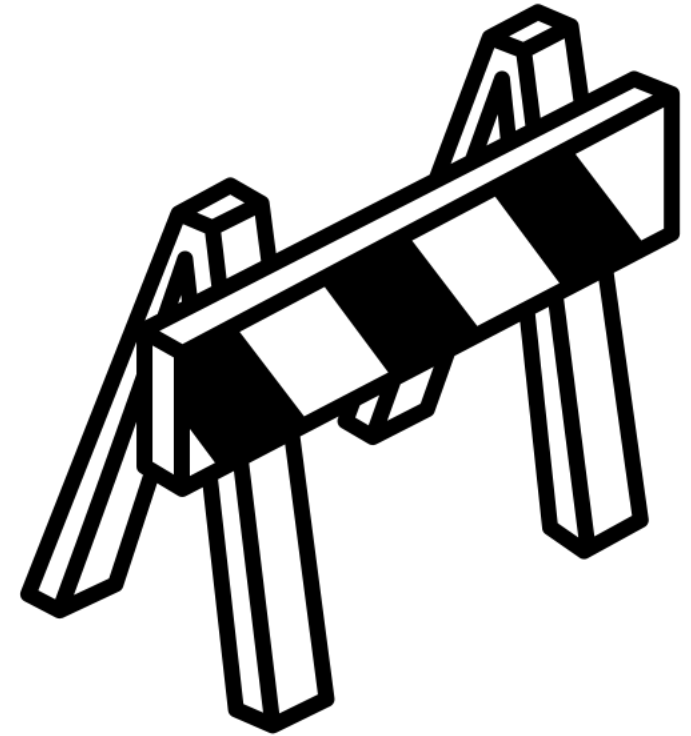




- **SRs:** common limitations were not searching for grey literature, absence of a publicly available review protocol, and failure to discuss individual studies' risks of bias when interpreting results.
- **RCTs:** common limitations were lack of publicly available protocol, pts & providers aware of group assignment, lack of information of cointerventions (eg, tooth-brushing)
- **Non-randomized controlled studies:** common limitations were poorly defined tx & control groups, inadequate control for differences between groups at baseline, high likelihood of confounding



- Synthesized **best available evidence** rather than all available evidence (*ie*, prioritized 8 SRs, searched for primary studies that addressed gaps in SRs).
- Had a **single reviewer** assess study eligibility, study quality, and strength of evidence with second reviewer checking.



- More research on people with **cerebrovascular disease**. Also consider **evaluating whether outcomes vary by patient characteristics** (e.g., age, sex, race/ethnicity), **disease severity**, and **single versus multiple chronic diseases**.
- Because of unclear evidence on the impact of periodontal treatment on **chronic disease-related complications, healthcare utilization, and costs**, these outcomes should be evaluated in future research.
- In the context of the VA, evaluate whether **referral to dental care** improves outcomes. Important to measure whether referral leads to receipt of dental services, what kinds of services are delivered, and whether participants continue to receive services.



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- Among people with **COPD**, periodontal treatment may improve lung function and reduce exacerbations at 1-2 years, as well as reduce annual medical costs.
- Among people with **diabetes or cardiovascular disease**, periodontal treatment likely leads to improvements in some measures of chronic disease severity and inflammation at 3-4 months, but benefits do not seem to persist beyond 6 months.
- Results are unclear on the relation between periodontal treatment and chronic disease outcomes for those with **cerebrovascular disease**.
- Results are also unclear on the relation between periodontal treatment and medical costs and risk of chronic disease complications among those with **diabetes, cardiovascular disease, or cerebrovascular disease**.

If you have further questions, please feel free to contact:

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Full-length report available on ESP website:

<http://www.hsrd.research.va.gov/publications/esp/>