



POOR SLEEP CORRELATES WITH BIOMARKERS OF NEURODEGENERATION IN MILD TRAUMATIC BRAIN INJURY

J. Kent Werner, Jr MD PhD LCDR, MC, USN Kimbra Kenney, MD

5 MAY 2021



The authors have no conflicts of interest to disclose

Grant funding for this project is from: Dept of Defense, Chronic Effects of Neurotrauma Consortium (CENC) Award W81XWH-13-2-0095 Dept of Veterans Affairs CENC Award 101 CX001135, NIH, National Institute of Nursing Research Intramural Research Program.

In addition Dr.Werner receives grant funding funding from NIH (NIA) and is part owner and founder of Cogentis Therapeutics, both unrelated to this presentation.

Disclaimer:

The views, opinions, and/or findings contained in this article are those of the authors and should not be construed as an official Veterans Affairs or Department of Defense position, policy, or decision unless so designated by other official documentation. Commercial Support was not received for this activity. Disclosure will be made when a product is discussed for an unapproved use.





Traumatic brain injury's link to dementia

Glymphatic exchange: Sleep link to dementia Biomarker Data exploring both

CENC CHRONIC EFFECTS OF NEUROTRAUMA CONSORTIUM



The mission of the LIMBIC-CENC is to fill the gaps in knowledge about the basic science of mTBI (also termed concussion or mild TBI), determine its effects on late-life outcomes and neurodegeneration, identify service members most susceptible to these effects, and identify the most effective treatment strategies.

CENC MILD TRAUMATIC BRAIN INJURY LINKS TO DEMENTIA





Much of the evidence for an association has come from Epidemiologic studies- few prospective and majority retrospective

WW II Navy and Marine Veterans 1944 - 1945 548 hospitalized for non-penetrating TBI 1228 hospitalized for non-TBI injuries Evaluated 1996 – 1997 through telephone interviews and clinical assessments Severe TBI (LOC or PTA > 24 hours) <u>HR 4.51</u> (95% CI 2.09 – 9.63) for AD Moderate TBI (LOC or PTA > 30 min, < 24 h) <u>HR 2.</u>39 (95% CI 1.24 – 4.58) for AD Mild TBI (LOC or PTA < 30 min) <u>HR 0.76 (95% CI 0.51 – 3.47)</u>

EXAMPLE SUMMARY OF LITERATURE ON DEMENTIA AFTER TBI

Multiple retrospective and increasing prospective studies indicating TBI in early to mid-life increase risk of dementia IOM: "There is sufficient evidence of an association between moderate and severe TBI and dementia...limited/suggestive evidence of an association between mild TBI (with loss of consciousness) and dementia...[and] inadequate/insufficient evidence to determine whether an association exists between mild TBI (without loss of consciousness) and dementia."

Assumption has long been that TBI increases risk of AD Few careful studies examining pathology or detailed clinical features

Institute of Medicine Committee on Gulf War and Health. *Gulf War and Health, Volume 7: Long-Term Consequences of Traumatic Brain Injury.* Washington, D.C.: National Academies Press, 2009.

LIMBIC
CENCPOPULATION BASED STUDY: CALIFORNIA DEMENTIA
RISK AFTER TBIVS. NON-BRAIN TRAUMA



Gardner et al, JAMA Neurol 2014;71:1490-1497



TBI PATIENTS HAVE INCREASED RISK OF DEMENTIA

JAMA Neurology

Gardner RC et al. 2014. Dec.

HR (95% CI) p-value

55–64 years, reference NTT (n=10,281)

mild TBI (n=1,226) 1.08 (.77–1.49) .665 moderate/severe TBI (n=2,769) 1.65 (1.35–2.02) <.001

65–74 years, reference NTT (n=8,607) mild TBI (n=850) 1.22 (1.02–1.47) <.05

moderate/severe TBI (n=2,750) 1.50 (1.33–1.68) <.001

75–84 years, reference NTT (n=10,025)

 mild TBI (n=938)
 1.26 (1.13–1.42)
 <.001</td>

 moderate/severe TBI (n=4,347)
 1.38 (1.29–1.47)
 <.001</td>

85+ years, reference NTT (n=4,218)

mild TBI (n=422) 1.25 (1.09–1.44) <.005

moderate/severe TBI (n=2,278) 1.31 (1.21–1.41) <.001

LIMBICMTBI LOC ASSOCIATED WITH 2-FOLDINCREASED DEMENTIA RISK IN VETERANS

- First epidemiological study through VA healthcare system
- Largest epidemiological study to date
- TBI diagnosis through clinical evaluations for subset (CTBIE database, N = 27,425 of 357,558 total)

Table D. Una divised and A divised Dials of Demonstria by Terroratic Design Inform Councils. (A)

• HRs showed a dose effect based on TBI severity



Figure. Cumulative Incidence of Dementia by Traumatic Brain Injury

Table 2. Onadjusted and Adjusted Risk of Dementia by Traumatic Brain Injury Sevency (N = 357 558)							
			Participant Group, Hazard Ratio (95% CI) ^a				
Model	Individuals Without TBI (n = 178 779)	Individuals With ≥1 TBI (n = 178 779)	Mild TBI Without LOC (n = 17 759)	Mild TBI With LOC (n = 23 097)	Mild TBI With LOC Status Unknown (n = 55 004)	Moderate to Severe TBI (n = 82 919)	
Unadjusted	1 [Reference]	3.41 (3.29-3.53)	2.29 (2.04-2.58)	2.48 (2.26-2.72)	3.11 (2.97-3.25)	3.75 (3.61-3.89)	
1 ^b	1 [Reference]	3.41 (3.30-3.53)	2.32 (2.06-2.61)	2.49 (2.27-2.73)	3.14 (3.00-3.28)	3.73 (3.60-3.88)	
2 ^c	1 [Reference]	3.41 (3.29-3.53)	2.34 (2.08-2.63)	2.50 (2.28-2.75)	3.16 (3.02-3.31)	3.71 (3.57-3.85)	
3 ^d	1 [Reference]	3.45 (3.33-3.57)	2.36 (2.10-2.66)	2.51 (2.29-2.76)	3.19 (3.05-3.33)	3.77 (3.63-3.91)	

Abbreviations: LOC, loss of consciousness; TBI, traumatic brain injury.

^a No TBI is the reference group; P values in all other cells are <.001.

^b Model 1 is adjusted for demographic characteristics (sex, race, education, and income).

^c Model 2 is adjusted for demographic characteristics and medical conditions

(diabetes, hypertension, myocardial infarction, cerebrovascular disease, and peripheral vascular disease).

^d Model 3 is adjusted for demographic characteristics, medical conditions, and psychiatric disorders (mood disorder, anxiety, posttraumatic stress disorder, substance use disorder, tobacco use, and sleep disorder).

Barnes et al, JAMA Neurol 2018 doi:10.1001/jamaneurol.2018.0815

LIMBICMTBI LOC ASSOCIATED WITH 2-FOLDINCREASED DEMENTIA RISK IN VETERANS

- First epidemiological study through VA healthcare system
- Largest epidemiological study to date
- TBI diagnosis through clinical evaluations for subset (CTBIE database, N = 27,425 of 357,558 total)

Table 2. Upadjusted and Adjusted Disk of Domontia by Traumatic Prain Injury Soverity (N = 257559)

• HRs showed a dose effect based on TBI severity



Figure. Cumulative Incidence of Dementia by Traumatic Brain Injury

Table 2. Onaujusted and Aujusted Risk of Dementia by Tradmatic Brainingury Sevency (N = 357 356)							
	Participant Group, Hazard Ratio (95% CI) ^a						
Model	Individuals Without TBI (n = 178 779)	Individuals With ≥1 TBI (n = 178 779)	Mild TBI Without LOC (n = 17 759)	Mild TBI With LOC (n = 23 097)	Mild TBI With LOC Status Unknown (n = 55 004)	Moderate to Severe TBI (n = 82 919)	
Unadjusted	1 [Reference]	3.41 (3.29-3.53)	2.29 (2.04-2.58)	2.48 (2.26-2.72)	3.11 (2.97-3.25)	3.75 (3.61-3.89)	
1 ^b	1 [Reference]	3.41 (3.30-3.53)	2.32 (2.06-2.61)	2.49 (2.27-2.73)	3.14 (3.00-3.28)	3.73 (3.60-3.88)	
2 ^c	1 [Reference]	3.41 (3.29-3.53)	2.34 (2.08-2.63)	2.50 (2.28-2.75)	3.16 (3.02-3.31)	3.71 (3.57-3.85)	
3 ^d	1 [Reference]	3.45 (3.33-3.57)	2.36 (2.10-2.66)	2.51 (2.29-2.76)	3.19 (3.05-3.33)	3.77 (3.63-3.91)	

Abbreviations: LOC, loss of consciousness; TBI, traumatic brain injury.

^a No TBI is the reference group; P values in all other cells are <.001.

^b Model 1 is adjusted for demographic characteristics (sex, race, education, and income).

^c Model 2 is adjusted for demographic characteristics and medical conditions

(diabetes, hypertension, myocardial infarction, cerebrovascular disease, and peripheral vascular disease).

^d Model 3 is adjusted for demographic characteristics, medical conditions, and psychiatric disorders (mood disorder, anxiety, posttraumatic stress disorder, substance use disorder, tobacco use, and sleep disorder).

Barnes et al, JAMA Neurol 2018 doi:10.1001/jamaneurol.2018.0815

EXAMPLE 1 MTBI S LOC ASSOCIATED WITH 2-FOLD INCREASED DEMENTIA RISK IN VETERANS

- First epidemiological study through VA healthcare system
- Largest epidemiological study to date
- TBI diagnosis through clinical evaluations for subset (CTBIE database, N = 27,425 of 357,558 total)

Table 2. Upadjusted and Adjusted Disk of Domontia by Traumatic Prain Injury Soverity (N = 257559)

• HRs showed a dose effect based on TBI severity



Figure. Cumulative Incidence of Dementia by Traumatic Brain Injury

Table 2. Onaujusteu and Aujusteu Risk of Dementia by Traumatic Brain fijury Sevency (N = 557 556)							
			Participant Group, Hazard Ratio (95% CI) ^a				
Model	Individuals Without TBI (n = 178 779)	Individuals With ≥1 TBI (n = 178 779)	Mild TBI Without LOC (n = 17 759)	Mild TBI With LOC (n = 23 097)	Mild TBI With LOC Status Unknown (n = 55 004)	Moderate to Severe TBI (n = 82 919)	
Unadjusted	1 [Reference]	3.41 (3.29-3.53)	2.29 (2.04-2.58)	2.48 (2.26-2.72)	3.11 (2.97-3.25)	3.75 (3.61-3.89)	
1 ^b	1 [Reference]	3.41 (3.30-3.53)	2.32 (2.06-2.61)	2.49 (2.27-2.73)	3.14 (3.00-3.28)	3.73 (3.60-3.88)	
2 ^c	1 [Reference]	3.41 (3.29-3.53)	2.34 (2.08-2.63)	2.50 (2.28-2.75)	3.16 (3.02-3.31)	3.71 (3.57-3.85)	
3 ^d	1 [Reference]	3.45 (3.33-3.57)	2.36 (2.10-2.66)	2.51 (2.29-2.76)	3.19 (3.05-3.33)	3.77 (3.63-3.91)	

Abbreviations: LOC, loss of consciousness; TBI, traumatic brain injury.

^a No TBI is the reference group; P values in all other cells are <.001.

^b Model 1 is adjusted for demographic characteristics (sex, race, education, and income).

^c Model 2 is adjusted for demographic characteristics and medical conditions

(diabetes, hypertension, myocardial infarction, cerebrovascular disease, and peripheral vascular disease).

^d Model 3 is adjusted for demographic characteristics, medical conditions, and psychiatric disorders (mood disorder, anxiety, posttraumatic stress disorder, substance use disorder, tobacco use, and sleep disorder).

Barnes et al, JAMA Neurol 2018 doi:10.1001/jamaneurol.2018.0815

EXAMPLE A HYPOTHESIS



DH Smith et al, Nature Rev. Neurol. 2013;9:211-221





Cole JH, Ann Neurol 2015;77:571-581

DEPLOYMENT-RELATED MTBI & REMOTE DEMENTIA KNOWLEDGE GAPS

While there is rapidly increasing epidemiological data to support a link between combat-related TBI and remote onset dementia, the underlying pathomechanism(s) have not yet been established. Current critical knowledge gaps required to both prevent and develop targeted therapy are the following:

- I) Full characterization of neuropathology of TBI-related dementia
- 2) Full characterization of pathomechanisms underlying TBI-related dementia
- 3) fluid biomarkers for (pre-clinical) diagnosis and treatment of TBI-related dementia

PROPOSE: TBI outcome Biomarker Discovery Project among CENC cohort



FDA BIOMARKER DEFINITIONS

1. Diagnostic

Objective indicator of medical state observed externally accurately and reproducibly

2. Prognostic

Indicator of future clinical course in the absence of a treatment or intervention

3. Predictive

Measurable baseline characteristic(s) that categorize patients according to their likelihood of response to a particular treatment.

4. Pharmacodynamic

Dynamic measurements which show that biologic response has occurred in a patient after a therapeutic intervention through target engagement.



BACKGROUND: BIOMARKERS IN ALZHEIMERS DISEASE

Hypothetical Model of Dynamic Biomarkers of the Alzheimer's Pathological Cascade



- Dynamic AD Biomarkers used in AD research
- Need quantifiable biomarkers that: 1) reflect pathology; and 2) become abnormal in pre-clinical stage for effective therapies to be developed
- In AD, Aβ changes earliest measurable, but clinical sxs correlate with tau deposits
- Aβ RCTs successful in lowering Aβ, but no effect on clinical outcomes



EXAMPLE CENC BACKGROUND: CANDIDATE TBI BIOMARKERS



From Zetterberg et al, Nat Rev Neur 2013

Neurons:

- NSE, SBPs and UCH-LI: neuronal cytoplasm.
- NFL: neuronal large-calibre myelinated axons.
- Tau: neuronal thin nonmyelinated axons.
- APP and amyloid-β: produced in axon terminals. (diffuse amyloid plaques).

Astrocytes & Glia:

- SI00-B
- GFAP,
- Inflammatory cytokines (e.g. IL-6, IL-10,TNF-α)
 <u>Vascular</u>:
- VEGF
- vWF
- ICAM
- ANG-I

EXAMPLE CANDIDATE TBI-DEMENTIA BIOMARKERS: AMYLOID, TAU & NFL



- Transmembrane glycoprotein
- Underdetermined function
- Aggregates/plaque associated with disease
- Markedly elevated after acute TBI
- Associated with AD

From Cardenas-Aguayo et al. DOI: 10.5772/57398 2014



- Neuronal structural protein
- Stabilizes axonal cytoskeleton
 - High plasma levels in acute TBI correlate with worse outcomes
- Phosphorylated in diseased states
- Associated c neurodegenerative disorders (CTE, AD, FTD)

From Gaetani et al. JNNP 2019



- Neuronal cytoplasmic protein expressed in large caliber myelinated axons (CNS/PNS)
- Associated with axonal damage
- Age, but not sex dependent in healthy population
- Promising diagnostic/prognostic biomarker neurodegenerative disorders (AD, HD, PD, ALS, MS, CMT among others)
 From Rosen et al., CSF in Clin Neur 2015

TBI BIOMARKERS, CHRONIC STAGE, PRIOR STUDIES

Few biomarker studies of chronic/remote TBI effects :

- Olivera et al, JAMA Neurology, 2015 (SIMOA)
 - 70 AD mTBI (MAMC), up to 16 mo post-deployment, ↑ plasma tau in repetitive TBI and ↑ correlated with NSI
- Stern et al, JAD, 2016
- Rubinstein et al, JAMA Neurology 2017 (SOFIA)
 - ↑ plasma p-tau/t-tau ratio in 21 TBI (1-8 mos) compared to HC
- Gill et al, Brain Injury, 2018 (SIMOA)
 - 42 AD mTBI (MAMC), \uparrow CNS-derived exosomal tau/A β 42/IL-10 and exo tau correlated with NSI

EXAMPLE OF STATE OF

- Interim analysis of 200 well-characterized CENC Longitudinal Study subjects (100 mTBI with LOC/PTA, 50 mTBI with AOC only, 50 TBI negative) using ultrasensitive assays from plasma and peripherally circulating exosomes, and correlation with clinical outcomes, of following candidate chronic TBI biomarkers:
 - Neurodegeneration (Aβ40/Aβ42, total tau/p-tau, NFL)
 - Neuroinflammatory (IL-6, IL-10, TNF-α)
 - Vascular injury (VEGF)



- CENC TBI characterization: modified OSU-TBI ID with each PCE further evaluated with VCU Retrospective Concussion Diagnostic Interview (VCU rCDI) to subgroup into:
 - mTBI vs TBI-neg
 - Total number lifetime mTBI
 - Total number blast TBI
- Enrolled subjects then undergo comprehensive baseline evaluation with interval f/u evaluations:
 - Neurobehavioral symptoms surveys (NSI, PCL-5, PHQ-9, PSQI)
 - Neuropsychological testing from TBI CDEs
 - Imaging
 - Research blood draw

BIOMARKER ANALYSIS ULTRASENSITIVE IMMUNOASSAY

Plasma proteins examined for 9 candidate biomarkers by SIMOA



Courtesy of Quanterix.com

Standard paramagnetic particles coupled with antibody designed to bind a specific protein target are added to the sample to form a traditional immunocomplex.

Beads are subsequently concentrated and washed. When low abundance biomarkers are present, there are many more beads than targeted proteins. Each bead will only contain one bound protein or none.

Beads are then loaded into microarrays in the presence of substrate. Each array consists of >200k microwells, each large enough to hold only one bead. An oil solution is added to seal each well and remove excess beads.

Within the sealed femotiliter-sized wells, a single target molecule quickly generates enough fluorescence signal to be easily measured. Positive wells are enumerated to determine protein concentration.

Courtesy of Quanterix.com





300-1,000 times greater sensitivity than conventional ELISA





Repetitive TBI group similar demographically to non-repetitive TBI group, but also with significant differences in symptom measures:

	Controls	Cases			
Characteristic	No TBI (n = 45)	Less than three TBI (n = 94)	Three or more TBI $(n = 56)$	Significance	p-Value
Age, mean (SD) (year)	39.91 (11.41)	40.34 (11.34)	39.55 (9.77)	$F_{2, 192} = 0.096$	0.908
Male, no. (%)	38 (84.4)	82 (87.2)	47 (83.9)	$\chi^2 = 0.380$	0.827
Education, no. (%)					
High school graduate or GED	5 (11.1)	10 (10.6)	8 (14.3)	$x^2 = 0.860$	0.930
Some college or technical training	19 (42.2)	43 (45.7)	26 (46.4)	~	
College graduate or higher	21 (46.7)	41 (43.6)	22 (39.3)		
Number of TBI, mean (SD)	0.00	1.44 (Ò.499)	4.30 (1.71)	$F_{2,192} = 265.53$	0.000
Number of blast TBI, mean (SD)	0.00	0.45 (0.56)	1.25 (1.15)	$F_{2,192} = 39.57$	0.000
Number of general TBI, mean (SD)	0.00	0.99 (0.68)	3.05 (1.43)	$F_{2}_{192} = 158.18$	0.000
Years since first TBI, mean (SD)		16.81 (11.53)	23.48 (10.67)	$F_{1,148} = 14.44$	0.952
Years since last TBI, mean (SD)		11.78 (9.97)	7.27 (4.44)	$F_{1,148} = 10.23$	0.002
PHQ-9 total, mean (SD)	5.42 (5.78)	9.37 (6.48)	9.98 (6.09)	$F_{2,190} = 7.94$	0.001*
PCL-M total, mean (SD)	18.13 (17.96)	29.68 (19.68)	33.30 (19.59)	$F_{2 189} = 8.29$	0.000*
NSI, mean (SD)		х <i>,</i>		2,	
NSI total	16.64 (15.29)	28.00 (16.50)	34.80 (14.71)	$F_{2,190} = 16.66$	0.000
Somatic	3.64 (4.48)	7.00`(4.90)	9.69` (4.81)	$F_{2,190} = 19.80$	0.000
Affective	7.04 (5.82)	10.65 (6.20)	11.93 (5.13)	$F_{2}_{191} = 9.30$	0.000*
Cognitive	3.53 (3.53)	5.84 (3.98)	7.04 (3.60)	$F_{2,191} = 10.90$	0.000*
Vestibular	1.18 (1.89)	2.35 (2.44)	3.62 (2.25)	$F_{2, 191} = 14.46$	0.000

TRAUMATIC BRAIN INJURY ALSO CAUSES ELEVATIONS IN MARKERS OF NEURONAL INJURY AND DEGENERATION



Gill J et al. <u>Brain Inj.</u> 2018

Kenney K, et al. <u>Brain Inj.</u> 2018.

Guedes et al. Neurology. 2020 May

SLEEP, DEMENTIA, AND TBI











六

Synaptic downscaling for plasticity Waste clearance via glymphatic system Memory consolidation

Physical recovery

-//-



Parasympathetic activity (digestion,heart rate variablility, erectile tissue)

Immune regulation

WE SLEEP LESS AND LESS....

• People in USA who sleep < 6 hours per night:



- 12% 1990
- 20% 2009
- 35% 2014



<u>MMWR Morb Mortal Wkly Rep.</u> 2016 Feb 19;65(6):137-41.





N Engl J Med 1996; 334:924-925

Increased Strokes Sleep Med. 2016 Nov - Dec;27-28:20-24





The Journal of Immunology, 2011, 187: 283–290. Jan Born Lab



MILITARY SLEEP HABITS





In peace and war, the lack of sleep works like termites in a house: below the surface, gnawing quietly and unseen to produce gradual weakening which can lead to sudden and unexpected collapse.

—Major General Aubrey Newman (Follow Me, 1981)





EXAMPLOID BURDEN



Spira A et al. JAMA Neurol. 2013 Dec;70(12):1537-43.



https://doi.org/10.1038/s41467-021-22354-2

IUNICATIONS

-22354-2 OPEN

Association of sleep duration in middle and old age with incidence of dementia

Check for updates

Séverine Sabia^{1,2^{IM}}, Aurore Fayosse¹, Julien Dumurgier^{1,3}, Vincent T. van Hees⁴, Claire Paquet³, Andrew Sommerlad^{5,6}, Mika Kivimäki^{2,7}, Aline Dugravot¹ & Archana Singh-Manoux^{1,2}

- N=8000 (521 diagnosed with dementia)
- 25yr prospective study
- Ages 50-75



EXAMPLE OF DISORDER PATIENTS HAVE INCREASED RISK OF DEMENTIA

	Mild Cognitive Impairment or Dementia,	OR (95% CI)		
	No. (%) (n = 107)	Unadjusted	Adjusted ^a	
Hypoxia and Diso	rdered Breathing M	Measures		
Oxygen desaturation index, events/h <15	46 (43.0)	1 [Reference]	1 [Reference]	
≥15	60 (56.1)	1.67 (1.03-2.69)	1.71 (1.04-2.83)	
Oxygen saturation <90%				
<1% of sleep time	64 (59.8)	1 [Reference]	1 [Reference]	
≥1% of sleep time	43 (40.2)	0.87 (0.54-1.41)	0.83 (0.51-1.38)	
Sleep time in apnea or hypopnea, %				
Low (median: 0.9 [range, 0-2.2])	31 (29.0)	1 [Reference]	1 [Reference]	
Mid (median: 4.4 [range, 2.3-7.0])	31 (29.0)	1.00 (0.55-1.82)	1.16 (0.61-2.20)	
High (median: 16.4 [range, 7.0-66.8])	45 (42.1)	1.79 (1.01-3.20)	2.04 (1.10-3.78)	

• Yaffe et al. JAMA 2011.

Science 363, 880–884 (2019) 18 October 2013.

Sleep Drives Metabolite Clearance from the Adult Brain

Lulu Xie,¹* Hongyi Kang,¹* Qiwu Xu,¹ Michael J. Chen,¹ Yonghong Liao,¹ Meenakshisundaram Thiyagarajan,¹ John O'Donnell,¹ Daniel J. Christensen,¹ Charles Nicholson,² Jeffrey J. Iliff,¹ Takahiro Takano,¹ Rashid Deane,¹ Maiken Nedergaard¹†



Aqp4 knockout mouse has reduced CSF-ISF exchange



lliff et al. Sci Translat Med 2012



JCI Insight. 2018 Jul 12; 3(13): e121537.

Published online 2018 Jul 12. doi: <u>10.1172/jci.insight.121537</u>

PMCID: PMC6124518 PMID: <u>29997300</u>

Brain-wide glymphatic enhancement and clearance in humans assessed with MRI

<u>Geir Ringstad</u>,^{1,2} <u>Lars M. Valnes</u>,³ <u>Anders M. Dale</u>,^{4,5,6} <u>Are H. Pripp</u>,⁷ <u>Svein-Are S. Vatnehol</u>,⁸ <u>Kyrre E. Emblem</u>,⁹ <u>Kent-Andre Mardal</u>,^{3,10} and <u>Per K. Eide</u>^{2,11}

Injecting gadolinium dye into the CSF is rarely permitted in the US - this was done in Norway



EXAMPLE 1 SLEEP DYSFUNCTION CAUSING DEMENTIA IN A FEED-FORWARD CYCLE



THE LANCET
NeurologyYaffe K et al. October, 2014





EXAMPLE ANATOMY OF NORMAL CENC CEREBRAL MICROVASCULATURE



Reina de la Torre, et al Anat Record 1998;251:87-96

Weber et al Cereb Cortex 2008;18:2318





Rodriguez-Baeza, et al Anat Record 1998;252:176-184 Rodriguez-Baeza, et al Anat Record 2003;273A:583-593

J Neurosci 2014 34:16180-16193

Impairment of Glymphatic Pathway Function Promotes Tau Pathology after Traumatic Brain Injury

Jeffrey J. Iliff,^{1,2,3} Michael J. Chen,¹ Benjamin A. Plog,¹ Douglas M. Zeppenfeld,^{2,3} ^(D)Melissa Soltero,² Lijun Yang,¹ Itender Singh,¹ Rashid Deane,¹ and Maiken Nedergaard¹





- WORSE in Aqp4 knockout mice



lliff J J Neurosci 201434:16180-16193

CENC MILD TRAUMATIC BRAIN INJURY IS ALSO A RISK FACTOR FOR **SLEEP DISORDERS**

~50% of concussion patients develop sleep disorders

- Insomnia (20-50%)
- Sleep Apnea (25%)
- Hypersomnia (25%)
- Narcolepsy (5%)

Neurology®

Traumatic Brain Injury and Incidence Risk of Sleep Disorders in Nearly 200,000 US Veterans

Yue Leng, MD, PhD, Amy L. Byers, PhD, Deborah E. Barnes, PhD, Carrie B. Peltz, PhD, Yixia Li, MPH, and Kristine Yaffe, MD

Correspondence Dr. Leng

- N =197,418 Veterans
- NO sleep disorder at baseline
- 5 yr follow up
- mTBI assoc. not affected by PTSD dx
- Adj. for:
 - Demographics
 - Education
 - Income
 - Medical and psychiatric dx
- Hazard ratios TBI vs no TBI:
 - Insomnia I.5
 - Hypersomnia I.5
 - Sleep apnea 1.28
 - Sleep-movement disorder 1.33



Leng et al. Neurology 2021

EXAMPLE 1 SLEEP DISORDERS **+ TBI** = FEED FORWARD CYCLE FOR DEMENTIA



THE LANCET Neurology Modified from Yaffee K et al. October, 2014

Hypothesis

DYSFUNCTIONAL SLEEP AFTER TBI PROMOTES NEURODEGENERATION



Methods



- I) COHORT: derived from CENC Chronic Effects of Neurotrauma Consortium
- DoD and VA collaboration
- Multi-site, combat-deployed active duty and veterans with/without mild (only) TBI
- Longitudinal cohort with blood, MRI imaging, and interviews/questionnaires

2) OUTCOMES:

- Poor sleepers: $PSQI \ge 10$ (Pittsburgh sleep quality index)
- Categorical Fluency and Stop-Go testing (Executive function)
- STOP-BANG score assesses risk of having obstructive sleep apnea."High Risk" is >3
- Plasma Neurodegenerative biomarkers: (Single Molecule Array SIMOA)
 - <u>Amyloid beta (Aβ42)</u>
 - Neurofilament light (NfL)



Cohort

• Average 10.1 (8.6-11.6) years from index combatdeployed mTBI

ohort	Characteristics (SD)	Controls (N=44)	TBI (N=142)	Þ=
	Age	40.0 (11.5)	40.2 (10.7)	NS
	% Males	84.0 %	87.1%	NS
verage 10.1	% White	75.0%	70.5%	NS
6-11.6) years	BMI	29.2 (4.7)	30.6 (5.6)	NS
rom index	Avg. hours of sleep	5.9 (1.4)	5.4 (1.7)	NS
combat-	STOP-BANG	2.8 (1.5)	3.4 (1.8)	NS
oloyed mTBI	PSQI	8.3 (5.6)	10.7 (4.8)	0.015*
	NSI	17.0 (15.3)	30.3 (16.4)	<0.00001***
	PCL-5	18.5 (17.8)	31.0(19.8)	<0.00 **
LIMBIC	Categorical Fluency Test	19.4 (5.7)	19.2 (4.9)	NS
CFNC	Stop-Go Test	31.2 (1.7)	30.5 (2.7)	NS

Results

Biomarker pg/mL (SD)	Controls (N=44)	TBI (N=142)	Þ=
Amyloid β-42	6.5 (2.4)	6.9 (2.7)	NS
Tau	2.1 (1.1)	2.4 (1.4)	NS
Neurofilament light	8.9 (5.0)	10.3 (7.3)	NS





SLEEP QUALITY IS STRONGEST PREDICTOR OF NFL IN CHRONIC TBI, NOT CONTROLS



EXECUTIVE FUNCTION PERFORMANCE WORSE IN POOR SLEEPERS + TBI



Categorical Fluency Test

Stop – Go Test

SLEEP RELATED INTERVENTIONS MAY REDUCE NEURODEGENERATION





- Laboratory results:
 - Plasma p-tau not measurable
- Small overall N, though largest to date
- Cross-sectional analysis
 - Large variation of time since initial, most recent and index TBI
 - Large variation in time between injuries
 - Large variation in TBI mechanisms
- Cohort overall cognitively "normal"



CONCLUSIONS

SUMMARY OF FINDINGS:

- 1) Poor sleepers with remote mTBI have elevated biomarkers of neurodegeneration
- 2) Poor sleepers lower scores on executive function testing
- 3) Sleep quality correlates with plasma NfL and tau, not amyloid

IMPLICATIONS: (if confirmed in a larger, prospective study)

- 1) NfL could serve as a prognostic biomarker of TBI-related dementia
- 2) Dysfunctional glymphatic clearance (TBI / sleep dysfunction) could mediate TBI related dementia
- **3)** Sleep/glymphatic dysfunction: A novel TBI treatment/preventative target?



- Test larger LIMBIC-CENC cohort (up to additional 1,500 samples) cross-section
 - biomarkers of neurodegenerative disorders compared to sleep and cognitive function
- Collect longitudinal data (candidate biomarkers/neurocognitive outcomes) in a population in an aged post-TBI cognitive decline
- Correlate longitudinally assessed candidate diagnostic and prognostic biomarkers with sleep disorder diagnoses, cognitive function and glymphatic functional imaging



Battalion to hold sleep deprivation awareness brief at 0430

"The unit won't rest until the problem is solved. These driving accidents aren't something we're going to take lying down," LTC Newman commented. "We're working around the clock to remedy this sleep deprivation."

"If they can't find eight consecutive hours to sleep between midnight and four in the morning, that's on them," Newman said before scooping his pre-workout powder into a can of Monster.





BLOOD BIOMARKERSTEAM

- J. Kent Werner, Jr. MD PhD (USU, WRNMMC)
- Josephine Pucci, MS (USU)
- Jessica Gill, PhD (NIH)
- Vivian Guedes, PhD (NIH)
- Sara Mithani, PhD (NIH)
- Chen Lai, PhD (NIH)
- Pashtun Shahim, MD PhD (NIH)
- Risa Nakase-Richardson, PhD (DVBIC, VA, USF)
- Ramon Diaz-Arrastia, MD PhD (U Penn)
- Kimbra Kenney, MD (USU, WRNMMC)







We thank the military Service members and Veterans who participated in this study;

LIMBIC-CENC Leadership: Col. Sidney Hinds (Co-PI), Kristine Yaffe MD (Co-PI), David Cifu MD (Consortium PI)

The CENC Observational Study Site Pis/co-PIs also include: Heather Belanger PhD (Tampa), Carlos Jaramillo MD (SanAntonio), Ajit Pai MD (Richmond), Melissa Guerra MD (Fort Belvoir), Randall Scheibel PhD (Houston), Terri Pogoda PhD (Boston), Scott Sponheim PhD (Minneapolis), Kathleen Carlson PhD (Portland), William Walker MD (Richmond).

We also acknowledge the efforts of the entire CENC Observational Study Leadership Working Group and Core Team members also include: Justin Alicea, Jessica Berumen, Cody Blankenship, Jennifer Boyce, Linda Brunson, Katrina Burson, Julia Christensen, Margaret Clarke, Doreen Collins, Sureyya Dikmen, Esra Doud, Connie Duncan, Stephanie Edmunds, Robyn Endsley, Elizabeth Fogleman, Laura M. Franke, Katelyn Gormley, Brenda Hair, Jim Henry, Nancy Hsu, Cheryl Ford-Smith, George Gitchel, Caitlin Jones, Sunchai Khemalaap, Valerie Larson, Tiffany Lewis, Scott McDonald, Tamara McKenzie-Hartman, Frank Mierzwa, Alison Molitor, Joe Montanari, Johnnie Mortenson, Nicholas Pastorek, Judy Pulliam, Risa Richardson, Callie Riggs, Rachel Rosenfield, Sara Salkind, James K. Sickinger, Taylor Swankie, Nancy Temkin, Doug Theriaque, Maya Troyanskaya, Rodney Vanderploeg, and Carmen Vasquez.

Sorana Raiciulescu, MSc (USU bioinformatics)

Thank you! <u>kent.werner@usuhs.edu</u> & <u>kimbra.kenney@usuhs.edu</u>

EXTRAS



Balba NM et al. J Clin Sleep Med. 2018 Nov 15; 14(11): 1865–1878.

Results

TBI_Status 🔷 Control 🔶 TBI

PTSD SYMPTOMS CORRELATE WITH SLEEP QUALITY IN TBI AND CONTROLS

Replicating Balba et al. previously shown

