SQL Query Optimization for Researchers

- As research analysts, we often need costly SQL queries.
 - Wide time ranges
 - Nationwide studies
 - Complicated cohort criteria
- In this presentation, we will talk about how to safely and efficiently approach heavy data needs, and we will troubleshoot some illustrative example queries.
- This is an intermediate level presentation.

"True optimization is the revolutionary contribution of modern research to decision processes." – George Dantzig



Assumptions

I assume:

- You know how to write basic to intermediate SQL queries.
- You know basic CDW architecture, e.g.
 - Dim vs Fact tables
 - Foreign keys
- You can ensure your queries return the correct results.
 - This Cyberseminar is focused on getting the same results faster.
 - If you didn't catch it, check out the recent debugging presentation from Jan 2024 on <u>VINCI Training & Office Hour</u> (va.gov)



The Plan



When we arrive here, we will have a solid foundation to which to attach these details.

> We will start at the bottom with nontechnical explanations.



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Meet Your Colleague



Strengths:

- Searching
- Sorting
- Syntax
- Arithmetic

Weaknesses:

- Common sense
- Context
- Matching datatypes
- Algebra



You Are a Team

- Every query you write is a collaboration between you and the query engine/optimizer (henceforth "SQL")
 - You describe what you want
 - Fetch me these records
 - Display them to me like this
 - SQL figures out what to do
 - SQL reads your query to understand what you're asking for
 - SQL comes up with a plan to fetch what you want
 - SQL passes that plan to the server and displays the result when available



Help Your Teammate

- The division of labor brings great benefits, but there are also downsides:
 - Performance problems are obfuscated
 - Error messages can be unhelpful
- You and your colleague both have strengths and weaknesses
 - Query optimization often comes down to setting your colleague up for success, even if that means getting "out of your lane" sometimes



Query Plans

Examples.sql - vhacdwrb03.vha.med.va.gov.CDWWork (VHA19\VHASLCHolbrA (111)) - Microsoft SQL Server File Edit View Query Project Tools Window Help 💾 🚰 <u>B</u> New Query 🛢 🔊 🖓 🕅 🔝 🛣 🖉 N G - O 待 -*n - C 9 -■ ✓ 22 🗇 🖶 🕾 📰 1 CDWWork Execute -Obje Disk Usage by To...- VHACDWRB03\RB03 Examples.sql - Display Estimated Execution Plan (Ctrl+L) join CDWWork.dim.ICD10 icd

> Click! This doesn't run the query, it just asks your colleague what he'd do IF you ran the query.



Live Query Statistics





How to Read a Query Plan

- Each operation passes rows to the next from right to left.
- Mouse over operations for more info.
- 💻 Watch for warnings like 🐴 and



More Info from Query Plans



Subtree cost

Cost heuristic:

- Dozens great, probably just a dim
- Hundreds it's fine
- Thousands borderline, but this is how real work gets done

11

- > 20k probably bad
- > 300k definitely bad (probably auto-killed)

	I		-		₽ ₩ ₽	
	SELE Cost:	SELECT			Columnstore Index Scan [CohortPatientSID].[CCI	
_	00001				Cost: 0 %	
▼	-	Cached plan size		112 KB		
		Estimated Operator Cost		0 (0%)		
	1	Estimated Subtree Cost		111.859	Hash Match	Clustered Index Scan (C
	Ī	Estimated Number of Rows for All Execu	tions	0	(Inner Join)	[Location_v311].[pk_Loc
	ī	Estimated Number of Rows Per Executio	n	9208.49	Cost: 0 %	Cost: 46 %
Mouse over upper-left icon SELECT		Statement SELECT vis.VisitSID, vis.sta3n, vis.VisitDateTii vis.PatientSID locLocationSID, locLocationName FROM ORD_Holbrook_202202042D.SrcOur IOIN CDWWork.Dim.Location loc on vis.LocationSID = locLocationSID WHERE vis.VisitDateTime > convert(datetim 01') and locSta3n = 548	me, tpat_ V is e2(0),'2	it vis 022-03-		Columnstore Index Scan [Visit_v224].[cci_Visit Cost: 54 %

It was Just an Estimate!







Hey Where Did Those Estimates Come From?

		Name Undate		Powe Co	mplad Store	Deneity	Average key length	String Index	Filter Evenesion	Linfiltered Revue	Pareistad Sampla Paras
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	1.0	A69.20	454	1	454		1				
	2	1100.20			404						
	2	B78.9	511	1	511		1				
	2 3 4	B78.9 C38.0	511 255	1	511 255		1				
	2 3 4 5	B78.9 C38.0 C7A.012	511 255 511	1 1 1	511 255 511		1 1 1 1				
Table stats dude	2 3 4 5 6	B78.9 C38.0 C7A.012 C86.3	511 255 511 383	1 1 1 1	434 511 255 511 383		1 1 1 1				
Table stats, dude	2 3 4 5 6 7	B78.9 C38.0 C7A.012 C86.3 D12.2	511 255 511 383 255	1 1 1 1 1	511 255 511 383 255		1 1 1 1 1 1				
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Table stats, dude	2 3 4 5 6 7 8 9	B78.9 C38.0 C7A.012 C86.3 D12.2 D39.0 E08.3533	511 255 511 383 255 255 511	1 1 1 1 1 1 1	511 255 511 383 255 255 511		1 1 1 1 1 1 1 1 1				
Table stats, dude	2 3 4 5 6 7 8 9 10	B78.9 C38.0 C7A.012 C86.3 D12.2 D39.0 E08.3533 E36.01	511 255 511 383 255 255 511 511	1 1 1 1 1 1 1 1 1	511 255 511 383 255 255 511 511		1 1 1 1 1 1 1 1 1				

CDW Partitions

- CDW fact tables are organized ("partitioned") by date
- Ensuring SQL can skip over ("eliminate") date ranges it doesn't need is the most important optimization tool.



Partitions





Entries are stored alphabetically, and volumes are labeled with end points



Partitions

Entries are stored by date, and partitions are labeled with end points







One More Thing

- Researchers must translate object names when using provisioned views.
 - Best practices, partitioning, indices, and metadata all apply!
 - Your execution plans will have an extra join to your cohort.

[CDWWork].[Outpat].[Visit] [ORD_Holbrook_202202042D].[Src].[Outpat_Visit]

Now, Finally, Best Practices

Don't be greedy.

 Select only the rows and columns you need, especially from fact tables.





Partition Elimination

- CDW fact tables are partitioned. In order to use partition elimination:
 - Determine which column is used
 - Check the datatype for that column
 - Use that column and datatype in your WHERE clause





Did It Work?



Columnstore Index Scan (Clustered) [Appointment v192].[cci Appointment ... Cost: 69 %

Columnstore Index Scan (Clustered)

Scan a columnstore index, entirely or only a range.

Physical Operation	Columnstore Index Scan
Logical Operation	Clustered Index Scan
Estimated Execution Mode	Batch
Storage	ColumnStore
Estimated Operator Cost	20.4391 (69%)
Estimated I/O Cost	15.3258
Estimated Subtree Cost	20.4391
Estimated CPU Cost	5.11331
Estimated Number of Executions	1
Estimated Number of Rows to be Read	46434600
Estimated Number of Rows	37205500
Estimated Row Size	22 B
Partitioned	True
Ordered	True
Node ID	1

Predicate

([CDW12].[Appt].[Appointment_v192].[OpCode] as [a].[OpCode] <'X' OR [CDW12].[Appt].[Appointment_v192].[OpCode] as [a].[OpCode] >'X') AND ([CDW12].[Appt].[Appointment_v192].[OpCode] as [a]. [OpCode] <'D' OR [CDW12].[Appt].[Appointment_v192].[OpCode] as [a].[OpCode]>'D') AND [CDW12].[Appt].[Appointment_v192]. [AppointmentDateTime] as [a].[AppointmentDateTime]>'2021-01-01 00:00:00'

Object

[CDW12].[Appt].[Appointment_v192].[cci_Appointment_v192] [a] Output List

[CDW12].[Appt].[Appointment_v192].AppointmentSID, [CDW12]. [Appt].[Appointment_v192].OpCode

Seek Predicates

Seek Keys[1]: Start: Ptnld1001 >= Scalar Operator((87)), End: PtnId1001 <= Scalar Operator((122))





Use Temp Dims

- Build yourself a temporary dimension with the SIDs you need.
 - Searching with wildcards is fine, because you're only using the dimension.
 - Iterate to your heart's content.
- Don't join to the fact table until you know what you're looking for.
 - In general, wildcard searches on fact tables should be avoided.
- Similarly, you can use temp tables for patient cohorts.



Temp Dim Example

```
SELECT diag PatientSID
FROM ORD_Holbrook_202202042D.Src.Outpat_Vdiagnosis as diag
JOIN CDWWork.dim ICD10 as icd
    ON diag.ICD10SID = icd.ICD10SID
WHERE diag Sta3n = 523
    AND diag VisitDateTime > convert(datetime2(0),'2021-01-01')
    AND icd ICD10Code LIKE 'B18%'
```

SELECT icd10SID ______ Just grab the SID
INTO #ICD_Hep
FROM CDWWork.dim.ICD10 as icd we need for the join
WHERE icd.ICD10Code LIKE 'B18%'
SELECT diag.PatientSID
FROM ORD_Holbrook_202202042D.Src.Outpat_Vdiagnosis as diag
JOIN #ICD_Hep as icd ______
ON diag.ICD10SID = icd.ICD10SID
WHERE diag.Sta3n = 523
AND diag.VisitDateTime > convert(datetime2(0),'2021-01-01')



Multiple Fact Tables In One Query

- Joining multiple fact tables is not usually recommended.
 - Typically, it is better to refine a cohort over multiple queries.
- If you do use multiple fact tables in one query, remember to use the partition key for each one.



Use Partition Elimination For Each Fact Table





Functions

- Avoid using functions on columns in WHERE or JOIN clauses.
 - In the SELECT clause is fine.
- Comparing a column to a function output is the correct way.
- Treat math like a function.
- Bottom line: Columns should be by themselves on one side of the operator in the WHERE clause if at all possible.



Functions on Columns

- When comparing columns, you will sometimes need some logic applied to one. In this case:
 - Leave fact table columns as-is, especially the partition column.
 - Pre-filter and pre-calculate in a CTE or temp table.



Column Function Example

Note the column inside a function in the SELECT clause. This is fine! Just don't do it in a JOIN or WHERE.

select PatientSID
FROM CDWWork.Outpat.Visit as vis
WHERE year(vis.VisitDateTime) = '2020'
and Sta3n = 523

select PatientSID, year(vis.visitdatetime) as VisitYear
into #temp
From CDWWork.Outpat.Visit as vis
WHERE vis.VisitDateTime >= convert(datetime2(0),'2020-01-01')
and vis.VisitDateTime < convert(datetime2(0),'2021-01-01')
and Sta3n = 523</pre>



Functions

Especially avoid functions like DateDiff() with multiple columns used as inputs. You should split the columns so they are on opposite sides of the operator.



Date Function Example

FROM CDWWork.Appt.Appointment apt
WHERE datediff(month,apt.AppointmentDateTime ,getdate()) between 0 and 1

Also, this yields the wrong result

SELECT PatientSID
FROM CDWWork.Appt.Appointment apt
WHERE apt.AppointmentDateTime>=convert(datetime2(0),dateadd(month,-1 ,getdate()))
AND apt.AppointmentDateTime < convert(datetime2(0),getdate())</pre>



SELECT PatientSID

Leave That Column Alone



Functions

Don't worry about functions and math getting complicated. Just make sure it's isolated from the column.



Your Colleague Is Helpful

SELECT PatientSID
FROM CDWWork.Chem.LabChem lc
WHERE lc.LabChemCompleteDateTime >

convert(datetime2(0),dateadd(day,(3+2)*-1,getdate()))

DECLARE @result datetime2(0) = (SELECT dateadd(day,(3+2)*-1,getdate()))

SELECT PatientSID
FROM CDWWork.Chem.LabChem lc
WHERE lc.LabChemCompleteDateTime > @result

SELECT PatientSID
FROM CDWWork.Chem.LabChem lc
WHERE lc.LabChemCompleteDateTime >

convert(datetime2(0),'2021-03-12 10:54:14.253')



Repetition Legitimizes

Just make sure functions and math are isolated from the columns!



What About NULLs in the Partition Field?

- --fine query, but I want to include patients that have not been discharged
- select i.InpatientSID, i.PatientSID, i.AdmitDateTime,
- i.DischargeDateTime
- from cdwwork.Inpat.Inpatient i
- where i.DischargeDateTime > convert(datetime2(0),'2022-05-01')
- order by i.DischargeDateTime desc



What About NULLs in the Partition Field?

```
--no good, column inside function
--plus there are some weird old records where discharge never got filled in
select i.InpatientSID, i.PatientSID, i.AdmitDateTime, i.DischargeDateTime
from cdwwork.Inpat.Inpatient i
where isnull(i.DischargeDateTime,getdate()) > convert(datetime2(0),'2022-05-01')
order by i.DischargeDateTime desc
--no good, this use of OR prevents SQL from using the partition key
--humans know discharges should be after admissions, but SQL doesn't know that
select i.InpatientSID, i.PatientSID, i.AdmitDateTime, i.DischargeDateTime
from cdwwork.Inpat.Inpatient i
where (i.DischargeDateTime > convert(datetime2(0),'2022-05-01')
or i.AdmitDateTime > convert(datetime2(0),'2022-05-01'))
order by i.DischargeDateTime desc
```



Whoa, Bad Estimates!



Bad Estimates

Watch out for nested loops, which are only good for small amounts of rows

Nested Loops (Inner Join) 0 of 13351200 (0%)

- Try adding a predicate on an indexed column like Sta3n.
- Look for columns inside functions and bad joins



Don't Use OR In Your Joins

- It is correct to try to hit fact tables fewer times - preferably just once
- However it is a bad practice to use an OR in your join
- This is common in two scenarios:
 - Primary and secondary stop codes
 - ICD 9 and 10 codes
- Instead, use a union
 - Hitting the fact table twice with good performance is much better than once with bad performance



This Query Gets Killed

...

...

Ŀ Nested Loops Hash Match Hash Match Columnstore Index Scan ... (Left Outer Join) [Appointment v192].[cci... (Inner Join) (Inner Join) 0:01:09 0:01:05 0:01:08 0:01:08 78300 of 348300 of 8191880 of 8216179 of 34012 (1024%) 34012 (230%) 34012 (24085%) 33254 (24707%) __ Clustered Index Scan (C ... Table Scan (Heap) [Location v457]. [pk Loc ... [#ALLIDs] [COH] 57.483s 0:01:05 1840289 of 39009947 of 18433 (9983%) 39010 (99999%) 1 ⊡_ Table Spool Table Scan (Lazy Spool) [#StopCode Dim] [SC] 0:01:09 0:01:09 700087184 of 8064 of 68568394 (1021%) 2016 (400%)

Use a Union Instead

```
...
```

```
INNER JOIN
#StopCode Dim AS SC
ON VIS.PrimaryStopCodeSID = SC.StopCodeSID
...
```

UNION

```
INNER JOIN
#StopCode Dim AS SC
ON VIS.SecondaryStopCodeSID = SC.StopCodeSID
```



...



Query Hints

In general, don't use query hints.

- Remember, the division of labor with your colleague is a good thing ("stay in your lane")
- However, if you can't convince SQL that there will be many rows, you can as a last resort force a hash match instead of a nested loop: INNER HASH JOIN



Key Takeaways

- Don't be greedy!
- Use partitions!
- Separate functions from columns.
- Set your colleague up for success.





Final Thoughts

- "Premature Optimization is the root of all evil" Donald Knuth
 - You should always use the best practices of not being greedy and using partition elimination, etc.
 - Beyond that, spend your brain power getting the right results, rather than squeezing a 1 minute query down to 30 seconds.
- Query optimization is difficult
 - Ask for help!



VINCI Resources

- VINCI University (va.gov)
- VINCI Training & Office Hour (va.gov)
 - VINCI Office Hours every Wednesday at 3 PM ET.
- Managing Research Data from last month



OIT CDW Resources

Data Services & Field Support (sharepoint.com)

- Especially <u>Six Simple Steps</u> and <u>Easy Eight</u>
- SQL Training (sharepoint.com)



One More Resource

SQL Server Execution Plans, Third Edition, by Grant Fritchey - Simple Talk (redgate.com)



Acknowledgements

- VINCI Data Services
- CDW Data Services and Field Support
- VINCI SQL and CDW Bootcamp Alumni



Questions?

And if you think of more questions later:

- VINCI Training & Office Hour (va.gov)
- VINCI Office Hours every Wednesday at 3 PM ET.
- <u>VINCI@va.gov</u>
- A bonus example we don't have time for in subsequent slides ↓



Appendix: A Tricky Problem

- How can I use partition elimination when I'm comparing to an index date, i.e. I have a dynamic date range?
- The simple answer: If you have any kind of study window or anything you can use, just use that, even if it's wide.
- Otherwise...



Appendix Example

select temp.PatientSID, temp.IndexDate, vis.VisitDateTime
FROM CDWWork.Outpat.Visit as vis
JOIN #visits as temp
 on vis.PatientSID = temp.PatientSID
WHERE vis.Sta3n = 523
 and abs(datediff(month,temp.IndexDate, vis.VisitDateTime)) <= 1</pre>



We replaced

Did It Work?



Seek Predicates

Seek Keys[1]: Start: Ptnld1001 >= Scalar Operator(RangePartitionNew ([@mindate],(1),'1999-10-01_00:00:00','2000-01-01_00:00:00','2000-04 -01_00:00:00','2000-07-01_00:00:00','2000-10-01_00:00:00','2001-01-01





Why Not?

- If partition elimination is used, it will be the first step.
- Therefore, it can't be used with something that needs to be evaluated for every row.

```
select temp.PatientSID, temp.IndexDate, vis.VisitDateTime
FROM CDWWork.Outpat.Visit vis
JOIN #visits temp
on vis.PatientSID = temp.PatientSID
WHERE vis.Sta3n = 523
and vis.VisitDateTime >= dateadd(month, -1, temp.IndexDate)
and vis.VisitDateTime < dateadd(month, 1, temp.IndexDate)</pre>
```



Should We Use the Partition?

```
select min(dateadd(month, -1, IndexDate)) FROM #visits
--2017-12-01 00:00:01
select max(dateadd(month, 1, IndexDate)) FROM #visits
--2018-02-01 23:59:54
```

Look at that narrow range! We SHOULD use the partition...but how?

A good plan would use the max and min dates to grab the right partition.



Let's Help Out

create clustered index idx_date on #visits (PatientSID, indexdate) --didn't help

```
create statistics dates on #visits (indexdate) --didn't help
```

```
select temp.PatientSID, temp.IndexDate, vis.VisitDateTime
FROM CDWWork.Outpat.Visit vis
JOIN #visits temp
on vis.PatientSID = temp.PatientSID
WHERE vis.Sta3n = 523
and vis.VisitDateTime >= dateadd(month, -1, temp.IndexDate)
and vis.VisitDateTime < dateadd(month, 1, temp.IndexDate)
and vis.VisitDateTime >= (select min(dateadd(month, -1, IndexDate))) FROM
#visits) --didn't help
```



Why Didn't That Last Try Work?

vis.VisitDateTime >= (select min(dateadd(month, -1, IndexDate)) FROM #visits)
--didn't help

If IndexDate was replaced with getdate(), then SQL would be able to use partition elimination. Even though select min()... will obviously only return one value, SQL doesn't know to do that part first.



Do That Part First, Please

- How about if we move that line up to be the first thing in the WHERE clause?
- How about if we change the JOIN order to look at the temp table first?
- How about if we move that WHERE criterion into the inner JOIN clause?



SQL decides for itself all of the above. Changing the order in your query makes no difference to the execution plan.

So Order Doesn't Matter?

Yes it does! Remember that your script should be readable for you and other humans.



Back to the Problem

```
declare @mindate datetime2(0) =
    (select dateadd(month, -1, min(IndexDate))
    FROM #visits)
```

```
declare @maxdate datetime2(0) =
    (select dateadd(month, 1, max(IndexDate))
    FROM #visits)
```

```
This is one of the few
cases where I think
variables are fine
```

