APPENDIX A: SEARCH STRATEGY

MEDLINE (PubMed) searched 5/8/2013

Search	Query
#9	Search (#8) AND #7
#8	Search ((((((femtosecond) OR alcon lensx) OR optimedica catalys) OR lensar) OR victus) OR intralase) OR ifs laser systems OR "all-laser Lasik"
#7	Search (#6) OR #5
#6	Search cataract
#5	Search "Cataract" ⁵¹ OR "Cataract Extraction" ⁵¹

76 unique cites added to EndNote Library

Cochrane Central Register of Controlled Trials and Database of Sytematic Reviews (OVID), searched 5/8/2013

#	Searches			
1	exp Cataract Extraction/ or exp Cataract/ or cataract.mp.			
2	femtosecond.mp.			
3	alcon lensx.mp. [mp=title, original title, abstract, mesh headings, heading words, keyword]			
4	optimedica catalys.mp. [mp=title, original title, abstract, mesh headings, heading words, keyword]			
5	lensar.mp. [mp=title, original title, abstract, mesh headings, heading words, keyword]			
6	victus.mp. [mp=title, original title, abstract, mesh headings, heading words, keyword]			
7	intralase.mp. [mp=title, original title, abstract, mesh headings, heading words, keyword]			
8	ifs laser systems.mp. [mp=title, original title, abstract, mesh headings, heading words, keyword]			
9	All-laser lasik.mp. [mp=title, original title, abstract, mesh headings, heading words,			
	keyword]			
10	2 or 3 or 4 or 5 or 6 or 7 or 8 or 9			
11	1 and 10			

3 unique cites added to EndNote Library

Additional databases, societies and journals, searched 4/17/2013 to 7/9/2013:

- 1. ASCRS: American Society of Cataract and Refractive Surgery <u>http://www.ascrs.org/</u> [See also abstracts that were locked out at end of document]
- 2. Journal of Cataract & Refractive Surgery <u>http://www.jcrsjournal.org/</u>
- 3. American Academy of Ophthalmology http://www.aao.org/



- 4. International Society of Refractive Surgery http://www.aao.org/isrs/
- 5. American Academy of Opthalmic Executives http://www.aao.org/aaoe/
- 6. The Foundation of the American Academy of Ophthalmology <u>http://www.faao.org/</u>
- 7. The Royal College of Ophthalmologists <u>http://www.rcophth.ac.uk/</u>
- 8. The Association for Research in Vision and Ophthalmology http://www.arvo.org/
- 9. The Journal of Cataract and Refractive Surgery http://www.jcrsjournal.org/
- 10. Ophthalmology, the official journal of the American Academy of Ophthalmology <u>http://</u><u>www.aaojournal.org/</u>
- 11. COS Conference Papers Index
- 12. Proceedings First (OCLC)
- 13. <u>http://clinicaltrials.gov/</u>
- 14. http://www.fda.gov/



APPENDIX B: INCLUSION/EXCLUSION CRITERIA

Code	Definition	Exclusion criteria/notes	KQ1 – Benefits	KQ2 –Adverse effects	KQ3 – Learning curve
I-1 I-2 I-3 I-SR	Include; addresses KQ1, KQ2, or KQ3 SR = systematic review		KQ1: What are the benefits of FLACS compared with conventional cataract surgery?	KQ2a: What are the unique risks associated with FLACS? KQ2b: What are the risks of FLACS compared to conventional cataract surgery?	KQ3: What are the intra- operative and post-operative risks of FLACS with regard to the experience f the surgeon?
X1	Non-English language				
X2	Does not pertain to femtosecond laser technology				
X3	Intervention not in scope	Exclude studies of lasers used for procedures other than cataract surgery	Included interventions: femtosecond lasers used for cataract surgery applications	Same interventions as KQ1	Same interventions as KQ1
X4	Study population not in scope	Note: FLACS is contraindicated in the following populations: advanced glaucoma; high anxiety; tremors; dementia; facial or ocular anatomy that precludes docking	Included population: adults undergoing cataract surgery	Same population as KQ1.	Same population as KQ1
X5	No primary data or study design not in scope, according to each KQ.	Exclude non-systematic or narrative reviews, editorials and opinions. Add code B (e.g. X5-B) to consider using the article in Discussion, or possibly describe the study data as a lower level of evidence.	 Include controlled study designs: Randomized controlled trials (RCTs) Non-randomized controlled clinical trials Controlled before/after studies 	Included study designs for harms: • Controlled studies • Quasi-experimental studies • Cohort studies • Case-control studies Excluded study designs: • Case reports • Case series	 Same study designs listed for KQ2 Cost-evaluation studies
X6	Outcomes that are not in scope		 Short-term patient outcomes: Visual acuity: post-operative day 1 Long-term patient outcomes: Visual acuity: after post-operative day 1 (typically recorded after 1 week, 1 month, or 90 days) Quality of life (QOL) measures 	Intra-operative complications: Capsular blockage syndrome Dislocated nucleus Capsular tear Post-operative complications: Infection Retinal swelling/cystoid edema (CME) Intraocular (IOL) decentration Corneal edema Other reported harms	 Cost All other specified outcomes
X7	Other reason: specify	Add comments or keywords as needed.			
X99	Full text not accessible				
В	Background	Add to any of the above X codes (e.g., X5–B) if the article contains information that may be useful for the introduction, discussion, limitations, future research, or other contextual purposes. Add comments or keywords as needed.			
			20		



APPENDIX C: ELIGIBILITY CHARACTERISTICS OF STUDIES (PICOTS TABLE)

	KQ1: Benefits	KQ2: Adverse effects	KQ3: Learning curve
	What is the evidence that FLACS is associated with	KQ2a: What are the adverse effects that have been reported	What is the evidence that the experience of the surgeon is
	better outcomes than conventional cataract surgery?	for FLACS?	associated with adverse effects of FLACS?
		KQ2b: What is the evidence that FLACS is associated with	
		a lower risk of adverse effects than conventional cataract	
		surgerv?	
Population	Adults undergoing cataract surgery.		
	Considerations: femtosecond laser surgery is relatively	contraindicated in patients with: advanced glaucoma, high an	xiety, tremors, dementia, facial or ocular anatomy that
	precludes adequate LASER docking (i.e. small palpeb	ral fissures, prominent brows) and previous refractive surgery (or corneal opacities.
Intervention	Femtosecond laser technology is used in cataract sure	ery to assist or replace aspects of conventional cataract surge	ry, including corneal incisions, capsulotomy and lens
	fragmentation.		
	Lagars at an poor the point of commercial release inclu	de: Alean Laney (Alean Laboratorias, Eart Worth, TV, USA), O	ntiMadica Catalya (OntiMadica Corp. Santa Clara, CA
	Lasers at of field the point of confine cial release including (Lasers at of field the point of confine cial release including (Lasers at of the point of the point of confine cial release including (Lasers at of the point o	ICTUS (Pauseb + Lomb Alice Visio CA USA); and Technolog	Perfect Vision CmbH Munich Cormany) Introl aco ES
	and iES Lasor Systems (Abbett Modical Ontios, Abbett	Dark II USA)	reneut vision Ghibi , wunich, Gerhany), inualase ro
	and IFS Laser Systems (Abbolt Medical Optics, Abbolt	Fair, IL, USA).	
	This review is inclusive of studies of any femtosecond	laser used for cataract surgery applications regardless of FDA	status.
Comparator	Conventional cataract surgery, defined as small-incisio	n phacoemulsification with planned posterior-chamber intraoci	ular lenses (IOL).
Included study	Controlled studies including randomized controlled	Controlled studies, observational studies, case-control	Controlled studies; observational study designs including
designs	trials, non-randomized controlled clinical trials, controlled	studies, case reports, case series.	economic evaluation studies).
	before/after studies and observational studies		
Excluded study	Case reports, case series and studies that do not	Studies that do not report primary data such as editorials	Studies that do not report primary data such as editorials
designs	report primary data such as editorials and non-	and non-systematic reviews.	and non-systematic reviews.
	systematic reviews.		
Outcomes of	Short-term patient outcomes	Surgical Complications	• Cost
interest	 Visual acuity: post-operative day 1 	Intra-operative	All other surgical complications listed
	Long-term patient outcomes	Capsular blockage syndrome	Other adverse effects reported
	Visual acuity: after post-operative day 1	Dislocated nucleus	
	(typically recorded post-operative 1 week, 1	Capsular tear	
	month or 90 days)	 Docking failure or loss of docking 	
	Quality of Life (QOL) measures	Post-operative	
		Infection	
		 Retinal swelling/Cystoid Macular Edema (CME) 	
		 Intraocular (IOL) decentration 	
		Corneal edema	
Timing	Our operational definition to be used for timing of patie	nt outcomes is as follows:	
	Short-term—patient outcomes on post-operative	day 1	
	 Long term—patient outcomes > after post-operat 	ve day 1 (no upper limit)	
	Considerations: Standards for reporting timing of post-	operative outcomes often have variable time-horizons. For exa	imple, potential harms such as CME or IOL decentration,
	may be reported from as early as post-operative day o	ne or after months to years in some studies.	
Setting	Any		





APPENDIX D: ASSESSMENT OF METHODOLOGIC QUALITY IN STUDIES OF FEMTOSECOND LASER ASSISTED CATARACT SURGERY

The Newcastle-Ottowa tool for observational studies

Author, year; study setting	Non-biased selection	High overall loss to follow-up or differential loss to follow-up	Adequate duration of follow-up	Outcomes pre- specified and defined	Ascertainment techniques adequately described	Non-biased and adequate ascertainment methods	Statistical analysis of potential confounders
Conrad-Hengerer, 2012 ²¹ University of Bochum, Germany	Unclear "A standardized lens-softening pattern was used in 1 study group and a 500 mm grid size in the other study group after randomization"	No	NA	Yes	Yes	Yes	No "Descriptive statistical analysis was performed using SPSS. The ttest was used to compare the sample means. Boxplots were used for analysis."
Schultz, 2013 ²² University of Bochum, Germany	No "Patients scheduled for elective femtosecond laser–assisted cataract surgery"	NA. Primary outcome was intraoperative.	NA	Yes	Yes	Yes	Unclear
Mihaltz, 2011 ¹⁷ Semmelweis University Budapest, Hungary	Unclear "Femtosecond capsulotomies were performed in 48 eyes of 43 patients Continuous curvilinear capsulorrhexis by forceps was performed on 51 eyes of 38 patients, which served as a control group"	No	Yes	Yes	Yes	Yes	No "Statistical analysis was performed by comparing two samples at a time using the Student t test for analysis of mean visual and refractive values and intraocular optical quality parameters in both study groups"
Nagy, 2012 ²⁰ Semmelweis University Budapest, Hungary	No "The study group comprised 12 eyes of 12 patients. The control group comprised 13 eyes of 13 patients."	No	Yes	Yes	Yes	Yes	Yes
Nagy, 2011 ²⁶ Semmelweis University Budapest, Hungary	Yes "Using computer randomization, patients and their right/left eyes were randomly selected for femtosecond and manual surgery."	No	Yes	Yes	Yes	Yes	Unclear
Kranitz, 2012 ¹⁵ Semmelweis University Budapest, Hungary	Yes "Randomization was done using computer-generated tables"	No	Yes	Yes	Yes	Yes	Unclear



Author, year; study setting	Non-biased selection	High overall loss to follow-up or differential loss to follow-up	Adequate duration of follow-up	Outcomes pre- specified and defined	Ascertainment techniques adequately described	Non-biased and adequate ascertainment methods	Statistical analysis of potential confounders
Takacs, 2012 ¹⁸ Semmelweis University Budapest, Hungary	Yes "Patients were randomly assigned (using computer randomization) to either group by the surgeon"	No	Yes	Yes	Yes	Yes	Unclear
Filkorn, 2012 ¹⁴ Semmelweis University Budapest, Hungary	Yes "Patients were randomly assigned to each group using a computer randomization chart."	No	Yes	Yes	Yes	Yes	Unclear
Kranitz, 2011 ⁶ Semmelweis University Budapest, Hungary	No ""Femtosecond capsulotomies were carried out in 20 eyes of 20 patients and manual CCC was performed in 20 eyes of 20 patients undergoing cataract sugery with IOL implantation."	No	Yes	Yes	Yes	Yes	Unclear. GEE models used to correct for correlated measures for patients having both eyes operated.
Ecsedy, 2011 ¹⁹ Semmelweis University Budapest, Hungary	No "femtosecond laser-assisted phacoemulsification with the LenSx laser system was carried out in 20 eyes from 20 patients with cataract. Traditional phacoemulsification was performed on 20 eyes from 20 additional patients with cataract."	No	Yes	Yes	Yes	Yes	Unclear
Abell, 2012 ⁴ Launceton Eye Hospital, Tasmania, Australia	No "Patients who underwent conventional cataract surgery (i.e. did not have femtosecond laser) were classified as the control group"	No	Yes	Yes	Yes	Yes	Unclear
Abell, 2013 ¹⁶ Tasmanian Eye Institute, Launceton, Tasmania, Australia	No "Cases (n=150) included patients who elected to undergo femtosecond laser pretreatment "	No	Yes	Yes	Yes	Yes	Unclear
Kerr, 2013 ²⁵ Tasmanian Eye Institute, Launceton, Tasmania, Australia	No "Consecutive patients having femtosecond laser pretreatment to cataract extraction were recruited"	No	Yes	Yes	Yes	Yes	Unclear



Author, year; study setting	Non-biased selection	High overall loss to follow-up or differential loss to follow-up	Adequate duration of follow-up	Outcomes pre- specified and defined	Ascertainment techniques adequately described	Non-biased and adequate ascertainment methods	Statistical analysis of potential confounders
Bali, 2012 ²³ Vision Eye Institute, Chatswood, Australia	No "study included the initial 200 consecutive femtosecond laser cataract surgeries, refractive lens exchange surgeries, or both	No	Yes	Yes	Yes	Yes	No
Roberts, 2013 ²⁴ Vision Eye Institute, Chatswood, Australia	performed at the Vision Eye Institute " No "prospective, multicenter, nonrandomized, postmarket evaluation undertaken after local regulatory approval was obtained for clinical use of the LenSx system"	NA. Primary outcome was intraoperative.	NA	Yes	Yes	Yes	Unclear

Abbreviations: NA = not applicable.



The Cochrane Collaboration's tool for assessing risk of bias

Author, year; study setting	Sequence generation	Allocation concealment	Blinding of participants, personnel and outcome assessors	Incomplete outcome data	Selective outcome reporting	Risk of bias*
Nagy, 2011 ²⁶	Low: "Using computer	Unclear: No	Unclear: No information provided,	Low: Report no attrition	Unclear: Reported on key	Unclear
Semmelweis University Budapest, Hungary	randomization, patients and their right/left eyes were randomly selected for femtosecond and manual surgery."	information provided	but one of the authors completed all surgeries, suggesting a lack of personnel blinding.	and exclusions appear to all be pre-randomization.	outcomes but unclear if other outcomes were assessed but not reported.	
Kranitz, 2012 ¹⁵	Low: "Randomization was done	Unclear: No	Unclear: No information provided,	Low: Report no attrition	Unclear: Reported on key	Unclear
Sommolwois University	using computer-generated tables"	information provided	but one of the authors completed	and exclusions appear to	outcomes but unclear	
Budapest Hungary			all surgeries, suggesting a lack of	all be pre-randomization.	It other outcomes were	
Talaas 001018	Lever "Definite many new demoks	Lin da an Na	personner binding.	Laur Danart na attrition	assessed but not reported.	l la ala an
	Low: Patients were randomly	Unclear: NO	Unclear: No Information provided,	Low: Report no attrition	Unclear: Reported on Key	Unclear
Semmelweis	randomization) to either group by	information provided	all surgeries, suggesting a lack of	all be pre-randomization.	if other outcomes were	
University Budapest, Hungary	the surgeon"		personnel blinding.		assessed but not reported.	
Filkorn, 2012 ¹⁴	Low: "Patients were randomly	Unclear: No	Unclear: No information provided,	Low: Report no attrition	Unclear: Reported on key	Unclear
Commoliusia University	assigned to each group using a	information provided	but one of the authors completed	and exclusions appear to	outcomes but unclear	
Seminelweis University Budapest, Hungary	computer randomization chart"		all surgeries, suggesting a lack of personnel blinding.	all be pre-randomization.	if other outcomes were assessed but not reported.	

*Risk of bias: Low = Plausible bias unlikely to seriously alter the results;

Unclear = Plausible bias that raises some doubt about the results;

High = Plausible bias that seriously weakens confidence in the results.



APPENDIX E: PEER REVIEW COMMENTS AND RESPONSES

	Reviewer	Comment	Response
Ì		Question 1: Are the objectives, scope and methods for this review clearly describ	ed?
	1	Yes. I think the objectives were well spelled out. We did not ask specifically for any cost/benefit analysis so was done nicely.	Noted, thank you.
	3	Yes. (No comment)	Noted.
Ì	4	Yes. (No comment)	Noted.
	5	Yes. The objectives could be more clearly stated as the purpose of this work is to systematically review and critically appraise the available evidence of FSL assisted cataract surgery vs conventional surgery.	We thank the reviewer for the comment. The reviewer is correct that one aspect of the review is to appraise available evidence of FSL compared to conventional cataract surgery. However, the harms and learning curve assessment questions were not limited to comparative studies. We have clarified the objectives of the report in the background and methods sections.
	2. Is there any indic	cation of bias in our synthesis of the evidence?	
	1	No. I did not see any, but the papers reviewed certainly had bias as you pointed out.	Noted, thank you.
	3	No. (No comment)	Noted.
	4	No. (No comment)	Noted.
	5	No. (No comment)	Noted.
	3. Are there any pu	blished or unpublished studies that we may have overlooked?	
	1	No. None that I am aware of.	Noted.
	3	No. (No comment)	Noted.
	4	No. Given the technology is fairly new as far as FDA approval, high level evidence literature is limited.	Noted.
	5	Yes. Methods: The recommended databases to search (as a minimum) by the Cochrane Collaboration is EMBASE, MEDLINE, and CENTRAL. I suggest reviewing EMBASE and CENTRAL in addition to all the other sources searched.	We have clarified the databases searched in Figure 2 (literature flow) of the report. Our search of the Cochrane library included the CENTRAL register of controlled trials. Unfortunately, our library does not subscribe to EMBASE so we do not have access to that database. However, we are reasonably confident that we have captured the relevant literature for the topic, given that we have searched the grey literature and recent conference proceedings in this quickly evolving field.



Reviewer	Comment	Response				
4. Please write add	4. Please write additional suggestions or comments below. If applicable, please indicate the page and line numbers from the draft report.					
1	Were any of the papers quoted funded directly by manufacturers? It seems like even in the papers quoted you had methodological questions, for instance were patients used in multiple reports and that most of the "better" papers were all done by one surgeon, so the question of learning curve remains?	We examined the acknowledgements listed for each of the papers and could only report on the consulting fees and honoraria received by study authors. In addition, there were very few papers examining the issue of learning curve. As a result, the evidence available to answer key question 3 is very sparse.				
2.	 I appreciate the amount of effort the coordinators have made for this systematic review. I have the following comments. A limitation of meta-analysis restricted to methodologically sound comparison studies is failure to capture relatively infrequent but important adverse outcomes that begin to be reported as individual or small series reports several years after institution of a new technology. This pattern was seen in corneal refractive surgery after institution of LASIK (laser in situ keratomileusis). Case reports of ischemic optic neuropathy (anterior or posterior) with partial loss of vision were linked to the high intraocular pressures from the metal suction rings used for the standard microkeratome procedure (references 1-3). A similar case of optic neuropathy has been reported with a femtosecond laser using a low-pressure suction ring (reference 4). As a LASIK surgeon, I am aware of other unreported cases. As you note in your review, all docking devices currently used in femtosecond platforms lead to an increase in intraocular pressure, which puts the microcirculation of the optic nerve at risk, especially in patients with microvascular disease from diabetes or hypertension. This effect may be especially important in the VHA population. Ischemic optic neuropathy has also been reported after uncomplicated conventional phacoemulsification (References 5-7). References. Lee AG, et al. Optic neuropathy associated with laser in situ keratomileusis. J Cataract Refract Surg 2000;11:1581-4. Bushley DM, et al. Visual field defect associated with laser in situ keratomileusis. Am J Ophthalmol 2000;129:668-71. Cameron BD, et al. Laser in situ keratomileusis-induced optic neuropathy. Ophthalmology 2001;108:660-5. Maden A, et al. Nonarteritic ischemic optic neuropathy after LASIK with femtosecond laser flap creation. J Neuro-Ophthalmol 2008;28:242-3. Lee H, et al. A case of decreased visual field after uneventful cataract surgery	We thank the reviewer for the insightful comments. In an attempt to identify all of the adverse events associated with FLACS, we included various study designs, even those of case reports. As the reviewer points out, these low prevalence events are not appropriate for meta-analysis. As noted in our review, all of the FSL platforms have been associated with some elevation of IOP during the procedure. This has not been noted to be as severe as the amaurosis-inducing levels common with LASIK procedures, which generate high IOPs with the use of microkeratomes. Our report does reflect the concern with even mild elevations of IOP being potentially harmful to glaucoma patients and may therefore exclude Veterans with this common comorbidity from being candidates for FLACS.				



Reviewer	Comment	Response
2.	You mention disposable costs for FLACS of \$150-300. What are the disposable costs for conventional phaco?	Our review has been amended to reflect this cost issue. The disposable costs of FLACS and conventional phacoemulsification surgery are comparable (both involve irrigation/ aspiration and phacoemulsification procedures). The additional incremental cost of FLACS is the \$150-300 per patient charge for the sterile, single-use patient interface device.
3	The draft report addresses on point the request for information.	Noted.
4	The review covers as one of its key questions "What is the evidence that the experience of the surgeon is associated with adverse effects of FLACS?" a couple studies showed less adverse events with more experience with FLACS. It would be nice to compare the surgical learning curve of FLACS vs Conventional cataract. There is some early literature in presentation and poster on thisnot sure publications exists. This will be key for the VA given it is very involved in resident cataract surgery teaching. Prickett, 2013 ⁴⁰ Initial Resident Experience Performing Cataract Surgery with and without Femtosecond Laser (Conference proceeding) ARVO Poster Session, 2013	Thank you for the comment. However, the comparative learning curve of FLACS versus conventional surgery is outside of the scope of the review. This will be important in future questions of learning curve comparing surgical procedures (conventional compared to FLACS)
5	Although meta-analyses of observational studies are not as frequent as for RCTs, there are guidelines (MOOSE) that are accepted to estimate summary effects based on observational studies. Nonetheless, if the authors consider that the quality of the observational studies (e.g., bias) preclude a meta-analysis, then is ok not to do it.	We thank the reviewer for the comment, and agree that the concerns with the included observational studies preclude meta-analyses of additional outcomes.
Optional Dissemina	tion and Implementation Questions	
5. Are there any VA please provide deta	clinical performance measures, programs, quality improvement measures, patient care services, or conferenc ail.	es that will be directly affected by this report? If so,
1	None that I am aware of. I have heard of several more machines being requested and some purchased across the VA system.	Noted.
3	The report supports the FDA approval of this technology	Noted.
4	No. (No comment)	Noted.
6. Please provide a	ny recommendations on how this report can be revised to more directly address or assist implementation need	ls.
1	None. The way I interpreted your results was that there was weak to moderate support of some advantages to this technology but the same for the adverse effects. Even this information is generated from reports that have either stated or possible conflict of interest. While not in your prevue, I am hoping this report can be submitted with any application for technology across the VISN.	Noted, thank you for your comment.
3	No recommendation	Noted.
4	No. (No comment)	



Reviewer	Comment	Response
5	In methodology there are some issues that should be addresed: DATA ANALYSIS: How heterogeneity was assessed and examined (stratification, regression)?, how bias was evaluated ?, which effect measure was used for meta-analysis and which weighting method (random, fixed models)? Also, it should be stated that STATA was used for statistical analysis.	We have provided more information in the methodological details of the meta-analyses. All analyses were conducted in StatalC 11, and we assessed the presence of statistical heterogeneity among the studies by using standard chi-square tests, and the magnitude of heterogeneity by using the I ² statistic. We explored models using both mean and ratio of means (SoM) based on a random effects model (combining means used the DL method and combining SoM used the PL method) – however, we do not report the combined estimates due to too much heterogeneity and rely on the forest plots as a visual aid for readers.