

Interactive Voice Response (IVR)- An alternative to face to face cognitive behavioral therapy for patients with chronic low back pain

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**The PRIME  
Center**

*Enhancing Pain Care  
for Veterans*

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# Abbreviations Used in This Presentation

- CBT= Cognitive Behavioral Therapy
- IVR= Interactive Voice Response
- F:F= Face to Face
- cLBP= Chronic Low Back Pain

# Overview

- Why use IVR to deliver a self-management treatment for pain?
- Considerations for developing a treatment that relies on IVR
- CO-operative Pain Education and Self-management (COPEs) trial – Initial results
- Implications and future directions of IVR and other technology-assisted interventions

# Poll #1:

- How knowledgeable are you about chronic pain and pain self-management?
  - 0 Not at all knowledgeable about either
  - 1 Somewhat knowledgeable about pain
  - 2 Somewhat knowledgeable about pain self-management
  - 3 Knowledgeable about self-management, but not for pain
  - 4 Knowledgeable about both

# Background

- Chronic pain affects approximately 100 million adults in US <sup>1</sup>
- IOM Report<sup>1</sup> called for a “cultural transformation”
  - Promoting and enabling self-management for all persons with pain
  - Encouraging strategies for reducing barriers to care
- Among Veterans receiving care in VHA, pain is
  - Common<sup>2,3</sup>
  - Costly<sup>4</sup>
  - Associated with negative outcomes<sup>2,5,6</sup>

<sup>1</sup>IOM, 2011; <sup>2</sup>Kerns, et al., J Rehabil Res Dev, 40, 371-380; <sup>3</sup>Haskell et al., J Wom Health, 15, 862-869; <sup>4</sup>Yu et al., Med Care Res Rev, 60S, 146S-167S; <sup>5</sup>Krein et al. Gerontologist, 47, 61-68; <sup>6</sup>Krein et al. Diabetes Care 28, 65-70.

# Why IVR?

- Facilitates wider use of self-management by addressing patient and system barriers
  - Transportation
  - Health and mobility
  - Schedule
  - Stigma
  - Limited number of trained therapists
- IVR-based CBT used successfully to enhance gains obtained in face to face treatment for chronic pain<sup>7,8</sup>

# Using IVR to Deliver CBT

- Technology-assisted treatments for chronic pain produce positive results
- Little guidance for translating face to face treatment materials and techniques to IVR environment
- Goals for development
  - Understandable materials
  - Promote engagement and retention
  - Promote practice and use of skills
  - Maintain safety

# IVR Treatment Outline

- Learn coping skills- handbook
- Assigned goals to practice the skills
- Report how they are doing on a daily automated IVR call
- Receive pre-recorded feedback

# Understandable Materials

- Considerations
  - Materials must present treatment rationale and information
- Choices
  - Created by group of subject matter experts
  - Based on materials used in prior trials of CBT
  - Written at 6-7<sup>th</sup> grade reading level
  - Brief IVR scripts offer a second way to obtain information
  - T/F questions

# Promote Skill Use and Practice

- Considerations
  - Common in CBT to use goals for practicing skills
  - Limited experience with goal setting
  - Little opportunity for corrective feedback
- Choices
  - Assign skill practice goals
  - Reviewed prior trial to identify most popular goals
  - Daily IVR call to report practice

# Engagement and Retention

- Consideration
  - How do we enhance engagement in a treatment that could seem impersonal
- Choices
  - Therapist and staff pictures in the handbook
  - Peer testimonials
  - Personalized therapist feedback
  - Free choice goal
  - Option to leave message for therapist

# Maintain Safety

- Consideration
  - How to replicate safety monitoring that occurs in F:F treatment?
- Choices
  - Automatic connection to Veteran Crisis Line
  - Weekly proactive activity-related adverse event assessment

# Pilot testing

- Veterans with chronic pain (n=17) reviewed treatment materials completed a semi-structured interview

Characteristic	Mean/%
Age	55 (SD=8.3)
Sex (% male)	94%
<b>Race/ethnicity</b>	
Black	29.4%
Hispanic	5.9%
White	64.7%
Global pain intensity rating	6.4 (SD=1.8)
Pain duration	18.29 years (SD=11.4)

# Pilot Testing Continued

- Treatment preference
  - IVR=58%
  - F:F=24%
  - No preference=18%
- Most common reason to prefer IVR was travel
- Understandability: 93% of participants (13 of 14) answered 80% or more of the T/F questions correctly
- Majority indicated understanding of skills and rationale for use

# Revisions based on Participant Feedback

- Word choices
- Clarification
  - Directions
  - Catastrophizing/reframing
- Length
- Visuals

# Co-operative Pain Education and Self-Management: COPEs

- Randomized non-inferiority trial of IVR-based CBT versus F:F CBT for chronic low back pain (cLBP)
- Innovations
  - First trial to use IVR only to deliver self-management treatment for chronic pain.
  - Compares a technology-assisted intervention to face to face treatment



# Study Hypotheses

- Veterans with cLBP receiving IVR-CBT will demonstrate outcomes that are not unacceptably worse than F:F CBT in
  - Pain intensity (Primary)
  - Physical and emotional functioning and health-related quality of life (Secondary)
  - Treatment dropout rates, skill practice, call adherence, and treatment satisfaction ratings
- Examine moderators of treatment outcomes

# Eligibility Criteria

- Low back pain - (*ICD-9* codes 721, 722, and 724)
- Pain rating  $\geq 4$  and presence of pain for  $\geq 3$  months,
- No medical or psychiatric condition that would impede participation
- Self-reported ability to walk at least one block
- Touchtone telephone
- No sensory deficits that would impair participation
- No surgical interventions for pain during trial

# Methods

- VA Connecticut Healthcare System
- Recruitment – opt out letter and in hospital
- Randomization (1:1)
  - Permuted stratified block design with varying block size
    - Distance
    - Pain type
- Treatment fidelity evaluated
- Participants paid for pre-post assessments, not calls

# General Treatment Structure

- Ten-week treatment
- Introduction, eight pain coping skills, and pain flare prevention
- Pedometer facilitated walking component
- Weekly treatment goals
  - Practice pain management skill
  - Increase steps +10% over prior week's mean
  - Planned productive, social or pleasant activity
- Daily IVR call
- Weekly feedback

# Treatment Differences

## F:F

- Therapist teaches skills
- Free choice goal developed with therapist
- Therapist feedback delivered in session
- No extra IVR features available

## IVR

- Handbook/IVR teaches skills
- Free choice goal developed independently
- Pre-recorded personalized therapist feedback via IVR
- IVR system features
  - Messages
  - Peer testimonials, tips and explanation of skills
  - Veteran Helpline

# Automated IVR Calls

## Daily

- Average pain intensity for that day (NRS)
- Skill practice rating (0-10)
- Sleep duration
- Sleep quality
- Activity (steps)
- Catastrophizing (2)

## Weekly

- Proactive assessment of activity-related AEs
- Medication changes
- Free choice goal and rating

# IVR System

- Generates daily call at a predetermined time
- Up to 3 chances to complete call/day
- Daily update to PI, staff, and therapists
- PI monitors the system

# Participant Overview

**COPES**  
Crisis Outreach Program for Education and Self-management

Home Patient COPES Coach Administrator Reports Utilities

- Administrative Reports
- COPES Coach Reports
- Dashboard
  - 0000000, Test Call
    - Patient Name: Test Call Phone Number: (203) 932 - 5711
    - | Enrollment Snapshot   | Connection(s) to Suicide Hotline   | Missed Calls   |
|---|--|--|
| Enrollment Date: 05-21-14<br>Group: IVR CBT<br>Current Week/Day In Program: 11/79 | Most Recent Connection Date: None<br>Most Recent Connection Time: None<br>Date(s) Of Connection(s) | Missed first call: No<br>Missed 2+ consecutive calls:<br>Dates Of Missed Calls |
    - | Audio Recordings |                     |                       |                |                  |
|------------------|---------------------|-----------------------|----------------|------------------|
| To User:         | From User:          | Message Date:         | Message:       | Message Type:    |
| 10001 - Dana     | 0000000 - Test Call | 2014-07-08 16:31:34.0 | [Audio Player] | Adverse Event    |
| 10001 - Dana     | 0000000 - Test Call | 2014-05-21 14:04:10.0 | [Audio Player] | Free Choice Goal |

# IVR Report Summary



# Weekly IVR Summary

Home Patient COPES Coach Administrator Reports Utilities

Administrative Reports

COPES Coach Reports

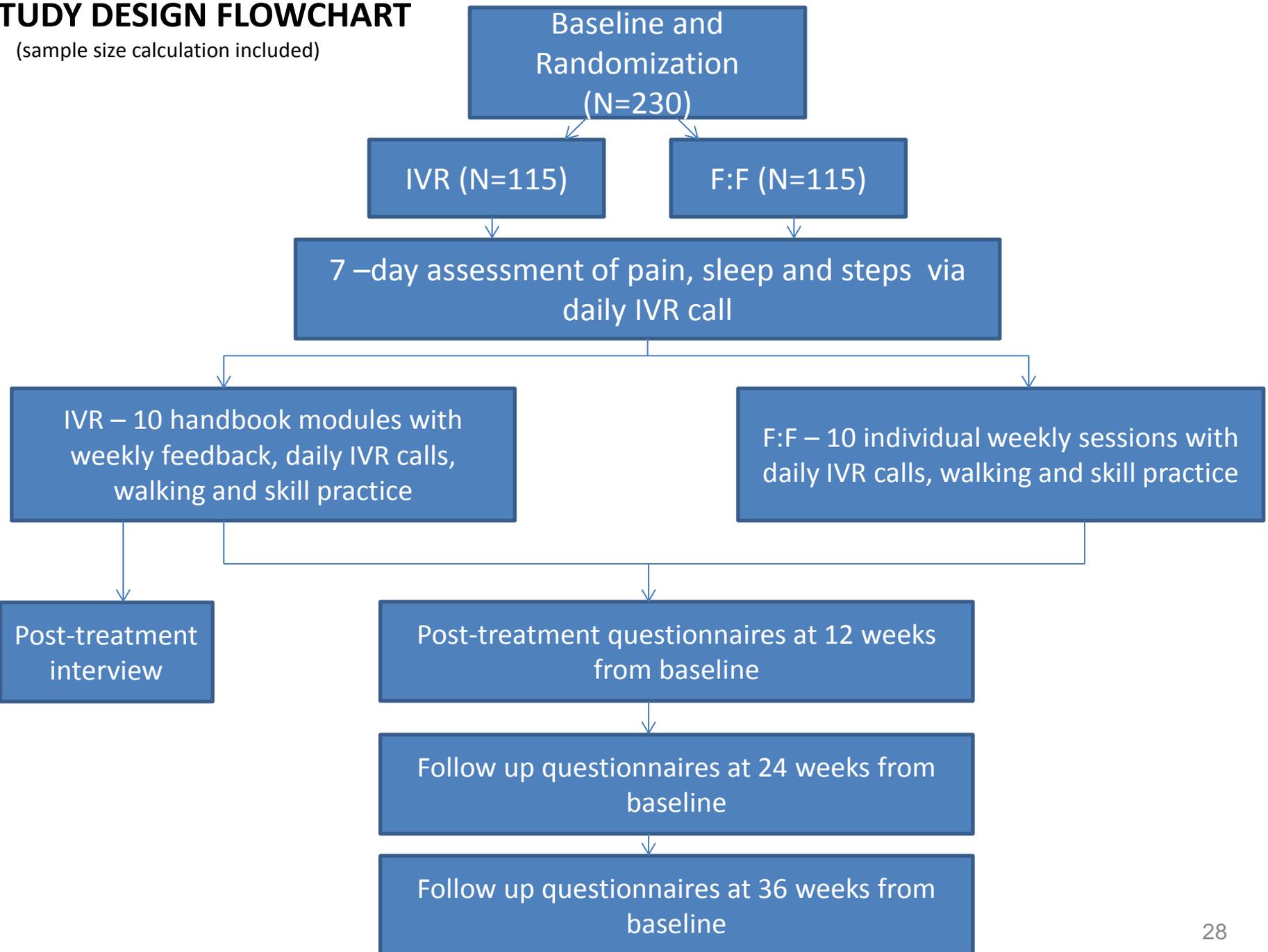
Patient Call Report Graphs & Charts Tabular Reports Audio Clips

0000000, Test Call

WEEKLY - Week 10	Day 1 Wed 05/21	Day 2 Wed 05/21	Day 3 Wed 05/21	
<b>Pain Usual</b>	7.00	6.00	7.00	8.00
<b>Skill Accomplishment</b>	2	6	7	9
<b>Steps</b>	4578.00	5782.00	5002.00	4068.00
<b>Sleep Duration</b>	8.00	8.00	8.00	7.00
<b>Sleep Quality</b>	7.00	9.00	9.00	6.00
<b>Catastrophizing - Never ...</b>	1	1	1	1
<b>Catastrophizing - Can't st...</b>	1	1	1	1
<b>Connected To Hotline</b>	No	No	No	No

# STUDY DESIGN FLOWCHART

(sample size calculation included)



# Outcomes

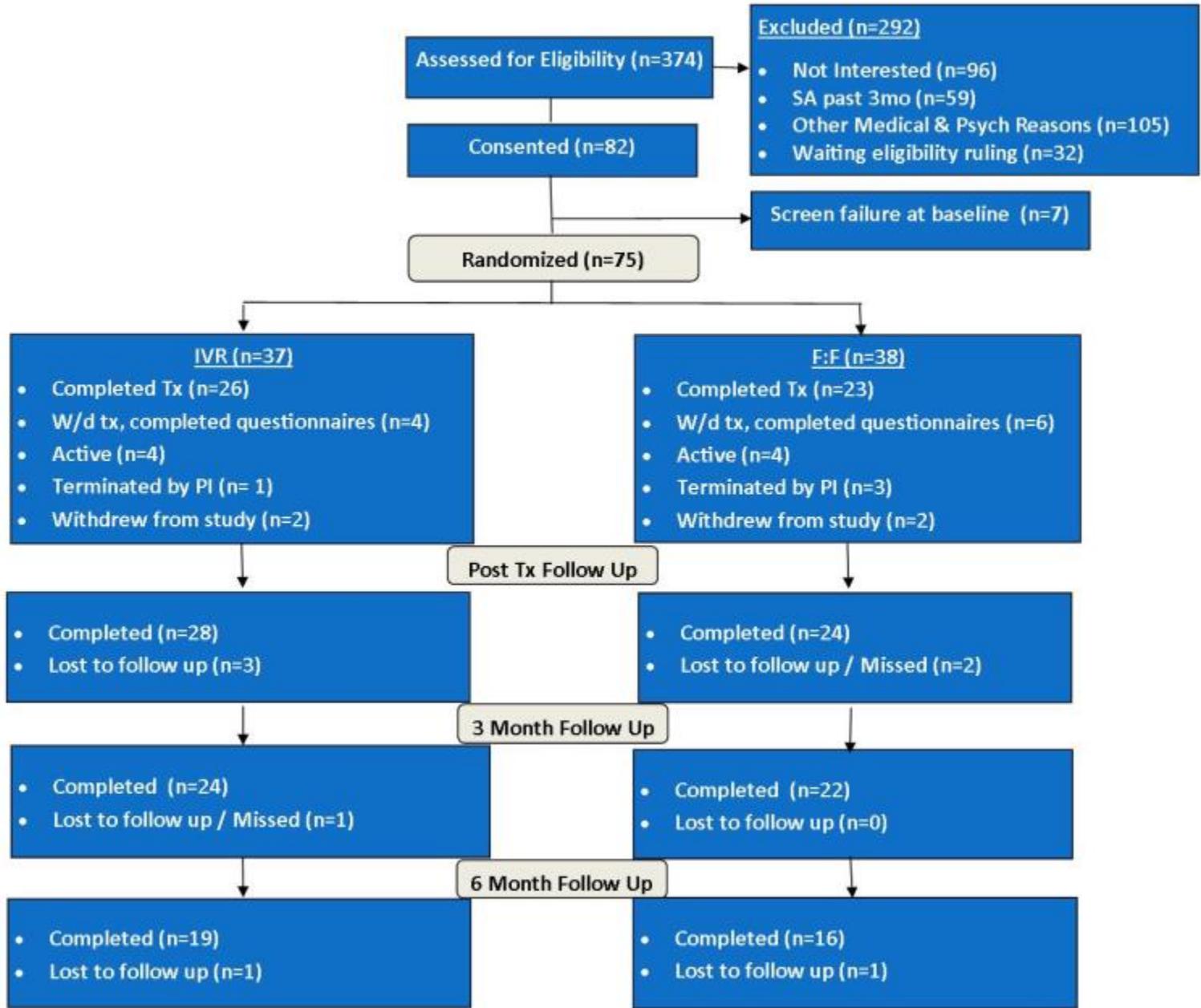
Variable	Measure	Collection method
Pain intensity (primary)	NRS <sup>9</sup> – Average pain (0 = no pain, 10 = worst pain imaginable)	IVR daily calls Pre-post/Web
Physical functioning	MPI <sup>10</sup> –Interference Steps	Pre-post/Web IVR daily calls
Emotional functioning	Beck Depression Inventory <sup>11</sup>	Pre-post/Web
Overall health/health-related quality of life	SF-36V <sup>12</sup>	Pre-post/Web
Skill practice	How well did you accomplish skill practice goal today? (0=not at all, 10=completely)	IVR daily calls

<sup>9</sup> Jensen & Karoly Handbook of Pain Assessment; <sup>10</sup> Kerns, et al., Pain 23, 345-356; <sup>11</sup> Beck et al, Clinical Psychology Review, 8, 77-100; <sup>12</sup> Kazis et al., Medical Outcomes Trust Monitor, 5, 1-14.

# Data Analytic Plan

- IVR-CBT not unacceptably worse than F:F CBT
  - Non-inferiority margin of 1 point on the 0-10 NRS
  - Clinically meaningful difference is a 2 points or  $\geq 30\%$  decrease.<sup>13</sup>
  - Compare IVR-CBT to F:F CBT on NRS pain intensity ratings at 12 weeks
- Responder analysis – to identify the % of participants in each intervention who obtained meaningful benefit.
  - Responder =  $\geq 30\%$  mean decrease in average pain<sup>14</sup>

# CONSORT FLOWCHART



\* Participants who have not yet reached each follow-up stage are not represented

# Randomized Participants

Characteristic	F2F (n=38)	IVR (n=37)	Total (n=75)
Age, M (SD)	56.7 (11.1)	59.7 (12.0)	58.2 (11.6)
Sex , N (%male)	33 (86.8)	32 (86.5)	65 (86.7)
<b>Race/Ethnicity, N(%)</b>			
American Indian or Alaskan native	0 (0.0)	1 (2.7)	1 (1.3)
Asian/Pacific Islander	1 (2.6)	0 (0.0)	1 (1.3)
Black	10 (26.3)	8 (21.6)	18 (24.0)
Hispanic	4 (10.5)	2 (5.4)	6 (8.0)
White	22 (57.9)	26 (70.3)	48 (64.0)
Unanswered	1 (2.6)	0 (0.0)	1 (1.3)
<b>Pain Intensity, M (SD)</b>	6.5 (1.8)	6.3 (1.5)	6.4 (1.7)

# Poll #2

- Which of the following concerns about the treatments turned out to be true?
  1. Participants do not adhere to IVR daily call schedule
  2. IVR-CBT participants have difficulty setting free choice goals.
  3. Participants lose or do not use pedometers
  4. IVR-CBT participants drop out
  5. IVR-CBT participants leave many messages for their therapists

# Preliminary Findings

- Ongoing trial in no cost extension
  - Power > .90 to detect effect with 100 treatment completers
- Preliminary feasibility analysis

	IVR	F:F
Attrition Rate, N (%)	6 (16.2)	11 (28.9)
Skill Practice (self report), M (SD)	7.48 (1.19)	6.64 (1.93)
Treatment Weeks, M (SD)	9.01 (2.71)	6.79 (3.94)

# IVR Call Completion Rates

Study Condition	N*	Mean (SD) %
F:F	39	80.0 (29.5)
IVR	36	89.3 (14.9)
Total	75	84.5 (24.0)

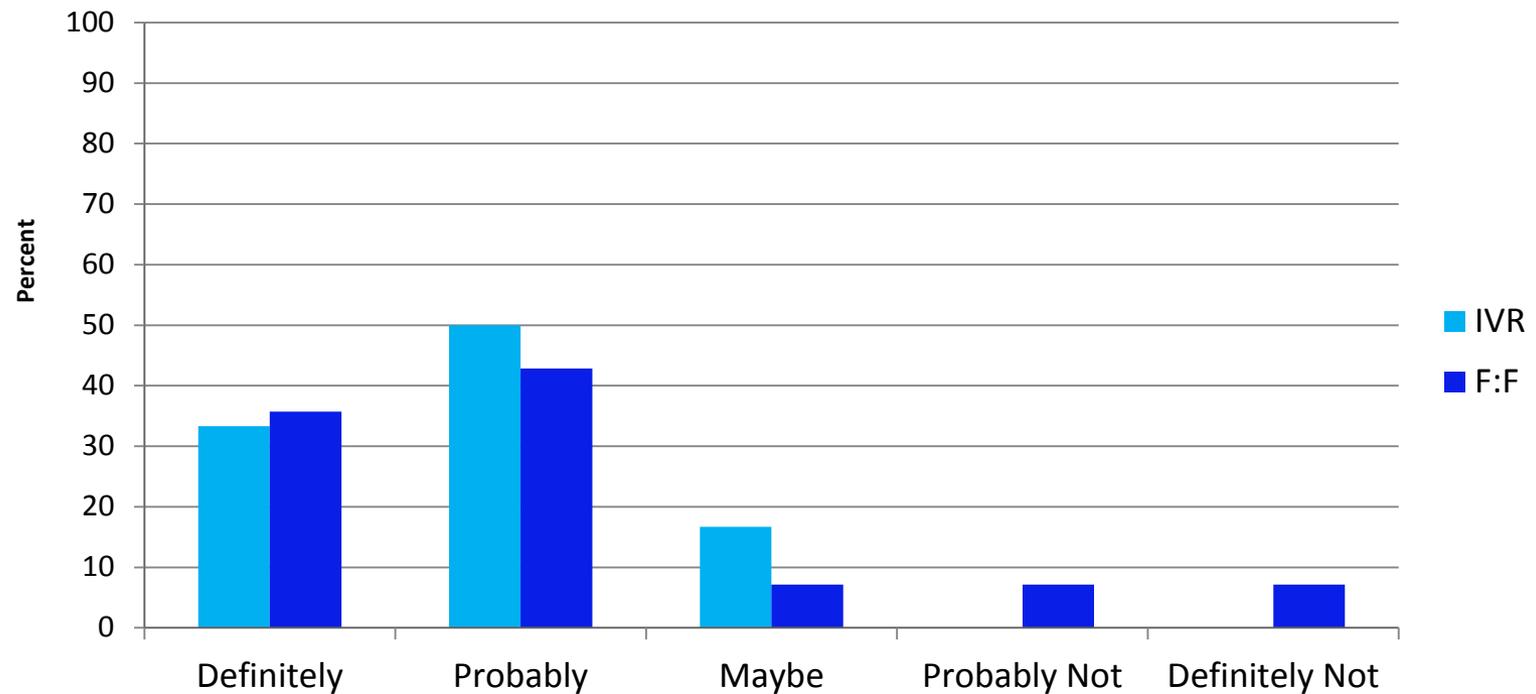
\*includes completed, withdrawn, and active callers as of August 27, 2014

# IVR Post-Treatment Interview

- Semi-structured interview (n = 25)
- Helpfulness of treatment components on a 0-10 scale
  - Handbook (m=9.05, range 5-10)
  - IVR calls (m = 9.59, range 8-10)
- Greater variability in other treatment component ratings
  - Favorite and least favorite skills
  - Coach feedback
  - Use of extra IVR system features
- Difficulty with the free choice goal

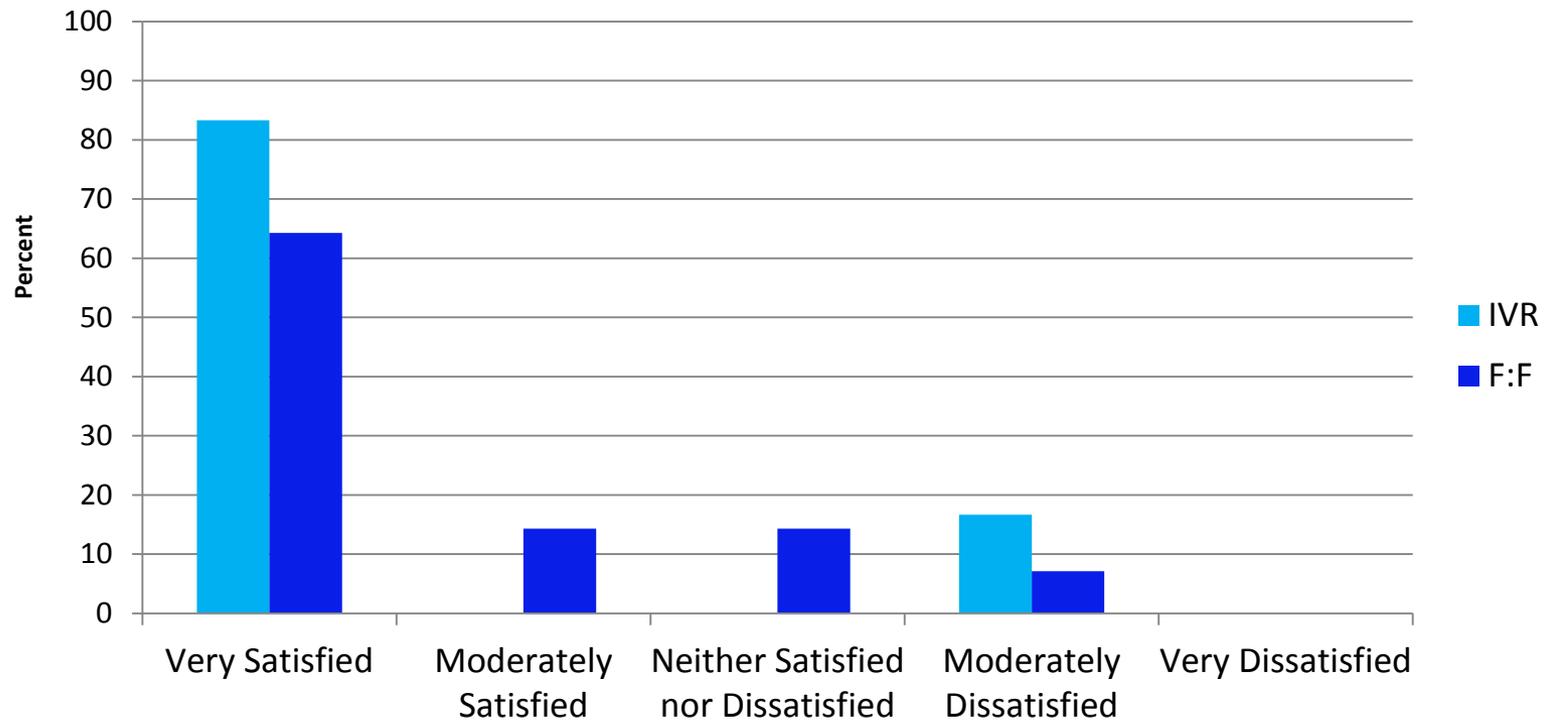
# COPES Feasibility-Return for Treatment

## Would Return to Program for Treatment



# COPES Feasibility-Treatment Satisfaction

## Overall Satisfaction with Treatment



# Conclusions

- IVR-CBT appears to be a feasible method for engaging patients in CBT for cLBP
- IVR-CBT participants
  - engaged in the intervention as actively as F:F participants
  - treatment satisfaction levels comparable to the F:F participants
- Limitations
  - Preliminary nature of the data
  - Have not examined clinical outcomes

# Suggestions for Using an IVR System to Provide Treatment

- Preliminary testing of system
- Daily status report
  - Calls sent
  - Missed calls
  - Alerts and AEs
  - Steps=0
- Examine data early

# Lessons Learned

- Avoid complexity
  - Independent goal setting
  - Call pausing
- Eliminate patient barriers
  - Minutes
  - In-person visits

# Future Directions

- Benefits of technology-assisted interventions
  - Promote maintenance of treatment effects
  - Facilitate treatment fidelity
  - Provide intensive longitudinal data to examine treatment process
- Provision of a menu of treatment options for patients
  - VA Pain Coach app
  - Web-based CBT
  - Artificial Intelligence-based CBT

# Contact Information

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