Putting Science into Practice is Hard: But There is Help!



- Introduce Dissemination & Implementation Science (D&I)
- Receive feedback from YOU to refine our presentation

- Introduction to Dissemination & Implementation Science (D&I)
- How to design for dissemination, implementation, & sustainability
 - Pre-Implementation (planning): Data to knowledge
 - Implementation (doing): Knowledge to practice
 - Sustainment (routinizing): Practice to data
- Additional resources to learn more

- 1. What is Dissemination & Implementation Science.
- 2. Why Dissemination & Implementation Science are important tools to support VA's clinical, research, quality improvement, and administrative work.
- 3. When to apply a systematic, yet pragmatic, approach when implementing health interventions or programs in the real world.
- 4. How to promote a learning health system through Dissemination & Implementation Science.

- **Dissemination** is the active approach of spreading evidence-based health interventions to patients who need them.
- *Implementation* is the process of integrating the evidence-based health interventions into real-world clinical settings.
- Learning Health System is a health system that promotes continuous learning, more rapidly uses research as well as data and experience in clinical practice to improve patient care outcomes and reduce costs.
- *Evidence-Based Practice* refers to an intervention, program or innovation to be implemented.

Non-Scientific Language to Define D&I Science

The intervention/practice/innovation = *THE THING*

Effectiveness research looks at whether THE THING works

Implementation research looks at how best to help people/places DO THE THING

Implementation interventions = the stuff we do to try to help people/places *DO THE THING*

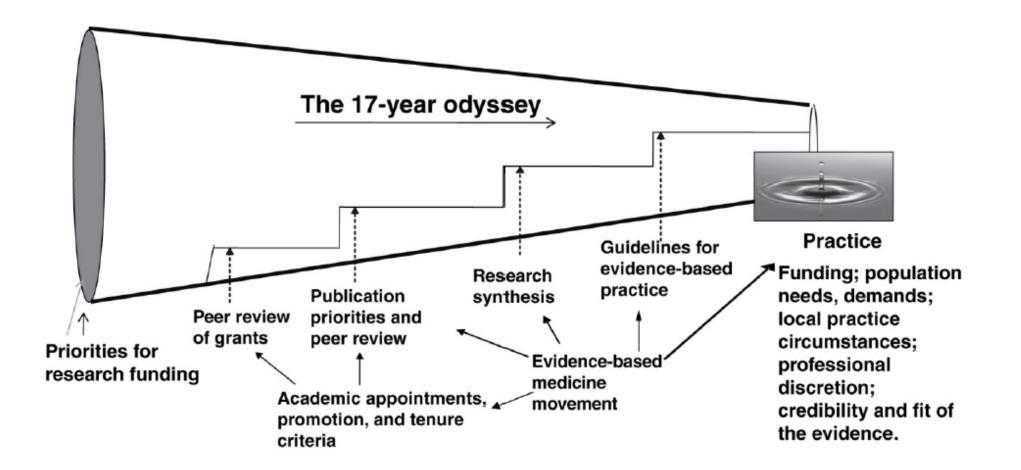
Main implementation outcomes = HOW MUCH and HOW WELL they DO THE THING

Sustainment = HOW MUCH will they CONTINUE to *DO THE THING*

Adapted from Curran (2020)

Introduction: Research to Practice Gap

The Problem: Research to Practice Pipeline



Green, LW et al, (2009); Balas, EA & Boren, SA (2000); Chalmers I & Glasziou P, (2009); Harvey, G & Kitson, A (2015)

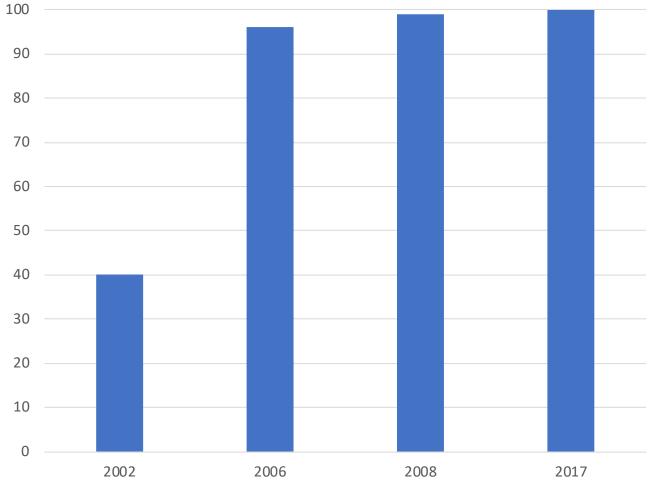


Balas & Boren, (2000); Fixsen, Blasé, Timbers & Wolf, (2001); Saldana & Chamberlain, (2012); Harden SM et al, (2021)

Case Example: VA MOVE! Weight Management Program for Veterans



Percentage of VA Medical Centers with Weight Management Programs



Kinsinger et al. (2009)

If a tree fails in a forest and nobody is around to hear it, does it make a sound?

D&I Science: Big Picture to Bedside

Why is D&I Important?



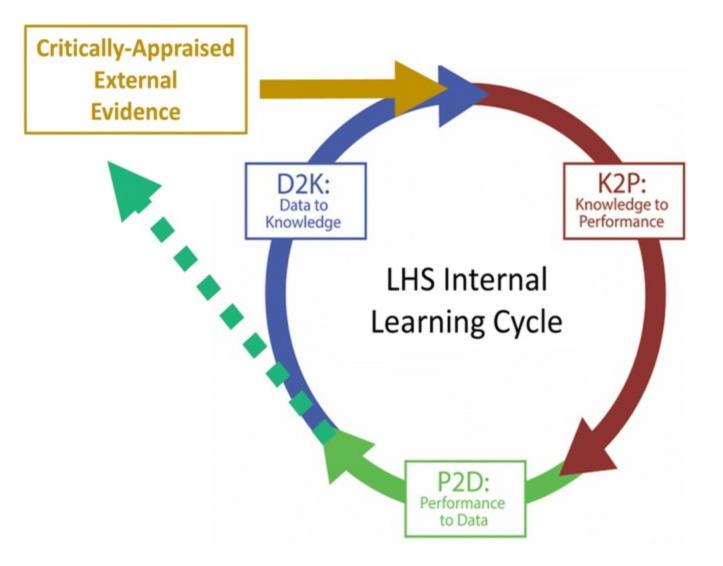
Kilbourne AM, Glasgow RE, Chambers DA (2020)

Case Example: VA MOVE! and D&I Steps

Engaging Stakeholders	 Primary care providers identified weight management as most pressing preventive medicine need Informational Letter and other communications to describe for leaders and clinicians the need for a program and keep stakeholders aware of program development Strong support from VA Under Secretary for Health during all phases of development and implementation
Initial Program Design	 Based on NIH guidelines, other available literature, USPSTF recommendation for screening and counseling Implementation in primary care clinics (screening, counseling) Development of program materials
Initial Dissemination	 Pilot feasibility trials with 17 VHA medical facilities Program materials provided but modified to suit local need Mimic real-world conditions – no additional staff resources or funds
Feasibility Evaluation	 Staff and patients evaluated materials and program for usability, suitability Program materials revised in response to feedback Based on pilot results, VA Weight Management Executive Council (national recognized experts) endorsed the program as a state-of-the-art, population-based weight management initiative

Kinsinger et al. (2009)

Learning Health System Characteristics



Guise, et al (2018); About Learning Health Systems (2019)

How does D&I fit with a Learning Health System?

Research

- Robust body of basic, clinical, and health services research
 New treatments developed in clinical trials, incorporated into clinical guidance
- Health services research studies implementation

Research ← → Practice

- Less developed research base
 Researchers and program
 leads develop data systems,
 initiatives, administrative
 processes, and research ideas
 together
- •May involve joint support for individuals from program and research office
- •Highly iterative and fluid process
- •Dependent on individual abilities and styles of partner leads on each side

Practice

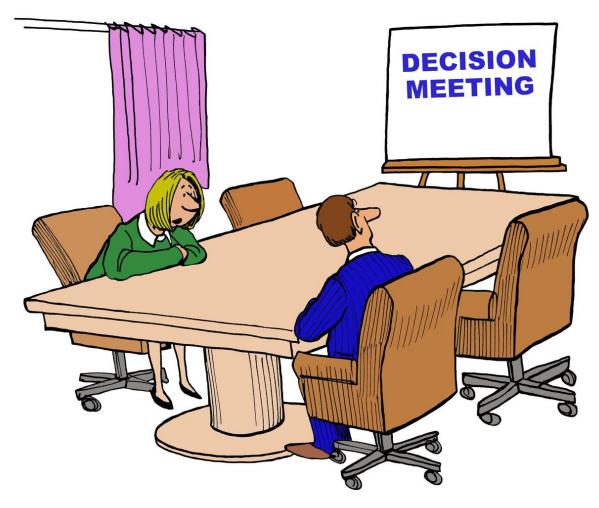
New initiatives driven by clinical urgency
Researchers inform, but do not direct changes adopted
New initiatives evaluated using research methods to assess implementation, effectiveness, costs, and sources of variation
Findings lead to adaptations of initial initiative

Atkins, D., Kilbourne, A.M., Shulkin, D. (2017)

Pre-Implementation:

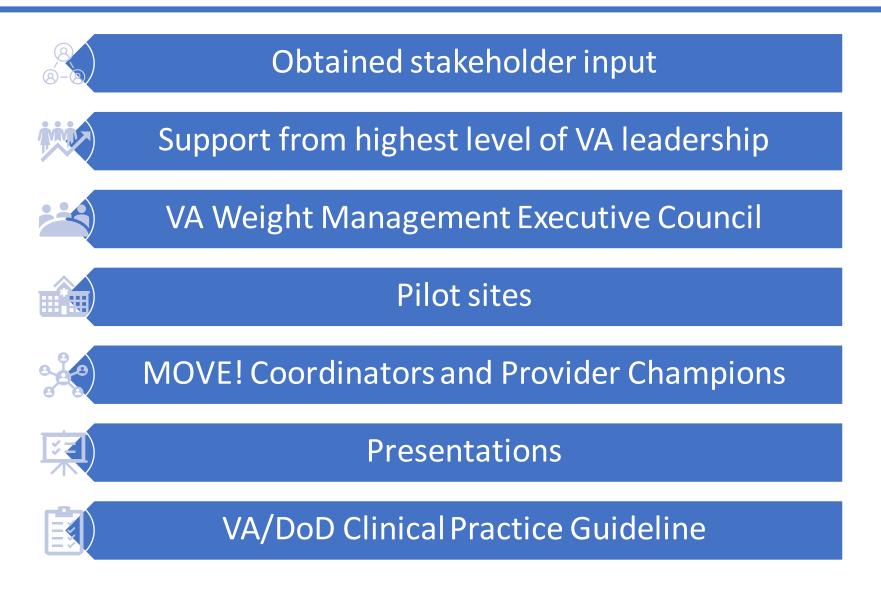
Engaging Stakeholders

The Problem: Programs are designed by experts, not the users



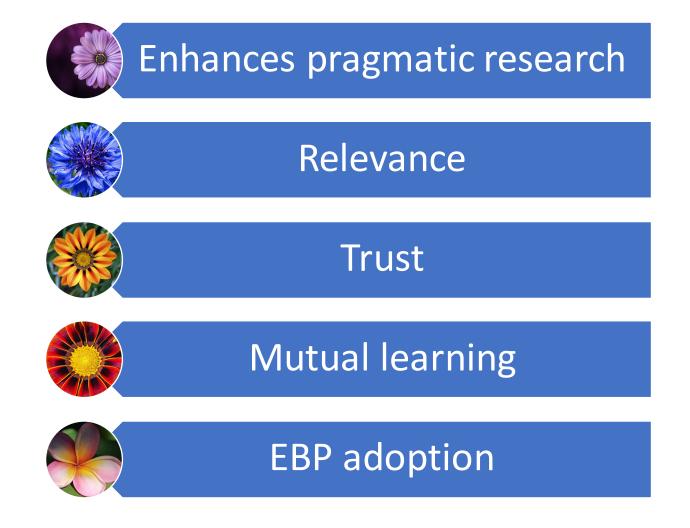
"Quick, let's make the decision before everyone else shows up!"

Case Example: MOVE! Stakeholder Engagement



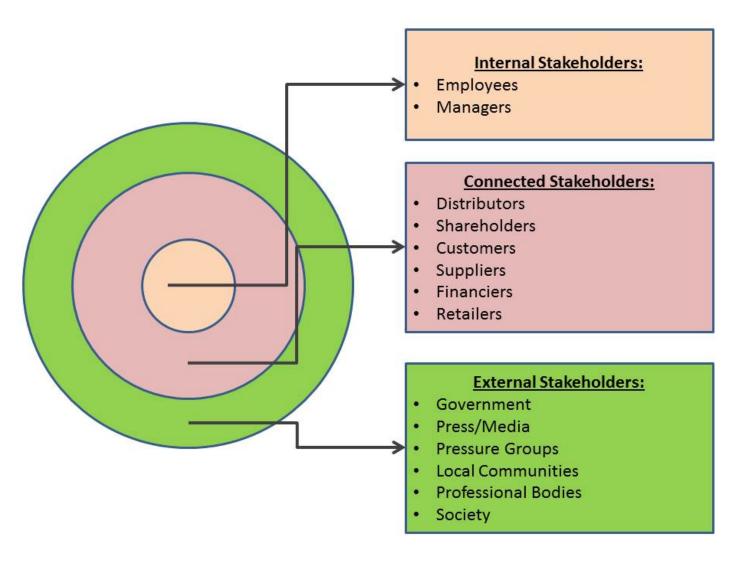
Kinsinger et al. (2009)

Stakeholder Engagement



Rabinowitz (2021)

How do you Identify Stakeholders?



Concannon TW, et al. (2012)

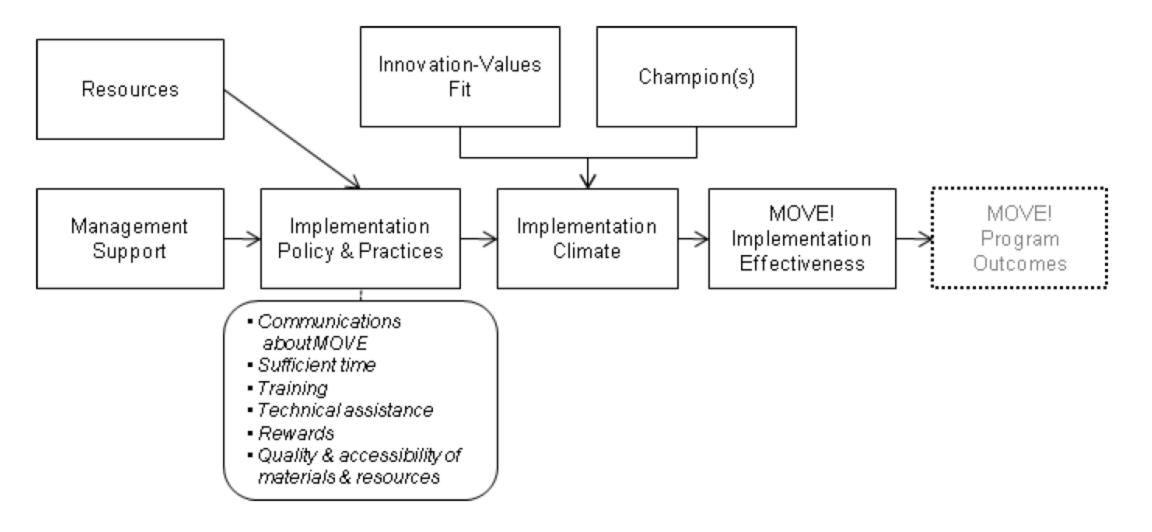
Pre-Implementation:

Context and Environment

The Problem: Programs that work at one VA won't work at all VAs



Case Example: MOVE! Understanding Context



Understanding Local Context and Environment

Conduct a pre-implementation assessment!

What does this mean?		
Collect information		Μι
and engage key		арр
stakeholders prior		
to program delivery		

How?

Multi-methods approach

Why?

To adapt the program to align with needs, preferences, priorities of the setting

Why Care about Context? What is the Value Added?



IF CONTENT Is King, CONTEXT IS **OUEEN** ...and she rules the house.

Implementation:

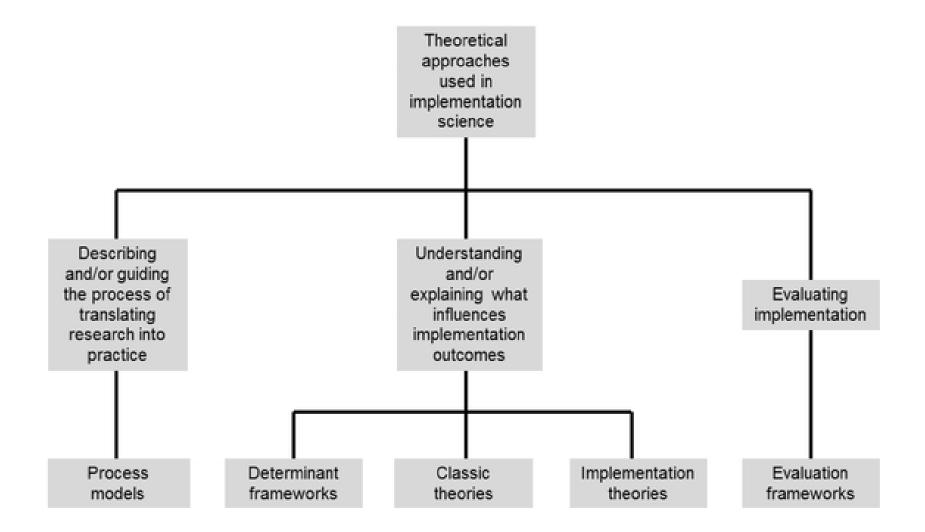
Frameworks

Implementation - Guide By:

Theories, models, frameworks (TMF)

Implementation interventions

Theories, Models and Frameworks



Nilsen, P (2015)

Dissemination & Implementation Models

in Health Research & Practice

Sections of the D&I Models Webtool



https://dissemination-implementation.org

Birken, et al (2018)

Usability

TMF includes relevant constructs (e.g., self-efficacy; climate)

Key stakeholders (e.g., researchers; clinicians; funders) are able to understand, apply, and operationalize TMF.

TMF has a clear and useful figure depicting included constructs and relationships among them.

TMF provides a step-by-step approach for applying it.

TMF provides methods for promoting implementation in practice.

TMF provides an explanation of how included constructs influence implementation and/or each other.

Testability

TMF proposes testable hypotheses.

TMF includes meaningful, face-valid explanations of proposed relationships.

TMF contributes to an evidence base and/or TMF development because it has been used in empirical studies.

Applicability

TMF focuses on a relevant implementation outcome (e.g., fidelity; acceptability).

A particular method (e.g., interviews; surveys; focus groups; chart review) can be used with TMF.

TMF addresses a relevant analytic level (e.g., individual; organizational; community).

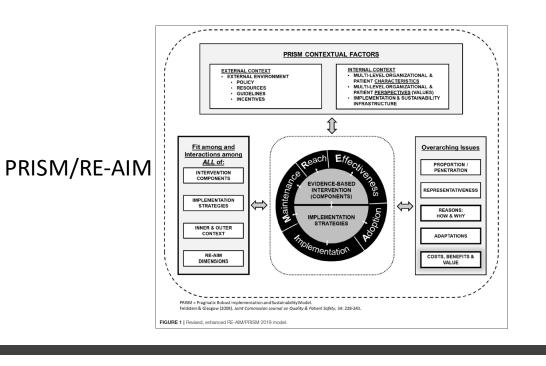
TMF has been used in a relevant population (e.g., children; adults with serious mental illness) and/or conditions (e.g., attention deficit hyperactivity disorder; cancer).

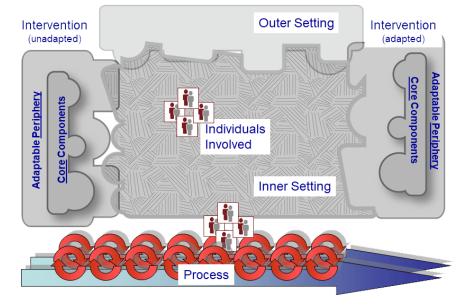
TMF is generalizable to other disciplines (e.g., education; health services; social work), settings (e.g., schools; hospitals; community-based organizations), and/or populations (e.g., children; adults with serious mental illness).

Acceptability

TMF is familiar to key stakeholders (e.g., researchers; scholars; clinicians; funders).

TMF comes from a particular discipline (e.g., education; health services; social work).







https://www.re-aim.org/; https://cfirguide.org/; Kilbourne AM, et al. (2019)

CFIR

Case Example: RE-AIM Applied to MOVE!

Dimension	Definition	Results
Reach	Number, proportion, and representativeness of individuals willing to participate in MOVE!	90% of Veterans offered participation.
Effectiveness	The impact of MOVE! on important outcomes, including potential negative effects, quality of life, and economic outcomes.	50% of Veterans experienced clinically significant or modest weight loss.
Adoption	Number, proportion, and representativeness of settings and providers willing to initiate MOVE!	98% of facilitates adopted MOVE!
Implementation	Providers' fidelity to MOVE! protocol and delivery of MOVE! as intended; time and cost of implementing MOVE!	Standardized materials, delivered with fidelity.
Maintenance	The extent MOVE! is institutionalized or part of the routine organizational practices and policies	MOVE! was integrated into routine VA care.

Kahwati LC, et al (2011)

Implementation:

Strategy and Interventions

Table 3 ERIC discrete implementation strategy compilation (n = 73)

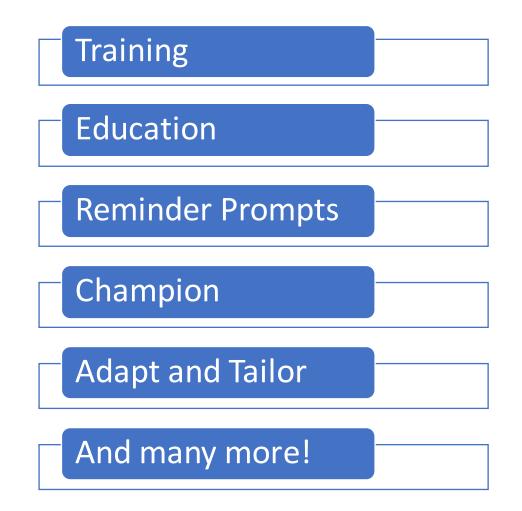
From: <u>A refined compilation of implementation strategies: results from the Expert Recommendations for Implementing Change (ERIC) project</u>

Strategy	Definitions	
Access new funding	Access new or existing money to facilitate the implementation	
Alter incentive/allowance structures	Work to incentivize the adoption and implementation of the clinical innovation	
Alter patient/consumer fees	Create fee structures where patients/consumers pay less for preferred treatments (the clinical innovation) and more for less-preferred treatments	
Assess for readiness and identify barriers and facilitators	Assess various aspects of an organization to determine its degree of readiness to implement, barriers that may impede implementation, and strengths that can be used in the implementation effort	
Audit and provide feedback	Collect and summarize clinical performance data over a specified time period and give it to clinicians and administrators to monitor, evaluate, and modify provider behavior	
Build a coalition	Recruit and cultivate relationships with partners in the implementation effort	
Capture and share local knowledge	Capture local knowledge from implementation sites on how implementers and clinicians made something work in their setting and then share it with other sites	
Centralize technical assistance	Develop and use a centralized system to deliver technical assistance focused on implementation issues	
Change accreditation or membership requirements	nbership Strive to alter accreditation standards so that they require or encourage use of the clinical innovation. Work to alter membership organization requirements so that those who want to affiliate with the organization are encouraged or required to use the clinical innovation	
Change liability laws	Participate in liability reform efforts that make clinicians more willing to deliver the clinical innovation	
Change physical structure and equipment	Evaluate current configurations and adapt, as needed, the physical structure and/or equipment (<i>e.g.</i> , changing the layout of a room, adding equipment) to best accommodate the targeted innovation	

https://doi.org/10.1186/s13012-015-0209-1

Implementation Interventions

How to "DO THE THING"



Powell, B.J. et al. (2015)

Selecting and Tailoring Implementation Interventions



Select interventions that fit your context and appeal to your stakeholders: Implementation Mapping: doi: 10.3389/fpubh.2019.00158 Proctor's Specifying and Reporting: doi: 10.1186/1748-5908-8-139



Be prepared to select multiple interventions to address different challenges at different point of time



Construct implementation interventions by combining more than one strategy

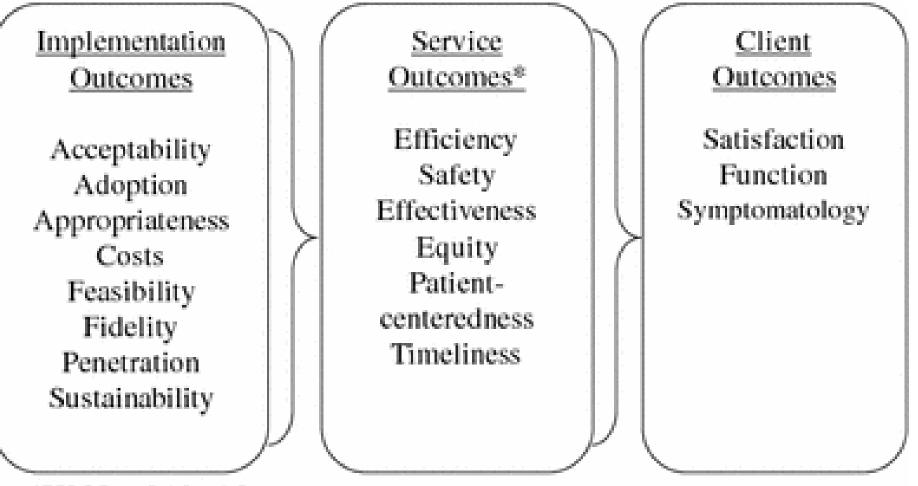
Fernandez, et al. (2019); Proctor, et al. (2013)

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Implementation:

Outcomes

Outcomes



*IOM Standards of Care

Implementation Outcomes

Implementation Outcome	Definition
Acceptability	Level of satisfaction with various parts of an intervention
Adoption	Spectrum of uptake to try an intervention
Appropriateness	Perceived relevance or fit of an intervention
Cost	Quantified measure of cost, cost-effectiveness, or cost/benefit ratio
Feasibility	Extent to which an intervention can be successfully carried out
Fidelity	The degree to which an intervention is implemented as it was designed
Penetration	Extent to which the intervention is used or delivered
Sustainability	Extent to which the broad use of the intervention is maintained

Proctor, et al (2009); Proctor, et al (2011); Weiner, et al (2017)

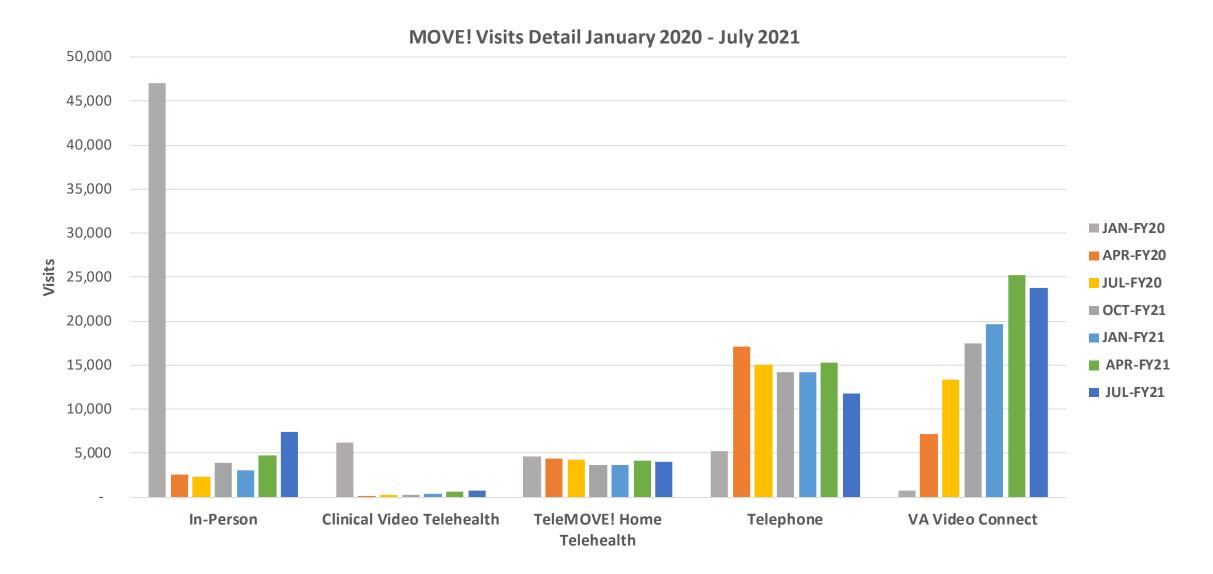
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Sustainment:

Evaluation and Sustainability

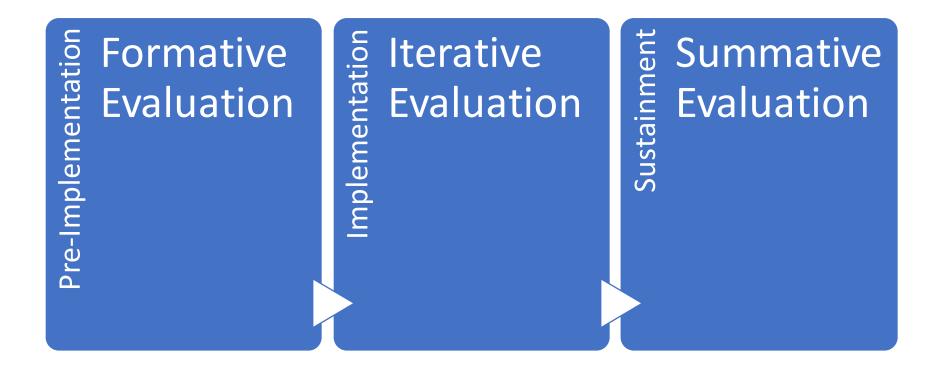
The Problem: Programs that work often fade away unless attention is paid to sustainability

Case Example: MOVE! Evolution for Sustainability

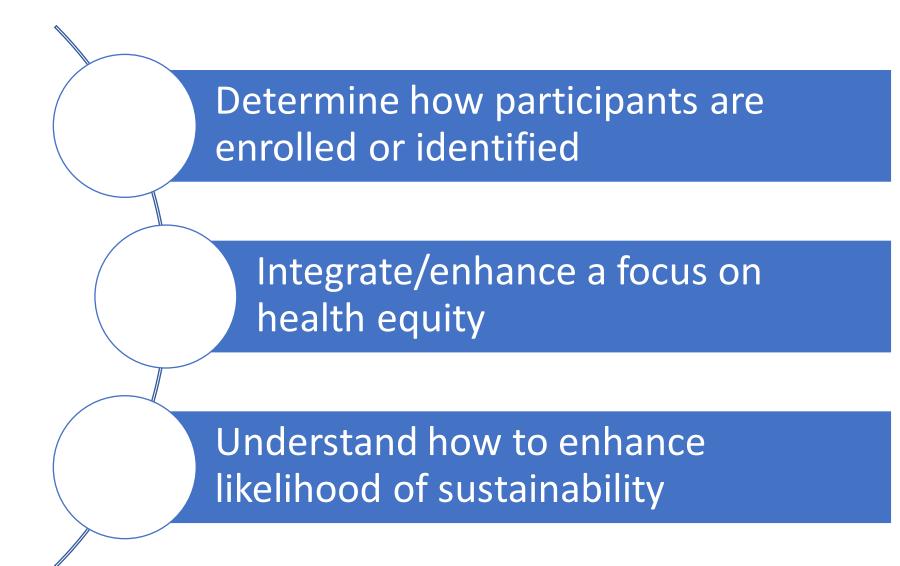


Data source: VSSC MOVE! Visits Report

Evaluating Sustainability



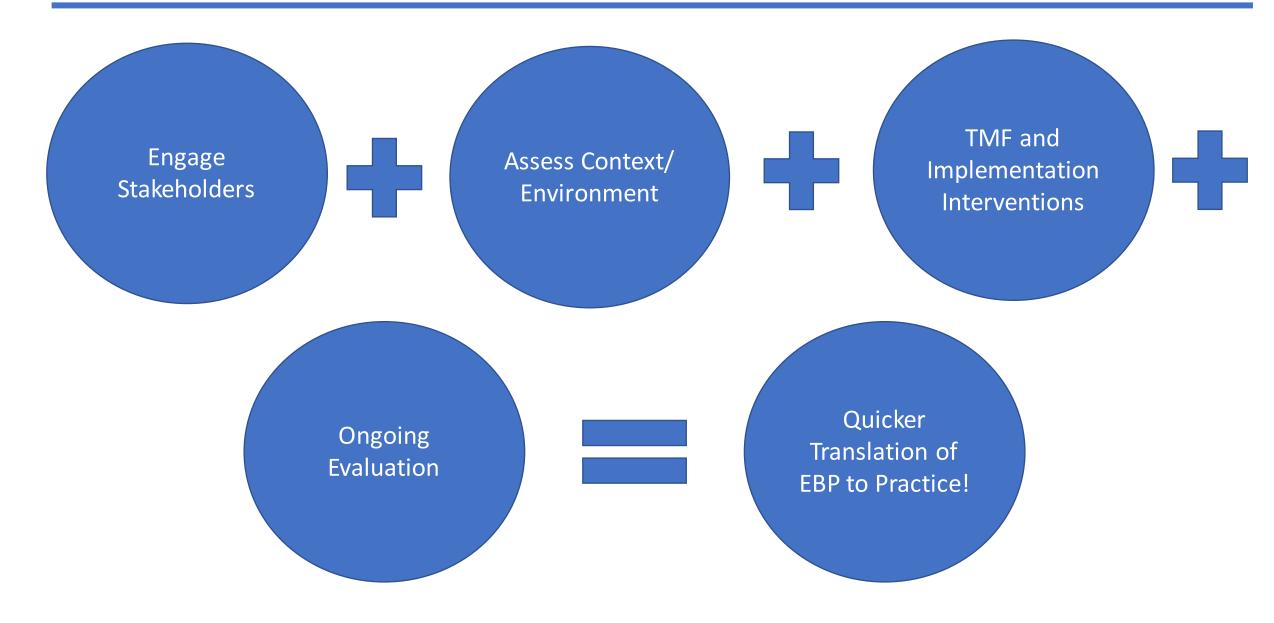
Implications of Evaluation



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Conclusion

Summary



Use a D&I approach to help your research impact Veterans. Don't let it be 17 years!!! ③

Resources for Additional Learning

- di-greatest-hits-by-category_7-1-19-(1).pdf (ucdenver.edu)
- di-competencies-mt-dirc.pdf (ucdenver.edu)
- Research Tools | Division of Cancer Control and Population Sciences (DCCPS)
- Effective Practice and Organisation of Care (EPOC) Taxonomy: epoc.cochrane.org/epoc-taxonomy
- www.dissemination-implementation.org
- https://medschool.cuanschutz.edu/accords/cores-and-programs/dissemination-implementation-scienceprogram
- https://sph.unc.edu/research/explore/implementation-science/
- http://www.episcenter.psu.edu/
- http://implementation.fpg.unc.edu/
- https://societyforimplementationresearchcollaboration.org/
- https://ctb.ku.edu/en
- http://www.rethinkingclinicaltrials.org/
- https://www.queri.research.va.gov/implementation/
- https://www.queri.research.va.gov/ceir/
- https://www.queri.research.va.gov/tools/roadmap.cfm
- https://www.cdc.gov/eval/guide/introduction/index.htm

Resources for Additional Learning

- Quality Enhancement Research Initiative Training (QUERI) Hubs https://www.queri.research.va.gov/training_hubs/default.cfm
 - 1. Adaptation Hub
 - 2. Designing for Dissemination and Implementation (D4D&I) Hub
 - 3. Evidence-Based Quality Improvement (EBQI) Hub
 - 4. Implementation Facilitation (IF) Hub
 - 5. Learn. Engage. Act. Process. (LEAP) Hub
 - 6. Leading Healthcare Improvement (LHI) Hub
 - 7. Teamwork Training Hub

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