# Differential Pulse Oximeter Accuracy, Occult Hypoxemia Prevalence, and Clinical Outcomes by Patient Race/Ethnicity

August 2023



**U.S. Department of Veterans Affairs** 

Veterans Health Administration Health Services Research & Development Service

**Recommended citation:** Parr NJ, Beech EH, Young S. Differential Pulse Oximeter Accuracy, Occult Hypoxemia Prevalence, and Clinical Outcomes by Patient Race/Ethnicity: A Systematic Review. Washington, DC: Evidence Synthesis Program, Health Services Research and Development Service, Office of Research and Development, Department of Veterans Affairs. VA ESP Project #09-199; 2023.

# **AUTHORS**

Author roles, affiliations, and contributions (using the <u>CRediT taxonomy</u>) are listed below.

Author	Role and Affiliation	Report Contribution
Nicholas J. Parr, PhD, MPH	Associate Director & Research Scientist, ESP Coordinating Center, Portland VA Health Care System Portland, OR	Conceptualization, Methodology, Investigation, Data curation, Formal analysis, Writing – original draft, Writing – review & editing, Project administration, Supervision
Erin H. Beech, MA	Senior Research Associate, ESP Coordinating Center, Portland VA Health Care System Portland, OR	Methodology, Investigation, Data curation, Writing – review & editing, Project administration, Supervision
Sarah Young, MPH	Research Associate, ESP Coordinating Center, Portland VA Health Care System Portland, OR	Data curation

# PREFACE

The VA Evidence Synthesis Program (ESP) was established in 2007 to conduct timely, rigorous, and independent systematic reviews to support VA clinicians, program leadership, and policymakers improve the health of Veterans. ESP reviews have been used to develop evidence-informed clinical policies, practice guidelines, and performance measures; to guide implementation of programs and services that improve Veterans' health and wellbeing; and to set the direction of research to close important evidence gaps. Four ESP Centers are located across the US. Centers are led by recognized experts in evidence synthesis, often with roles as practicing VA clinicians. The Coordinating Center, located in Portland, Oregon, manages program operations, ensures methodological consistency and quality of products, engages with stakeholders, and addresses urgent evidence synthesis needs.

Nominations of review topics are solicited several times each year and submitted via the <u>ESP website</u>. Topics are selected based on the availability of relevant evidence and the likelihood that a review on the topic would be feasible and have broad utility across the VA system. If selected, topics are refined with input from Operational Partners (below), ESP staff, and additional subject matter experts. Draft ESP reviews undergo external peer review to ensure they are methodologically sound, unbiased, and include all important evidence on the topic. Peer reviewers must disclose any relevant financial or non-financial conflicts of interest. In seeking broad expertise and perspectives during review development, conflicting viewpoints are common and often result in productive scientific discourse that improves the relevance and rigor of the review. The ESP works to balance divergent views and to manage or mitigate potential conflicts of interest.

## ACKNOWLEDGMENTS

The authors are grateful to Becky Baltich Nelson, MLS, MS, for literature searching, Payten Sonnen for editorial and citation management support, external peer reviewers, and the following individuals for their contributions to this project:

#### **Operational Partners**

Operational partners are system-level stakeholders who help ensure relevance of the review topic to the VA, contribute to the development of and approve final project scope and timeframe for completion, provide feedback on the draft report, and provide consultation on strategies for dissemination of the report to the field and relevant groups.

#### John W. Nord, MD, MSc

Acting Deputy Chief Officer Veterans Health Administration (VHA) Office of Specialty Care Services

#### Joseph Francis, MD, MPH

*Executive Director of Analytics and Performance Integration* VHA

## Mel L. Anderson, MD, MACP

*Executive Director* VHA National Hospital Medicine Program

#### Thomas S. Valley, MD

Research Scientist and Staff Physician Center for Clinical Management Research (VA Ann Arbor Healthcare System)

#### Disclosures

This report was prepared by the Evidence Synthesis Program Center located at the **VA Portland Health Care System,** directed by Mark Helfand, MD, MPH, MS, and funded by the Department of Veterans Affairs, Veterans Health Administration, Health Services Research and Development.

The findings and conclusions in this document are those of the author(s) who are responsible for its contents and do not necessarily represent the views of the Department of Veterans Affairs or the United States government. Therefore, no statement in this article should be construed as an official position of the Department of Veterans Affairs. The final research questions, methodology, and/or conclusions may not necessarily represent the views of contributing operational and content experts. No investigators have affiliations or financial involvement (*eg*, employment, consultancies, honoraria, stock ownership or options, expert testimony, grants or patents received or pending, or royalties) that conflict with material presented in the report.

# **Executive Summary**

Evidence Synthesis Program

## **KEY FINDINGS**

- Pulse oximeters likely overestimate Black patients' blood oxygen saturation level (moderate strength of evidence), though recent studies in contemporary hospital and health system settings suggest that modern pulse oximeters possess some degree of bias and considerable imprecision regardless of patient race/ethnicity.
- Occult hypoxemia is likely more common among Black patients compared with White patients (*moderate strength of evidence*). Asian, Latino or Hispanic, Native American or Indigenous, or other or mixed race/ethnicity patients may also experience occult hypoxemia more frequently than White patients (*low strength of evidence*).
- Evidence is insufficient to draw conclusions about clinical outcomes attributable to race/ethnicity biases in occult hypoxemia, but available studies provide suggestive evidence that Black patients with undetected hypoxemia could experience poorer treatment delivery and clinical outcomes than White patients with undetected hypoxemia.
- Clinicians should be aware of the risk for occult hypoxemia in patients with darker skin pigmentation. Evidence from hospital and health system settings relevant to the VA also suggests that the amount of bias in pulse oximeter readings could vary substantially from patient to patient regardless of their race/ethnicity.

Pulse oximeters are used in many clinical settings and provide a rapid and noninvasive means of measuring oxygen saturation. Despite this utility, pulse oximeters may over- or underestimate a patient's arterial oxygen saturation (SaO<sub>2</sub>). Overestimating oxygen saturation is especially concerning when pulse oximeter readings of peripheral oxygen saturation (SpO<sub>2</sub>) indicate a normal blood oxygen level while a patient is actually in a hypoxemic state—a situation known as *occult hypoxemia*. Potential clinical impacts of occult or undetected hypoxemia include delayed or inadequate treatment, premature treatment de-escalation or discharge, and ultimately, greater morbidity and mortality.

Inaccurate pulse oximeter readings can occur for a variety of reasons, including severe anemia, excessive blood carbon monoxide levels, impaired circulation (hypoperfusion), and patient movement. Because pulse oximeters rely on the transmission of light through the skin to estimate SaO<sub>2</sub>, skin pigmentation level may also influence pulse oximeter accuracy. Differences in pulse oximeter accuracy by patient race/ethnicity have been observed in clinical settings for several decades, but the COVID-19 pandemic has heightened concern that pulse oximeters may routinely be less accurate in patients with darker skin pigmentation.

The COVID-19 pandemic has also drawn attention to whether racial or ethnic minority patients are at greater risk of occult hypoxemia due to pulse oximeter inaccuracy. A widely discussed retrospective study published in late 2020 analyzed nearly 50,000 paired SpO<sub>2</sub>–SaO<sub>2</sub> measurements, finding that the prevalence of occult hypoxemia was over 3 times greater among Black or African American ("Black") patients compared with White patients (11.4% versus 3.4%). Additional studies reporting occult hypoxemia prevalence by patient race or ethnicity have since been published. Most utilize large health system databases and also report data on pulse oximeter accuracy, which goes some way to addressing the sparsity of accuracy data in racial and ethnic minority patients in the pre-COVID-19 literature.

The aim of the present review was to provide an up-to-date synthesis of evidence on racial and ethnic disparities in the accuracy of pulse oximeters, the prevalence of occult hypoxemia, and clinical outcomes associated with occult hypoxemia. This review was developed in response to a request from the Veterans Health Administration (VHA) National Hospital Medicine Program and Office of Specialty Care Services.

## **CURRENT REVIEW**

Thirty-four primary studies and 1 existing systematic review and meta-analysis on pulse oximeter accuracy met eligibility criteria. Eighteen studies were included in the identified systematic review, which together provided 21,269 paired oximetry observations from 3,176 patients. We located 4 recent observational studies that reported pulse oximeter accuracy data in sufficient detail for meta-analyses; together these studies contribute 241,680 new paired observations in 102,841 patients. We also identified 11 observational studies that reported occult hypoxemia prevalence and 4 studies that examined the association between occult hypoxemia and clinical outcomes by patient race/ethnicity. Most newly identified studies used data from patients receiving acute care in academic or community hospitals or health systems.

In Black patients, pulse oximeters appear to overestimate blood oxygen saturation by an average of 1.5% compared with CO-oximetry in arterial blood (pooled mean bias = 1.54, 95% CI [0.99, 2.10]), based on 37,562 paired observations in 14,626 patients. Mean bias among Black patients was considerably larger than among White patients (0.62, 95% CI [-0.08, 1.32];  $N_{Obs}$  = 154,286) or patients identifying as Asian, Latino or Hispanic, Native American or Indigenous, or other or mixed race/ethnicity (0.31, 95% CI [0.09, 0.54];  $N_{Obs}$  = 71,101). Precision of pulse oximeter readings was comparable across race/ethnicity groups, with pooled standard deviations (SDs) from 1.61 to 1.98.

In recent studies that contribute most available oximetry data, mean bias was larger in all race/ethnicity groups compared with earlier evidence. The most substantial increase from older studies was among Asian, Latino or Hispanic, Native American or Indigenous, or other or mixed race/ethnicity patients (from 0.31% to 1.41%). Precision estimates were comparable across groups but considerably larger than in earlier studies (pooled SDs from 4.30 to 5.23), indicating greater variability in bias between patients. The calculated accuracy root mean square error (A<sub>RMS</sub>) for all accuracy data was 1.64 for Black patients, 0.78 for White patients, and 0.75 for Asian, Latino or Hispanic, Native American or Indigenous, or other or mixed race/ethnicity patients. A<sub>RMS</sub> values in newly identified studies were 2.57, 1.83, and 2.03, respectively.

The pooled prevalence of occult hypoxemia was highest among Black patients (11.4%, 95% CI [4.6, 25.5]; N = 34,869) and Asian, Latino or Hispanic, Native American or Indigenous, or other or mixed race/ethnicity patients (9.7%, 95% CI [3.2, 26.1]; N = 25,130), compared with White patients (6.5%, 95% CI [2.8, 14.2]; N = 109,286). Corresponding prevalence ratios (PRs) are shown in the figure below. Compared with White patients, the prevalence of occult hypoxemia was 71% greater among Black patients (pooled PR = 1.71, 95% CI [1.43, 2.06]) and 42% greater among Asian, Latino or Hispanic, Native American or Indigenous, or other or mixed race/ethnicity patients (pooled PR = 1.42, 95% CI [1.10, 1.84]). For Black patients, an even larger disparity was apparent at the observation level (pooled PR = 2.04, 95% CI [1.64, 2.54]; *Nobs* = 76,177). Similarly, in several studies that reported odds of occult hypoxemia adjusted for potential confounders, Black patients had twice the odds of occult hypoxemia compared with White patients (95% CI [1.15, 3.41]; N = 8,410).

Group	Ν		Pooled Prevalence Ratio (95% Cl)
Black			
Patients	34869	· · ·	1.71 [1.43, 2.06]
Paired Observations	76177		2.04 [1.64, 2.54]
Asian, Lat./Hisp., NA/Indig.			
Patients	25130	· · · · · · · · · · · · · · · · · · ·	1.42 [1.10, 1.84]
Paired Observations	89243	<b>⊢</b>	1.33 [1.06, 1.68]
Asian			
Patients	5407		1.37 [0.92, 2.04]
Paired Observations	15683	•	1.38 [0.90, 2.10]
Latino/Hispanic			
Patients	9142	► <b>-</b>	<b>1.49</b> [1.14, 1.94]
Paired Observations	33888		
		30% more 1 prevalent	100% (2x) more prevalent

#### **Occult Hypoxemia Prevalence Ratios by Patient Race/Ethnicity**

*Notes.* All prevalence ratios use White patients as the reference group, and the dashed line corresponds to a prevalence ratio of 1.0 (no difference in prevalence compared with White patients). *N* indicates the number of patients in the race/ethnicity group shown and does not include the number of White patients comprising the reference group. Patients reported as *Other race/ethnicity* are included in the group *Asian, Lat./Hisp., NA/Indig* (Asian, Latino or Hispanic, Native American or Indigenous).

One recent study used approximately 30,000 paired SpO<sub>2</sub>–SaO<sub>2</sub> observations from patients treated in VHA surgical and general (non-intensive) care settings, and may provide the most applicable evidence to the VHA setting. The study found larger bias and worse precision in Black VHA patients compared with White patients, and reported occult hypoxemia prevalences that were among the highest of any included study: 19.6% for Black patients, 16.2% for Hispanic or Latino patients, and 15.6% for White patients. The difference in likelihood of occult hypoxemia between Black and White patients remained after controlling for patient sex, age, and comorbidities (p < .001). Black patients also had a higher likelihood of occult hypoxemia on their first oximetry reading taken the same day (*ie*, Black patients' probability of hypoxemia was more varied among readings than that of White patients).

Finally, we found few studies examining the association between occult hypoxemia (or differential pulse oximeter accuracy) and clinical outcomes by patient race/ethnicity, and evidence was insufficient to make firm conclusions about this relationship. Nonetheless, there does appear to be some signal that Black patients with undetected hypoxemia could experience poorer treatment delivery and clinical outcomes compared with White patients with undetected hypoxemia.

Re-assessing oxygen saturation in arterial blood more routinely, particularly in patients that show signs or symptoms of arterial hypoxemia, has been suggested as one step to reduce the risk of occult hypoxemia and subsequent harms. Another approach suggested to mitigate this risk is to raise the oxygen saturation range to 94–98%, though this may increase risk of hyperoxemia. Applying a skintone-based correction factor to readings from currently available pulse oximeters has also been discussed, but such adjustments have a controversial history and may have limited efficacy because the accuracy and reliability of pulse oximetry readings is influenced by a number of factors that cannot be accounted for in a single correction factor. A broader recommendation has been to revise guidelines for pulse oximeter validation studies, in particular to require enrolling more patients with darker skin pigmentation, testing oximeters under real-world health care conditions, and incorporating perfusion into validation requirements. At the same time, it has been acknowledged that addressing biases in pulse oximeter readings and in the care that follows from those readings requires fundamental advancements in the technologies used for routine, noninvasive oxygen saturation monitoring.

### CONCLUSIONS

Pulse oximeters likely overestimate Black patients' blood oxygen saturation level, increasing the risk for unrecognized or occult hypoxemia. Occult hypoxemia occurs to some degree in all races/ethnicities but is likely more common among Black patients compared with White patients. Findings of this review underscore that clinicians should be aware of the risk of occult hypoxemia in patients with darker skin pigmentation. Moreover, while pulse oximeter readings are on average fairly similar to arterial oxygen saturation levels, evidence from hospital and health system settings relevant to the VA suggests that the amount of bias could vary substantially from patient to patient regardless of their race/ethnicity. This finding implies that incorporating conventional race or ethnicity-based correction factors into pulse oximeters would not eliminate disparities in occult hypoxemia risk. Although proposed changes to clinical practice to accommodate bias and imprecision in pulse oximeters may help to mitigate harms in the near-term, advancements in noninvasive oximeter technology are needed. As the largest integrated health system in the United States, the VHA is uniquely positioned to cultivate innovations in oximeter technology.

# Main Report

Evidence Synthesis Program

# TABLE OF CONTENTS

Background	4
Methods	5
Registration and Review	5
Key Questions and Eligibility Criteria	5
Searching and Screening	5
Data Abstraction and Risk of Bias Assessment	5
Synthesis	6
Results	8
Literature Flow Diagram	8
Overview of Included Studies	9
Main Findings	9
Table. Pulse Oximeter Accuracy, Occult Hypoxemia Prevalence, and Clinical Outcomes by Patient Race/Ethnicity	10
Discussion	15
Conclusions	16
References	17
Appendix	21

# **ABBREVIATIONS TABLE**

aHR	Adjusted hazard ratio
aOR	Adjusted odds ratio
A <sub>RMS</sub>	Accuracy root mean square error
CI	Confidence interval
FDA	US Food and Drug Administration
IQR	Interquartile range
k	Number of studies
PI	Prediction interval
PR	Prevalence ratio
Ν	Number of patients
Nobs	Number of paired observations
SaO <sub>2</sub>	Arterial oxygen saturation
SD	Standard deviation
SpO <sub>2</sub>	Peripheral oxygen saturation measured by pulse oximetry
VHA	Veterans Health Administration

# BACKGROUND

Pulse oximeters are used in many clinical settings and provide a rapid and noninvasive means of measuring oxygen saturation. Despite this utility, pulse oximeters may over- or underestimate a patient's arterial oxygen saturation (SaO<sub>2</sub>). Overestimating oxygen saturation is especially concerning when pulse oximeter readings of peripheral oxygen saturation (SpO<sub>2</sub>) indicate a normal blood oxygen level while a patient is actually in a hypoxemic state—a situation known as *occult hypoxemia*. Potential clinical impacts of occult or undetected hypoxemia include delayed or inadequate treatment, premature treatment de-escalation or discharge, and ultimately, greater morbidity and mortality.<sup>1,2</sup>

Inaccurate pulse oximeter readings can occur for a variety of reasons, including severe anemia, excessive blood carbon monoxide levels, impaired circulation (hypoperfusion), and patient movement.<sup>3,4</sup> Because pulse oximeters rely on the transmission of light through the skin to estimate SaO<sub>2</sub>, skin pigmentation level may also influence pulse oximeter accuracy. Differences in pulse oximeter accuracy by patient race/ethnicity have been observed in clinical settings for several decades, but the COVID-19 pandemic has heightened concern that pulse oximeters may routinely be less accurate in patients with darker skin pigmentation. In the United States, the Food and Drug Administration (FDA) regulates pulse oximeter accuracy and recommends that pulse oximeter performance be within 3% of CO-oximetry in arterial blood (as assessed with accuracy root mean square error, or A<sub>RMS</sub>).<sup>5</sup> A recent synthesis of pulse oximeter A<sub>RMS</sub> among Black or African American ("Black") patients compared with White patients (2.3% versus 1.6%).

The COVID-19 pandemic has also drawn attention to whether racial or ethnic minority patients are at greater risk of occult hypoxemia due to pulse oximeter inaccuracy. A widely discussed retrospective study<sup>7</sup> published in late 2020 analyzed nearly 50,000 paired SpO<sub>2</sub>–SaO<sub>2</sub> measurements, finding that the prevalence of occult hypoxemia was over 3 times greater among Black patients compared with White patients (11.4% versus 3.4%). In late 2022, the FDA convened a public meeting of its Anesthesiology and Respiratory Therapy Devices Panel in response to ongoing concerns about racial biases in pulse oximeter accuracy and unrecognized hypoxemia. Guidance on the use and interpretation of pulse oximeters was subsequently updated and emphasizes that pulse oximeter readings are *estimates* of oxygen saturation that provide "more utility for trends over time instead of absolute thresholds" at the individual patient level.<sup>8</sup>

A number of studies of racial or ethnic disparities in occult hypoxemia prevalence have been recently published. Most utilize large health system databases and also report data on pulse oximeter accuracy, which goes some way to addressing the sparsity of accuracy data in racial and ethnic minority patients in the pre-COVID-19 literature reviewed by Shi et al. The aim of the present review was to provide an up-to-date synthesis of evidence on racial and ethnic disparities in the accuracy of pulse oximeters, the prevalence of occult hypoxemia, and clinical outcomes associated with occult hypoxemia. This review was developed in response to a request from the Veterans Health Administration (VHA) National Hospital Medicine Program and Office of Specialty Care Services.



# **METHODS**

## **REGISTRATION AND REVIEW**

A preregistered protocol for this review can be found on the PROSPERO register of systematic reviews (<u>CRD42023402152</u>). A draft version of this report was reviewed by external peer reviewers; their comments and author responses are located in the <u>Appendix</u>.

## **KEY QUESTIONS AND ELIGIBILITY CRITERIA**

The following key questions were the focus of this review:

Key Question 1	Does pulse oximeter accuracy differ by patient race/ethnicity?
Key Question 2	Does the prevalence or risk of occult hypoxemia differ by patient race/ethnicity?
Key Question 2b	If present, are racial/ethnic disparities in occult hypoxemia associated with differences in treatment delivery or harms?

Eligible studies must have been conducted among adults in inpatient or outpatient healthcare settings. Accuracy studies were required to report paired SpO<sub>2</sub>–SaO<sub>2</sub> readings measured within 10 minutes of one another. Studies reporting occult hypoxemia prevalence were required to define occult hypoxemia as, at minimum, arterial oxygen saturation  $\leq 88\%$  despite a pulse oximeter reading > 88%. Stricter criteria (*eg*, pulse oximeter reading > 92%) were permitted. Studies that induced hypoxemia in a controlled setting were ineligible. We considered evidence on clinical outcomes (treatment delivery or harms) of occult hypoxemia only when studies reported within-group comparisons by occult hypoxemia status. For example, we included studies that examined whether there was a relationship between occult hypoxemia and in-hospital mortality by comparing patients of the same race or ethnicity with and without occult hypoxemia (then examined whether this relationship differed across race/ethnicity groups), but we did not include studies that investigated whether in-hospital mortality differed by patient race or ethnicity in general.

## SEARCHING AND SCREENING

A research librarian searched Ovid MEDLINE, CINAHL, Scopus, the Cochrane Database of Systematic Reviews, and AHRQ and HSR&D databases for relevant studies published through February 2023 (see <u>Appendix</u> for complete search strategies). Additional citations were identified by hand-searching reference lists. English-language titles, abstracts, and full-text articles were independently reviewed by 2 investigators, and disagreements were resolved by consensus.

## DATA ABSTRACTION AND RISK OF BIAS ASSESSMENT

Participant characteristics, study methodological details, and outcome data were abstracted from all included studies. The internal validity (risk of bias) of included studies was rated using tools appropriate to each study type: the ROBIS<sup>9</sup> tool for systematic reviews, the QUADAS-2<sup>10</sup> tool for studies providing accuracy data, and the QUIPS<sup>11</sup> tool for studies of occult hypoxemia prevalence or occult hypoxemia as a risk factor for downstream clinical outcomes. Potential biases in prevalence estimates were captured in the *prognostic factor measurement* domain of the QUIPS tool; we supplemented criteria for this domain with biases specific to prevalence assessment.<sup>12</sup> Risk of bias rating and data abstraction were first completed by 1 investigator then checked by another, and disagreements were resolved by consensus. See <u>Appendix</u> for complete risk of bias ratings.



## SYNTHESIS

When 3 or more sufficiently comparable studies were available, study findings were pooled using random-effects meta-analysis. We identified a well-conducted systematic review and meta-analysis of pulse oximeter accuracy by Shi et al,<sup>6</sup> which synthesized accuracy data available through mid-2021. To expedite the present review, we took the approach of updating this review with the most recent evidence available. This involved pooling mean bias and precision data from studies published since the end search date of the review (replicating the methods used by Shi et al), then synthesizing the pooled estimates from new evidence with those reported in the existing review. Each of these steps is described in detail below. To harmonize risk of bias ratings, we also re-assessed risk of bias of studies included in the existing systematic review using the approach described above.

For newly identified studies, we first adjusted reported standard deviations (SDs) for each race/ethnicity group in each study using the formula shown below.<sup>13</sup> The purpose of this adjustment, which was also implemented by Shi et al, was to produce more conservative estimates of precision that account for repeated observations of the same patient. The number of paired oximetry measurements per patient was calculated by dividing the total number of oximetry measurements by the number of patients (*eg*, in a group of 50 patients in which 500 paired measurements were collected, the approximate number of measurements per patient would be 500/50 = 10 measurements).

$$Adjusted SD = \sqrt{Reported SD^2 \times \left(\frac{Total Measurements - 1}{Total Measurements - Measurements per Patient}\right)}$$

We also replicated the use of hierarchical random-effects models, cluster-robust confidence intervals, and degrees of freedom calculated using the Satterwaithe approximation. These approaches better account for dependency among estimates; that is, when estimates from the same study are more similar than they would be if they were from a different study and patient sample. The final stage of analysis of mean bias and precision data was to synthesize pooled estimates reported by Shi et al with those from new studies. We implemented the recommendation of Tang et al<sup>14</sup> for updating a meta-analysis with new results based on comparable methods, which was to use a fixed-effect model to synthesize the final pooled estimates (*ie*, a pooled estimate corresponding to all evidence prior to September 2021 from Shi et al, and a pooled estimate of evidence from September 2021 through February 2023).

To synthesize occult hypoxemia prevalence estimates, we used meta-analytic generalized randomeffects logistic models or hierarchical approximations when dependent prevalence estimates were available. Prevalence estimates were transformed using the standard logit transformation for analysis, and back-transformed for interpretation and reporting. To facilitate comparison of occult hypoxemia prevalences between race/ethnicity groups, we also calculated and synthesized prevalence ratios (PRs). Conventional random-effects models were used to pool PRs<sup>i</sup> as well as adjusted odds ratios (aORs) of occult hypoxemia by race/ethnicity group. Models incorporated the Knapp-Hartung adjustment to

<sup>&</sup>lt;sup>i</sup> We chose standard random-effects models over more complex options (*eg*, log-binomial models) for prevalence ratios because: 1) overall, occult hypoxemia was fairly rare, and therefore logarithms of prevalence ratios and odds ratios would be expected to have similar analytic properties; 2) no studies reported zero occult hypoxemia events, meaning we were not required to use continuity corrections to carry out standard random-effects modeling (analysis in "one step" using log-binomial or similar models would be preferable in such a scenario); and 3) the standard approach was more compatible with methods to account for dependency in reported estimates.



better account for uncertainty in heterogeneity estimation,<sup>15,16</sup> and when necessary, we used methods for handling dependent data comparable to those described above.

For all analyses, heterogeneity was estimated using (restricted) maximum-likelihood estimation and is presented as 95% prediction intervals (PIs). Prediction intervals describe the likeliest range of true effects (*eg*, true occult hypoxemia prevalence) across studies and provide an estimate of the magnitude and direction of effects that would be found in future studies similar to those included in a synthesis.<sup>17</sup> All meta-analyses were conducted using the *metafor*<sup>18</sup> package for R (R Foundation for Statistical Computing, Vienna, Austria). When fewer than 3 comparable studies were available for a given outcome—or studies were judged to be too disparate in methodological or participant characteristics—we described evidence narratively.

For the purposes of synthesizing and reporting findings, the consensus reached among investigators was that the following categorizations best balanced data availability with variation in race/ethnicity groups reported across studies: Patients described as Black or African American ("Black"), patients described as White or Caucasian ("White"), and patients described as Asian, Latino or Hispanic, Native American or Indigenous, or other or mixed race/ethnicity. Where possible, we disaggregated findings for the latter group by specific race or ethnicity identities. Studies also varied in whether prevalence of occult hypoxemia was reported by patients or by paired observations. We synthesized each type of prevalence estimate separately. Finally, for consistency with existing literature on pulse oximeter accuracy, we also report A<sub>RMS</sub> values calculated from pooled mean bias and precision results. It is important to note, however, that interpretation of A<sub>RMS</sub> is not straightforward: A value between 2–3%, for example, equates to roughly 66% of SpO<sub>2</sub> readings being within 2–3% of corresponding SaO<sub>2</sub> readings and 95% being within 4–6% of SaO<sub>2</sub> readings.<sup>8</sup>

#### Strength of Evidence

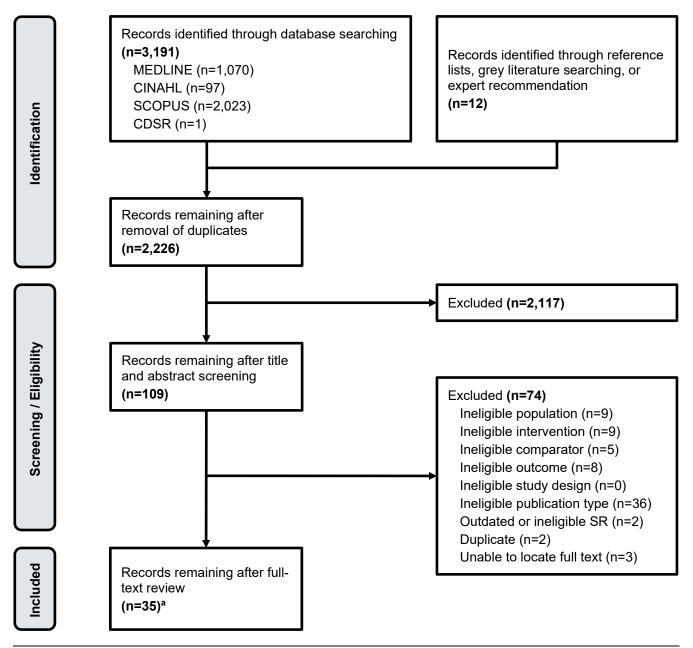
After synthesizing available evidence, we rated the strength of evidence for each outcome based on the methodology and risk of bias of available studies, the consistency and certainty of findings, and the directness of outcomes (whether reported outcomes are relevant to patients and providers). We used the following general algorithm: *high strength* evidence consisted of multiple, large studies with consistent and precise findings at low risk of bias, and clinically relevant outcomes; *moderate strength* evidence consisted of a single study, or multiple small studies, with moderate to high risk of bias, inconsistent or imprecise findings, and/or outcomes with limited clinical relevance; and *insufficient* evidence consisted of a single study with moderate or high risk of bias, or no available studies. Conclusions using *likely* (*eg*, "Pulse oximeters likely overestimate Black patients' blood oxygen saturation level") are based on moderate strength evidence, while those using *may* are based on low strength evidence.



## RESULTS

## LITERATURE FLOW DIAGRAM

The literature flow diagram summarizes the results of the study selection process. A full list of excluded studies is provided in the <u>Appendix</u>.



Notes. <sup>a</sup>34 primary studies and 1 systematic review.

*Abbreviations.* CDSR=Cochrane Database of Systematic Reviews; CINAHL=Cumulative Index to Nursing and Allied Health Literature; SR=systematic review.



## **OVERVIEW OF INCLUDED STUDIES**

Our search identified 109 potentially relevant articles after deduplication and title and abstract screening. Of these, 34 primary studies and 1 existing systematic review and meta-analysis<sup>6</sup> on pulse oximeter accuracy met eligibility criteria. Eighteen studies<sup>19-36</sup> were included in the identified systematic review, which together provided 21,269 paired observations from 3,176 patients. These studies were published between 1985 and 2021 and varied considerably in setting and patient characteristics (details of all included studies are provided in the <u>Appendix</u>). We found 2 additional accuracy studies published in the 1980s<sup>37,38</sup> but did not include these in formal syntheses because they used pulse oximeters that are no longer commercially available.

We located 4 recent observational studies<sup>3,4,39,40</sup> that reported pulse oximeter accuracy data in sufficient detail for meta-analyses; together these studies contribute 241,680 new paired observations in 102,841 patients. Four other accuracy studies<sup>36,41-43</sup> did not provide adequate outcome data or information about race/ethnicity groups to be included in formal syntheses. The 4 studies contributing accuracy data noted above also reported occult hypoxemia prevalence; in total, we included 11 observational studies<sup>1-4,7,35,39,40,44-46</sup> that reported occult hypoxemia prevalence and 4 studies<sup>1,2,39,46</sup> that examined the association between occult hypoxemia and clinical outcomes by patient race/ethnicity. Most newly identified studies used data from patients receiving acute care in academic or community hospitals or health systems. One study was limited to patients undergoing anesthesia<sup>4</sup> and 2 studies included surgical inpatients.<sup>2,40</sup> Patient race/ethnicity was generally self-reported.

## **MAIN FINDINGS**

#### Pulse Oximeter Accuracy

Pooled mean bias and precision findings by race/ethnicity groups are shown in the Table below. In Black patients, pulse oximeters appear to overestimate blood oxygen saturation by an average of 1.5% compared with CO-oximetry in arterial blood (pooled mean bias = 1.54, 95% CI [0.99, 2.10]), based on 37,562 paired observations in 14,626 patients. Mean bias among Black patients was considerably larger than among White patients (0.62, 95% CI [-0.08, 1.32]; Nobs = 154,286) or patients identifying as Asian, Latino or Hispanic, Native American or Indigenous, or other or mixed race/ethnicity (0.31, 95% CI [0.09, 0.54]; Nobs = 71,101). Precision of pulse oximeter readings was comparable across race/ethnicity groups, with pooled SDs ranging from 1.61 to 1.98.<sup>ii</sup> In recent studies that contribute most available oximetry data, mean bias was larger in all race/ethnicity groups compared with earlier evidence. The most substantial increase from older studies was among Asian, Latino or Hispanic, Native American or Indigenous, or other or mixed race/ethnicity patients (from 0.31% to 1.41%). Precision estimates were comparable across groups but considerably larger than in earlier studies (pooled SDs from 4.30 to 5.23), indicating greater variability in bias between patients.

The calculated A<sub>RMS</sub> for all accuracy data was 1.64 for Black patients, 0.78 for White patients, and 0.75 for Asian, Latino or Hispanic, Native American or Indigenous, or other or mixed race/ethnicity patients. A<sub>RMS</sub> values in newly identified studies were much larger: 2.57, 1.83, and 2.03, respectively.

<sup>&</sup>lt;sup>ii</sup> Shi et al also pooled studies that reported accuracy by patient pigmentation level (high pigmentation, medium pigmentation, or low pigmentation). Pooled mean bias was largest among patients with high pigmentation (1.11, 95% CI [0.29, 1.93]). Pooled SDs were similar across pigmentation levels and comparable to findings in race/ethnicity groups (1.47 to 1.52).



# Table. Pulse Oximeter Accuracy, Occult Hypoxemia Prevalence, and Clinical Outcomes by Patient Race/Ethnicity

Patients Described as Black of	r African Ame	erican			
Accuracy	Patients	Paired Obs.	Mean Bias [95% CI]	Precision [95% CI]	
Shi 2022 Review ( <i>k</i> = 9)	459	5,753	1.52 [0.95, 2.09]	1.68 [1.32, 2.14]	
Recent Studies ( $k = 4$ )	14,167	31,809	1.96 [-0.57, 4.50]	5.23 [1.50, 18.28]	
Updated Estimate ( $k = 13$ )	14,626	37,562	1.54 [0.99, 2.10]	1.75 [1.38, 2.22]	
Occult Hypoxemia Prevalence			% [95% CI]	[95% PI]	
Patients (k = 5)	34,869		11.4 [4.6, 25.5]	[1.2, 58.1]	
Paired Observations (k = 11)		76,177	6.9 [3.9, 11.9]	[0.9, 36.9]	
Clinical Outcomes					
Eligibility Recognition (k = 1)	928		aHR: 0.71 [0.63, 0.80] (r	ref: White)	
Treatment Delay ( <i>k</i> = 1)	681		Median (IQR): 7.0 h (1.9	9–20.8)	
Length of Stay ( $k = 1$ )	26,032		Difference: $-3.0 \text{ d} (p = .0)$	)0)	
In-hospital Mortality ( $k = 1$ )	26,032		Difference: +5.9% (p < .	001)	
Patients Described as Asian, L	atino or Hisp	anic, Native Americ	an or Indigenous, or Othe	er	
Accuracy	Patients	Paired Obs.	Mean Bias [95% CI]	Precision [95% CI]	
Shi 2022 Review (k = 3)	522	2,646	0.31 [0.09, 0.53]	1.55 [0.53, 4.53]	
Recent Studies ( $k = 3$ )	23,001	68,455	1.41 [-2.65, 5.47]	4.30 [0.63, 29.17]	
Updated Estimate ( $k = 6$ )	23,523	71,101	0.31 [0.09, 0.54]	1.98 [0.78, 5.04]	
Occult Hypoxemia Prevalence			% [95% CI]	[95% PI]	
Patients ( $k = 5$ )	25,130		9.7 [3.2, 26.1]	[0.6, 66.6]	
Paired Observations (k = 6)		89,243	5.3 [2.0, 13.0]	[0.4, 42.8]	
Clinical Outcomes					
Eligibility Recognition $(k = 1)$	Asian: 25; H	lispanic: 445	aHR: 0.97 [0.62, 1.50]; (	0.77 [0.66, 0.89] (ref: White	
Treatment Delay ( <i>k</i> = 1)	Asian: 21; Hispanic: 323		Median (IQR): 7.7 h (3.5	5–13.6); 5.0 h (1.2–15.8)	
Length of Stay ( $k = 1$ )	Asian: 1,91	9; Hispanic: 2,397	Difference: +0.5 d ( $p$ = .0	02); +0.8 d ( <i>p</i> < .01)	
In-hospital Mortality ( <i>k</i> = 1)	Asian: 1,91	9; Hispanic: 2,397	Difference: +5.6% (p = .	13); +4.6% ( <i>p</i> = .06)	
Patients Described as White o	r Caucasian				
Accuracy	Patients	Paired Obs.	Mean Bias [95% CI]	Precision [95% CI]	
Shi 2022 Review ( <i>k</i> = 13)	2,195	12,870	0.55 [-0.21, 1.31]	1.55 [1.32, 1.83]	
Recent Studies ( $k = 4$ )	65,673	141,416	1.01 [-0.78, 2.79]	4.63 [1.89, 11.37]	
Updated Estimate ( $k = 17$ )	67,868	154,286	0.62 [-0.08, 1.32]	1.61 [1.37, 1.89]	
Occult Hypoxemia Prevalence			% [95% CI]	[95% PI]	
Patients ( $k = 5$ )	109,286		6.5 [2.8, 14.2]	[0.8, 36.8]	
Paired Observations (k = 11)		337,976	3.3 [1.8, 5.9]	[0.4, 22.0]	
Clinical Outcomes					
Treatment Delay ( <i>k</i> = 1)	427		Median (IQR): 5.3 h (1.4	–15.2)	
Length of Stay ( $k = 1$ )	57,623		Difference: -0.5 d ( <i>p</i> < .01)		
In-hospital Mortality ( $k = 1$ )	57,623		Difference: +11.1% (p <	.001)	

Abbreviations. aHR=adjusted hazard ratio; CI=confidence interval; d=day; h=hour; IQR=interquartile range; k=number of studies; PI=prediction interval.



Although available studies of pulse oximeter accuracy generally use observational designs, we judged newly identified studies to be at moderate or low overall risk of biased findings. The most common concerns were unclear detail about the pulse oximeter or CO-oximeter devices used, lack of reporting on blinding (*ie*, whether pulse oximeter results were read without knowledge of SaO<sub>2</sub>), and limitations arising from use of health record data, including unclear detail about patient characteristics. Shi et al found that excluding studies with high risk of bias did not substantively alter conclusions. No newly identified studies included in syntheses were rated at high risk of bias.

Evidence on differential pulse oximeter accuracy by patient race/ethnicity from studies published prior to mid-2021 was rated as low or very low strength (certainty) by Shi et al. Despite pooled mean bias and SD values being larger in recent studies, the substantial increase in available data and consistent magnitude of findings led us to increase the strength of evidence supporting the finding that pulse oximeters overestimate SaO<sub>2</sub> in Black patients to moderate. Although there were comparable increases in the amount of data available for other race/ethnicity groups, there was also much greater variability in paired readings. Given this, we did not upgrade the strength of evidence supporting the conclusion that pulse oximeters *do not* overestimate SaO<sub>2</sub> to a clinically important degree among Asian, Latino or Hispanic, Native American or Indigenous, or other or mixed race/ethnicity patients (from low certainty). The same conclusion was upgraded to low strength or certainty (from very low certainty) for White patients because of the substantial increase in the number of observations contributing to pooled estimates.

#### Occult Hypoxemia Prevalence

Occult hypoxemia prevalence by race/ethnicity groups is shown in the Table above. The pooled prevalence of occult hypoxemia was highest among Black patients (11.4%, 95% CI [4.6, 25.5]; N = 34,869) and Asian, Latino or Hispanic, Native American or Indigenous, or other or mixed race/ethnicity patients (9.7%, 95% CI [3.2, 26.1]; N = 25,130), compared with White patients (6.5%, 95% CI [2.8, 14.2]; N = 109,286). Corresponding prevalence ratios (PRs) are shown in the Figure below. Compared with White patients, the prevalence of occult hypoxemia was 71% greater among Black patients (pooled PR = 1.71, 95% CI [1.43, 2.06]) and 42% greater among Asian, Latino or Hispanic, Native American or Indigenous, or other or mixed race/ethnicity patients (pooled PR = 1.42, 95% CI [1.10, 1.84]). For Black patients, an even larger disparity was apparent at the observation level (pooled PR = 2.04, 95% CI [1.64, 2.54]).

Four studies<sup>2,4,35,44</sup> reported odds of occult hypoxemia adjusted for potential confounders (in most cases, patient demographics, comorbidities, and therapeutic variables such as use of vasopressors). When results were pooled, Black patients had twice the odds of experiencing occult hypoxemia compared with White patients (aOR = 1.99, 95% CI [1.15, 3.41]; N = 8,410). Reported odds ratios from all studies were similar in magnitude and consistent in direction. Three<sup>2,4,44</sup> of the 4 studies also included Asian, Latino or Hispanic, Native American or Indigenous, or other or mixed race/ethnicity patients, whose odds of hypoxemia were not significantly greater than those of White participants (aOR = 1.36, 95% CI [0.82, 2.25]; N = 20,536). Most odds ratios in this population were consistent in direction but differed in magnitude. Prevalence ratios and odds ratios followed similar patterns in each group.



Group	Ν		Pooled Preva	alence Ratio (95% CI)
Black				
Patients	34869	i —		1.71 [1.43, 2.06]
Paired Observations	76177		▶ <b>■</b>	2.04 [1.64, 2.54]
Asian, Lat./Hisp., NA/Indig	g.			
Patients	25130	· · · · · · · · · · · · · · · · · · ·		1.42 [1.10, 1.84]
Paired Observations	89243		-	1.33 [1.06, 1.68]
Asian				
Patients	5407	F		1.37 [0.92, 2.04]
Paired Observations	15683			1.38 [0.90, 2.10]
Latino/Hispanic				
Patients	9142	· · ·		1.49 [1.14, 1.94]
Paired Observations	33888			1.42 [1.03, 1.95]
		30% more prevalent	100% (2x) more prevalent	

#### Figure. Occult Hypoxemia Prevalence Ratios by Patient Race/Ethnicity

*Notes.* All prevalence ratios use White patients as the reference group, and the dashed line corresponds to a prevalence ratio of 1.0 (no difference in prevalence compared with White patients). *N* indicates the number of patients in the race/ethnicity group shown and does not include the number of White patients comprising the reference group. Patients reported as *Other race/ethnicity* are included in the group *Asian, Lat./Hisp., NA/Indig* (Asian, Latino or Hispanic, Native American or Indigenous).

Most studies providing data on occult hypoxemia prevalence were rated at moderate risk of biased findings for the same reasons as accuracy studies (given the use of accuracy data to define the occult hypoxemia outcome). One study<sup>35</sup> reporting odds of occult hypoxemia adjusted only for patient sex and measured SpO<sub>2</sub>, compared with the other available studies which controlled for a more comprehensive set of potential confounders. Considering both prevalence and associational findings together, occult hypoxemia is likely more common among Black patients than among White patients (moderate strength of evidence). Asian, Latino or Hispanic, Native American or Indigenous, or other or mixed race/ethnicity patients may also experience occult hypoxemia more frequently than White patients, but the inconsistency of findings across studies and sparse data for some subgroups led us to rate evidence supporting this conclusion as low strength.

One recent study<sup>40</sup> used approximately 30,000 paired SpO<sub>2</sub>–SaO<sub>2</sub> observations from patients treated in VHA surgical and general (non-intensive) care settings between 2013 and 2019. The study found larger bias and worse precision in Black VHA patients compared with White patients, and reported prevalences of occult hypoxemia (defined as SaO<sub>2</sub> less than 88% despite SpO<sub>2</sub> of 92% or greater) that were among the highest of any included study: 19.6% for Black patients, 16.2% for Hispanic or Latino patients, and 15.6% for White patients. The difference in likelihood of occult hypoxemia between Black patients and White patients remained after controlling for patient sex, age, and comorbidities (p < .001). Investigators also analyzed whether SpO<sub>2</sub> and SaO<sub>2</sub> values were consistent across pairs of patient measurements taken the same day. Black patients had a higher likelihood of occult hypoxemia



at a second oximetry reading than White patients, even when patients in both groups did not show occult hypoxemia on their first oximetry reading taken the same day (*ie*, Black patients' probability of occult hypoxemia was more variable among measurement instances than that of White patients). Data used in the study were drawn from the Corporate Data Warehouse, and in our appraisal, reasonable efforts were made to generate a dataset representative of the general VHA patient population and to limit selection biases that could reduce the generalizability of study findings.

#### **Clinical Outcomes**

We found few studies that examined the association between occult hypoxemia (or differential pulse oximeter accuracy) and clinical outcomes by patient race/ethnicity, and evidence was considered insufficient to make firm conclusions about this relationship. Nonetheless, there does appear to be some signal that Black patients with undetected hypoxemia could experience poorer treatment delivery and clinical outcomes than White patients with undetected hypoxemia.

One multicenter study<sup>46</sup> in 215 US hospitals and 315 intensive care units reported length of stay and in-hospital mortality by whether patients experienced occult or "hidden" hypoxemia (defined as SaO<sub>2</sub> less than 88% despite SpO<sub>2</sub> of 88% or greater in observations separated by no longer than 10 minutes). For Black patients (N = 26,032), occult hypoxemia was associated with significantly shorter length of stay compared with Black patients without occult hypoxemia (-3.0 days, p < .01). Length of stay for White patients (N = 57,623) with occult hypoxemia was also significantly shorter than White patients without occult hypoxemia, but by only 0.5 days on average (p < .01). Length of stay was significantly longer for Asian (N = 1,919) and Hispanic (N = 2,397) patients with occult hypoxemia, but by less than 1 day on average compared with patients without occult hypoxemia. In-hospital mortality was more common in patients experiencing occult hypoxemia regardless of race or ethnicity, with the largest difference among White patients (11.1% greater than White patients without occult hypoxemia, p < .001). Length of stay comparisons were unadjusted, while mortality comparisons were adjusted for patient age, sex, and Sequential Organ Failure Assessment (SOFA) score.

A second study,<sup>2</sup> which used health record data from 26,603 patients in intensive care or undergoing surgery at 3 US academic medical centers, reported that occult hypoxemia was associated with fewer hospital-free days and greater in-hospital mortality after adjusting for patient age, sex, comorbidities, setting (intensive care unit or surgery), and acuity. Neither outcome significantly differed by patient race or ethnicity, though Black, Asian, or American Indian patients together made up a relatively small proportion of the patient sample (2,110 versus 24,493 White patients). Only simultaneously collected SpO<sub>2</sub>–SaO<sub>2</sub> readings were used in the study, and occult hypoxemia was defined as SaO<sub>2</sub> less than 88% despite SpO<sub>2</sub> of 92% or greater.

A smaller study<sup>1</sup> in patients evaluated in the emergency department or hospitalized for COVID-19 in the Johns Hopkins Health System (N = 1,903) examined whether patients predicted to have an SaO<sub>2</sub> of 94% or less prior to a measured SpO<sub>2</sub> of 94% or less (*ie*, an unrecognized hypoxemic state) experienced delayed recognition of treatment eligibility or delayed treatment initiation. Failure to recognize eligibility or delayed recognition of eligibility was significantly more likely among both Black patients (adjusted hazard ratio [aHR] = 0.71, 95% CI [0.63, 0.80]) and Hispanic or Latino patients (aHR = 0.77, 95% CI [0.66, 0.89]) with unrecognized hypoxemia compared with White patients with unrecognized hypoxemia. Analyses were adjusted for patient demographics, comorbidities, acuity, and laboratory values (*eg*, hemoglobin). In the subset of patients eventually recognized as treatment eligible, the median delay to eligibility recognition was about 2 hours longer



for Black patients and Asian patients compared with Hispanic or Latino and White patients (see Table above; p = .01 for Black patients versus White patients).

A final observational study<sup>39</sup> used a causal inference methodology to assess whether differential bias in pulse oximeter measurements led to poorer COVID-19 treatment and health services outcomes for Black patients in a large California integrated health system. Compared with White patients, overestimation of SaO<sub>2</sub> among Black patients (N = 1,699) was associated with a significantly lower likelihood of hospital admission (-3.1%, 95% CI [-3.4, -2.8]), initiation of treatment with dexamethasone (-3.1%, 95% CI [-3.4, -2.7]), delivery of supplemental oxygen (-4.5%, 95% CI [-4.9, -4.2]), and post-discharge return to the hospital (-1.2%, 95% CI [-1.9, -0.5]). Overestimation of SaO<sub>2</sub> was predicted to result in a 37-minute delay in dexamethasone initiation (95% CI [20.1, 54.3]) and a 279-minute delay in supplemental oxygen initiation (95% CI [181.0, 376.0]). Results were adjusted for patient age, sex, common comorbidities, and homelessness and insured statuses.



## DISCUSSION

Based on a large body of evidence, pulse oximeters likely overestimate Black patients' blood oxygen saturation level. Most available oximetry data is from recent studies conducted in contemporary hospital and health system settings and using modern pulse oximeters. In these studies, pulse oximeters also appear to have the largest bias and greatest imprecision among Black patients, though some degree of bias and considerable imprecision is evident regardless of patient race/ethnicity. Occult hypoxemia is likely more common among Black patients compared with White patients. Asian, Latino or Hispanic, Native American or Indigenous, or other or mixed race/ethnicity patients may also experience occult hypoxemia more frequently than White patients, but evidence in these groups is less in pulse oximeter accuracy are methodologically inconsistent but provide suggestive evidence that Black patients with undetected hypoxemia could experience poorer treatment delivery and clinical outcomes than White patients with undetected hypoxemia.

Re-assessing oxygen saturation in arterial blood more routinely—particularly for patients who show signs or symptoms of arterial hypoxemia—has been proposed as one step to reduce the risk of occult hypoxemia and subsequent harms arising from biases in pulse oximeter readings.<sup>40</sup> Another approach suggested to mitigate this risk is to raise the oxygen saturation range from 92–96% to 94–98%, though this may increase risk of hyperoxemia.<sup>44</sup> Applying a skin-tone-based correction factor to readings from currently available pulse oximeters has also been discussed,<sup>47</sup> but such adjustments have a controversial history<sup>48-50</sup> and may have limited efficacy because the accuracy and reliability of pulse oximetry readings is influenced by a number of factors that cannot be accounted for in a single correction factor.<sup>47,49</sup> A broader recommendation has been to revise guidelines for pulse oximeter validation studies, in particular to require enrolling more patients with darker skin pigmentation, testing oximeters under real-world health care conditions, and incorporating perfusion into validation requirements.<sup>44,51</sup>

As noted earlier, the systematic review by Shi et al<sup>6</sup> identified some studies that reported pulse oximeter accuracy by skin pigmentation level rather than race or ethnicity. We focused on the latter because most available accuracy data is from recent studies that report their results by race/ethnicity (likely due to the use of patient health record data). A concern with the use of race/ethnicity in accuracy studies is that it may introduce spurious variation across studies and lead to unexpected or clinically counterintuitive findings, given that individuals with a wide range of skin pigmentation levels may identify with the same race/ethnicity.

For instance, groups made up of patients identified as Black through health record data may, in one hypothetical study, be composed of individuals who on average have lighter skin pigmentation compared with a Black patient group in a different study. Variation in sample composition could be caused by many factors, including geographic or other contextual differences between the studies, or may simply be due to chance. In the former study, pulse oximeter bias in the Black patient group may be smaller and closer to levels observed among White patients, while in the latter study, bias in the Black patient group may be more substantial. Underlying differences in sample composition by skin pigmentation level, therefore, resulted in inconsistent evidence about the same race/ethnicity group. Moreover, findings from any single study (*eg*, the first study, in which bias was similar across groups) could be inappropriately generalized because they do not account for the composition of patient groups relative to skin pigmentation level. Given these considerations, use of objective skin pigmentation



metrics when determining the accuracy of pulse oximeters has been proposed.<sup>51</sup> Extending this recommendation to prospective research on disparities in occult hypoxemia is likely warranted.

Finally, it has been acknowledged that addressing biases in pulse oximeter readings and in the care that follows from those readings requires fundamental advancements in the technologies used for routine oxygen saturation monitoring. Fawzy et al<sup>1</sup> state:

"Although increased awareness of the limitations of pulse oximetry may mitigate some of the adverse effects...the race and ethnicity-based discrepancy of pulse oximetry exposes a fundamental flaw in the acquisition rather than interpretation of data, although all the aforementioned biases are associated with systematic underdiagnosis of disease or withholding of therapies for racial and ethnic minority groups."

Improving pulse oximeter technology is an active research area. A small validation study<sup>52</sup> published earlier this year, for example, tested an investigational noninvasive oximeter that uses green rather than the conventional red light, is designed to target superficial skin layers to increase sensitivity to tissue hypoxia, and implements patient-specific skin tone calibration (rather than a pre-programmed correction factor). The study enrolled equal proportions of patients with fair skin, brown skin, and dark skin. Oxygen saturation readings from the novel oximeter were more highly correlated with bloodbased oximetry (r = 0.76) than pulse oximeter readings (r = 0.47), and the novel oximeter was also able to accurately assess oxygen levels in cases in which the pulse oximeter failed, including a patient with very high skin pigmentation.

#### Limitations

Incorporating an existing review of pulse oximeter accuracy meant that we could not exclude studies with limited relevance to modern clinical practice among Veterans (*ie*, studies that used older pulse oximeter technologies or pediatric samples). Observations from these studies make up a small proportion of available accuracy data, so it is unlikely this limitation impacts the validity of the review's findings. We also used sequential data abstraction and risk of bias assessment rather than a fully independent (blinded) process.

#### CONCLUSIONS

Pulse oximeters likely overestimate Black patients' blood oxygen saturation level, increasing the risk for unrecognized or occult hypoxemia. Occult hypoxemia occurs to some degree in all races/ethnicities but is likely more common among Black patients compared with White patients. Findings of this review underscore that clinicians should be aware of the risk of occult hypoxemia in patients with darker skin pigmentation. Moreover, while pulse oximeter readings are on average fairly similar to arterial oxygen saturation levels, evidence from hospital and health system settings relevant to the VA suggests that the amount of bias could vary substantially from patient to patient regardless of their race/ethnicity. This finding implies that incorporating conventional race or ethnicity-based correction factors into pulse oximeters would not eliminate disparities in occult hypoxemia risk. Although proposed changes to clinical practice to accommodate bias and imprecision in pulse oximeters may help to mitigate harms in the near-term, advancements in noninvasive oximeter technology are needed. As the largest integrated health system in the United States, the VHA is uniquely positioned to cultivate innovations in oximeter technology.



# REFERENCES

- 1. Fawzy A, Wu TD, Wang K, et al. Racial and ethnic discrepancy in pulse oximetry and delayed identification of treatment eligibility among patients with COVID-19. *JAMA Internal Medicine*. 2022;182(7):730-738. doi:<u>https://dx.doi.org/10.1001/jamainternmed.2022.1906</u>
- 2. Henry NR, Hanson AC, Schulte PJ, et al. Disparities in hypoxemia detection by pulse oximetry across self-identified racial groups and associations with clinical outcomes. *Critical Care Medicine*. 2022;50(2):204-211. doi:https://dx.doi.org/10.1097/CCM.00000000005394
- 3. Bangash MN, Hodson J, Evison F, et al. Impact of ethnicity on the accuracy of measurements of oxygen saturations: A retrospective observational cohort study. *EClinicalMedicine*. 2022;48:101428. doi:https://dx.doi.org/10.1016/j.eclinm.2022.101428
- 4. Burnett GW, Stannard B, Wax DB, et al. Self-reported race/ethnicity and intraoperative occult hypoxemia: A retrospective cohort study. *Anesthesiology*. 2022;136(5):688-696. doi:<u>https://dx.doi.org/10.1097/ALN.000000000004153</u>
- 5. US Food and Drug Administration. *Pulse oximeters Premarket notification submissions: Guidance for industry and Food and Drug Administration staff.* 2013. <u>https://www.fda.gov/media/72470/download</u>
- 6. Shi C, Goodall M, Dumville J, et al. The accuracy of pulse oximetry in measuring oxygen saturation by levels of skin pigmentation: A systematic review and meta-analysis. *BMC Medicine*. 2022;20(1):267. doi:<u>https://dx.doi.org/10.1186/s12916-022-02452-8</u>
- 7. Sjoding MW, Dickson RP, Iwashyna TJ, Gay SE, Valley TS. Racial bias in pulse oximetry measurement. *New England Journal of Medicine*. 2020;383(25):2477-2478. doi:https://dx.doi.org/10.1056/NEJMc2029240
- 8. U.S. Food and Drug Administration. *Pulse oximeter accuracy and limitations: FDA safety communication*. 2022. <u>https://www.fda.gov/medical-devices/safety-communications/pulse-oximeter-accuracy-and-limitations-fda-safety-communication</u>
- 9. Whiting P, Savovic J, Higgins JP, et al. ROBIS: A new tool to assess risk of bias in systematic reviews was developed. *J Clin Epidemiol*. 2016;69:225-34. doi:https://dx.doi.org/10.1016/j.jclinepi.2015.06.005
- 10. Whiting PF, Rutjes AWS, Westwood ME, et al. QUADAS-2: A revised tool for the quality assessment of diagnostic accuracy studies. *Annals of Internal Medicine*. 2011;155(8):529-536. doi:<u>https://dx.doi.org/10.7326/0003-4819-155-8-201110180-00009</u>
- 11. Hayden JA, van der Windt DA, Cartwright JL, Pierre Côté D, Bombardier C. Assessing bias in studies of prognostic factors. *Annals of Internal Medicine*. 2013;158(4):280-286. doi:<u>https://dx.doi.org/10.7326/0003-4819-158-4-201302190-00009</u>
- 12. Hoy D, Brooks P, Woolf A, et al. Assessing risk of bias in prevalence studies: Modification of an existing tool and evidence of interrater agreement. *J Clin Epidemiol*. 2012;65(9):934-9. doi:<u>https://dx.doi.org/10.1016/j.jclinepi.2011.11.014</u>
- 13. Tipton E, Shuster J. A framework for the meta-analysis of Bland–Altman studies based on a limits of agreement approach. *Statistics in Medicine*. 2017;36(23):3621-3635. doi:https://doi.org/10.1002/sim.7352
- Tang LL, Caudy M, Taxman F. A statistical method for synthesizing meta-analyses. *Computational and Mathematical Methods in Medicine*. 2013;doi:<u>https://dx.doi.org/10.1155/2013/732989</u>
- 15. Knapp G, Hartung J. Improved tests for a random effects meta-regression with a single covariate. *Statistics in Medicine*. 2003;22(17):2693-2710. doi:<u>https://doi.org/10.1002/sim.1482</u>



- 16. Rover C, Knapp G, Friede T. Hartung-Knapp-Sidik-Jonkman approach and its modification for random-effects meta-analysis with few studies. *BMC Med Res Methodol*. 2015;15:99. doi:<u>https://dx.doi.org/10.1186/s12874-015-0091-1</u>
- 17. Parr NJ, Schweer-Collins ML, Darlington TM, Tanner-Smith EE. Meta-analytic approaches for examining complexity and heterogeneity in studies of adolescent development. *J Adolesc*. 2019;77:168-178. doi:https://dx.doi.org/10.1016/j.adolescence.2019.10.009
- 18. *metafor: Meta-analysis package for R*. Version 4.2-0. The Comprehensive R Archive Network; 2023. <u>https://cran.r-project.org/web/packages/metafor/index.html</u>
- Ries AL, Farrow JT, Clausen JL. Accuracy of two ear oximeters at rest and during exercise in pulmonary patients. *American Review of Respiratory Disease*. 1985;132(3):685-9. doi:<u>https://doi.org/10.1164/arrd.1985.132.3.685</u>
- 20. Gabrielczyk MR, Buist RJ. Pulse oximetry and postoperative hypothermia: An evaluation of the Nellcor N-100 in a cardiac surgical intensive care unit. *Anaesthesia*. 1988;43(5):402-404. doi:<u>https://doi.org/10.1111/j.1365-2044.1988.tb09025.x</u>
- 21. Ries AL, Prewitt LM, Johnson JJ. Skin color and ear oximetry. *Chest.* 1989;96(2):287-90. doi:<u>https://doi.org/10.1378/chest.96.2.287</u>
- 22. Escourrou PJ, Delaperche MF, Visseaux A. Reliability of pulse oximetry during exercise in pulmonary patients. *Chest.* 1990;97(3):635-8. doi:<u>https://dx.doi.org/10.1378/chest.97.3.635</u>
- 23. Jubran A, Tobin MJ. Reliability of pulse oximetry in titrating supplemental oxygen therapy in ventilator-dependent patients. *Chest.* 1990;97(6):1420-1425. doi:https://doi.org/10.1378/chest.97.6.1420
- 24. Lee KH, Hui KP, Tan WC, Lim TK. Factors influencing pulse oximetry as compared to functional arterial saturation in multi-ethnic Singapore. *Singapore Medical Journal*. 1993;34(5):385-7.
- 25. Bothma PA, Joynt GM, Lipman J, et al. Accuracy of pulse oximetry in pigmented patients. *South African Medical Journal*. 1996;86(5 Suppl):594-6.
- 26. McGovern JP, Sasse SA, Stansbury DW, Causing LA, Light RW. Comparison of oxygen saturation by pulse oximetry and co-oximetry during exercise testing in patients with COPD. *Chest.* 1996;109(5):1151-1155. doi:<u>https://dx.doi.org/10.1378/chest.109.5.1151</u>
- 27. Adler JN, Hughes LA, Vivilecchia R, Camargo CA, Jr. Effect of skin pigmentation on pulse oximetry accuracy in the emergency department. *Academic Emergency Medicine*. 1998;5(10):965-70. doi:<u>https://doi.org/10.1111/j.1553-2712.1998.tb02772.x</u>
- 28. Abrams GA, Sanders MK, Fallon MB. Utility of pulse oximetry in the detection of arterial hypoxemia in liver transplant candidates. *Liver Transplantation*. 2002;8(4):391-6. doi:https://doi.org/10.1053/jlts.2002.32252
- 29. Hinkelbein J, Genzwuerker HV, Sogl R, Fiedler F. Effect of nail polish on oxygen saturation determined by pulse oximetry in critically ill patients. *Resuscitation*. 2007;72(1):82-91. doi:<u>https://dx.doi.org/10.1016/j.resuscitation.2006.06.024</u>
- Hinkelbein J, Koehler H, Genzwuerker HV, Fiedler F. Artificial acrylic finger nails may alter pulse oximetry measurement. *Resuscitation*. 2007;74(1):75-82. doi:<u>https://dx.doi.org/10.1016/j.resuscitation.2006.11.018</u>
- 31. Muñoz X, Torres F, Sampol G, Rios J, Martí S, Escrich E. Accuracy and reliability of pulse oximetry at different arterial carbon dioxide pressure levels. *Eur Respir J*. 2008;32(4):1053-1059. doi:<u>https://dx.doi.org/10.1183/09031936.00126507</u>
- 32. Ebmeier SJ, Barker M, Bacon M, et al. A two centre observational study of simultaneous pulse oximetry and arterial oxygen saturation recordings in intensive care unit patients. *Anaesthesia and Intensive Care*. 2018;46(3):297-303. doi:<u>https://doi.org/10.1177/0310057x1804600307</u>



- 33. Pilcher J, Ploen L, McKinstry S, et al. A multicentre prospective observational study comparing arterial blood gas values to those obtained by pulse oximeters used in adult patients attending Australian and New Zealand hospitals. *BMC Pulmonary Medicine*. 2020;20(1):7. doi:<u>https://dx.doi.org/10.1186/s12890-019-1007-3</u>
- 34. Harskamp RE, Bekker L, Himmelreich JCL, et al. Performance of popular pulse oximeters compared with simultaneous arterial oxygen saturation or clinical-grade pulse oximetry: A cross-sectional validation study in intensive care patients. *BMJ Open Respiratory Research*. 2021;8(1)doi:https://dx.doi.org/10.1136/bmjresp-2021-000939
- 35. Valbuena VSM, Barbaro RP, Claar D, et al. Racial bias in pulse oximetry measurement among patients about to undergo extracorporeal membrane oxygenation in 2019-2020: A retrospective cohort study. *Chest.* 2022;161(4):971-978. doi:<u>https://dx.doi.org/10.1016/j.chest.2021.09.025</u>
- 36. Wiles MD, El-Nayal A, Elton G, et al. Effect of patient ethnicity on the accuracy of peripheral pulse oximetry in patients with COVID-19 pneumonitis requiring mechanical ventilation. *Anaesthesia*. 2022;77(4):489-491. doi:<u>https://dx.doi.org/10.1111/anae.15656</u>
- 37. Cecil WT, Thorpe KJ, Fibuch EE, Tuohy GF. A clinical evaluation of the accuracy of the Nellcor N-100 and Ohmeda 3700 pulse oximeters. *J Clin Monit*. 1988;4(1):31-6. doi:<u>https://dx.doi.org/10.1007/bf01618105</u>
- 38. Wang YT, Poh SC. Noninvasive oximetry in pigmented patients. *Annals of the Academy of Medicine, Singapore*. 1985;14(3):427-9.
- 39. Sudat SEK, Wesson P, Rhoads KF, et al. Racial disparities in pulse oximeter device inaccuracy and estimated clinical impact on COVID-19 treatment course. *American Journal of Epidemiology*. 2023;192(5):703-715. doi:<u>https://dx.doi.org/10.1093/aje/kwac164</u>
- 40. Valbuena VSM, Seelye S, Sjoding MW, et al. Racial bias and reproducibility in pulse oximetry among medical and surgical inpatients in general care in the Veterans Health Administration 2013-19: Multicenter, retrospective cohort study. *BMJ*. 2022;378:e069775. doi:https://dx.doi.org/10.1136/bmj-2021-069775
- Blanchet M-A, Mercier G, Delobel A, et al. Accuracy of multiple pulse oximeter brands in stable critically ill patients Oxygap study. *Respiratory Care*. 2023;doi:<u>https://dx.doi.org/10.4187/respcare.10582</u>
- 42. Crooks CJ, West J, Morling JR, et al. Differential pulse oximetry readings between ethnic groups and delayed transfer to intensive care units. *QJM*. 2023;116(1):63-67. doi:<u>https://dx.doi.org/10.1093/qjmed/hcac218</u>
- 43. Nguyen LS, Helias M, Raia L, et al. Impact of COVID-19 on the association between pulse oximetry and arterial oxygenation in patients with acute respiratory distress syndrome. *Scientific Reports*. 2022;12(1):1462. doi:<u>https://dx.doi.org/10.1038/s41598-021-02634-z</u>
- 44. Chesley CF, Lane-Fall MB, Panchanadam V, et al. Racial disparities in occult hypoxemia and clinically based mitigation strategies to apply in advance of technological advancements. *Respiratory Care*. 2022;67(12):1499-1507. doi:https://dx.doi.org/10.4187/respcare.09769
- 45. Seitz KP, Wang L, Casey JD, et al. Pulse oximetry and race in critically ill adults. *Critical Care Explorations*. 2022;4(9)doi:<u>https://dx.doi.org/10.1097/CCE.00000000000758</u>
- 46. Wong A-KI, Charpignon M, Kim H, et al. Analysis of discrepancies between pulse oximetry and arterial oxygen saturation measurements by race and ethnicity and association with organ dysfunction and mortality. *JAMA Network Open*. 2021;4(11):e2131674-e2131674. doi:https://dx.doi.org/10.1001/jamanetworkopen.2021.31674
- 47. Jamali H, Castillo LT, Morgan CC, et al. Racial disparity in oxygen saturation measurements by pulse oximetry: Evidence and implications. *Annals of the American Thoracic Society*. 2022;19(12):1951-1964. doi:https://dx.doi.org/10.1513/AnnalsATS.202203-270CME



- 48. Braun L. Race correction and spirometry: Why history matters. *Chest.* 2021;159(4):1670-1675. doi:<u>https://doi.org/10.1016/j.chest.2020.10.046</u>
- Fawzy A, Valbuena VSM, Chesley CF, Wu TD, Iwashyna TJ. Dynamic errors in pulse oximetry preclude use of correction factor. *Annals of the American Thoracic Society*. 2022;20(2):338-339. doi:<u>https://doi.org/10.1513/AnnalsATS.202210-872LE</u>
- 50. Vyas DA, Eisenstein LG, Jones DS. Hidden in plain sight reconsidering the use of race correction in clinical algorithms. *New England Journal of Medicine*. 2020;383(9):874-882. doi:<u>https://dx.doi.org/10.1056/NEJMms2004740</u>
- 51. Okunlola OE, Lipnick MS, Batchelder PB, Bernstein M, Feiner JR, Bickler PE. Pulse oximeter performance, racial inequity, and the work ahead. *Respiratory Care*. 2022;67(2):252-257. doi:<u>https://dx.doi.org/10.4187/respcare.09795</u>
- Sanjay GG, Vinoop D, Georgios A. Innovative technology to eliminate the racial bias in noninvasive, point-of-care (POC) haemoglobin and pulse oximetry measurements. *BMJ Innovations*. 2023;9(2):73. doi:<u>https://dx.doi.org/10.1136/bmjinnov-2022-001018</u>





Evidence Synthesis Program

# **SEARCH STRATEGIES**

#### SYSTEMATIC REVIEWS

Search Date: 2/23/2023		Search Statement	Results
MEDLINE Origination to 2/23/2023	1	exp Oximetry/ or exp Photoplethysmography/ or (oximet* or PPG or photoplethysmography or ((saturation adj1 (oxygen or oxyhemoglobin or oxyhaemoglobin)) or (blood adj1 (gas or oxygen or arterial)) or PtcO2 or TcPCO2 or SpO2 or %SpO2 or Sp O2)).ti,ab,kf.	126417
	2	Skin Pigmentation/ or exp Ethnicity/ or Minority Groups/ or (((cutaneous or skin) adj3 (color* or colour* or pigment* or tone* or type)) or race or racial or ethnic* or ((minorit* or biracial or multiracial or african* or afro-american* or asian* or asiatic or black* or caucasian* or hispanic* or indian* or indigenous or latin* or native* or nonwhite* or (pacific adj3 islander*) or white) adj2 (patient* or participant* or adult*)) or ((persons or people) adj3 (color or colour))).ti,ab,kf.	433474
	3	((over?estimat* or under?estimat* or detect* or classify* or rule in or rule out or identif*) adj3 (hypox* or occult)).ti,ab,kf.	7296
	4	(accura* or inaccura* or agreement or precision or evaluat* or reliab* or bias or concordance or performance or validat* or error* or fail* or discrepanc* or disparit* or vary or varied or varies or variab* or sensitivity or specificity or over?estimat* or under?estimat* or detect* or classify* or rule in or rule out or identif*).ti,ab,kf.	13177197
	5	exp Pediatrics/ or (pediatric* or paediatric* or neonat* or infant* or hyperbaric or wearable).ti,ab,kw.	1150414
	6	2 or 3	1150414
	7	1 AND 4 AND 6	1362
	8	7 not 5	1119
	9	(systematic review.ti. or meta-analysis.pt. or meta-analysis.ti. or systematic literature review.ti. or this systematic review.tw. or pooling project.tw. or (systematic review.ti,ab. and review.pt.) or meta synthesis.ti. or meta-analy*.ti. or integrative review.tw. or integrative research review.tw. or rapid review.tw. or umbrella review.tw. or consensus development conference.pt. or practice guideline.pt. or drug class reviews.ti. or ("cochrane database of systematic reviews" or acp journal club or health technology assessment winchester england or evidence report technology assessment summary or "jbi database of systematic reviews and implementation reports").jn. or (clinical guideline and management).tw. or ((evidence based.ti. or evidence-based medicine/ or best practice*.ti. or evidence synthesis.ti,ab.) and (((review.pt. or diseases category/ or behavior.mp.)) and behavior mechanisms/) or therapeutics/ or evaluation studies.pt. or validation studies.pt. or guideline.pt. or pmcbook.mp.)) or (((systematic or systematically).tw. or critical.ti,ab. or study selection.tw. or ((predetermined or inclusion) and criteri*).tw. or exclusion criteri*.tw. or main outcome measures.tw. or standard of care.tw. or standards of care.tw.) and ((survey or	572025



Search Date: 2/23/2023		Search Statement	Results
		surveys).ti,ab. or overview*.tw. or review.ti,ab. or reviews.ti,ab. or search*.tw. or handsearch.tw. or analysis.ti. or critique.ti,ab. or appraisal.tw. or (reduction.tw. and (risk/ or risk.tw.) and (death or recurrence).mp.)) and ((literature or articles or publications or publication or bibliography or bibliographies or published).ti,ab. or pooled data.tw. or unpublished.tw. or citation.tw. or citations.tw. or database.ti,ab. or internet.ti,ab. or textbooks.ti,ab. or references.tw. or scales.tw. or papers.tw. or datasets.tw. or trials.ti,ab. or meta-analy*.tw. or (clinical and studies).ti,ab. or treatment outcome/ or treatment outcome.tw. or pmcbook.mp.))) not (letter or newspaper article).pt. 8 and 9 limit 9 to english language limit 10 to last 7 years	42 41 26
Cochrane Database of	1	MeSH descriptor: [Oximetry] explode all trees	1169
Systematic Reviews	2	MeSH descriptor: [Photoplethysmography] this term only	107
2005 to February 22, 2023	3	(oximet* or PPG or photoplethysmography) and term only (oxygen or oxyhemoglobin or oxyhaemoglobin)) or (blood adj1 (gas or oxygen or arterial)) or PtcO2 or TcPCO2 or SpO2 or %SpO2 or Sp O2)):ti,ab,kw	12798
	4	{OR #1-#3}	12956
	5	MeSH descriptor: [Skin Pigmentation] this term only	374
	6	MeSH descriptor: [Ethnicity] explode all trees	2978
	7	MeSH descriptor: [Minority Groups] this term only	513
	8	(((cutaneous or skin) NEAR/3 (color* or colour* or pigment* or tone* or type)) or race or racial or ethnic* or ((minorit* or biracial or multiracial or african* or afro-american* or asian* or asiatic or black* or caucasian* or hispanic* or indian* or indigenous or latin* or native* or nonwhite* or (pacific adj3 islander*) or white) NEAR/2 (patient* or participant* or adult*)) or ((persons or people) NEAR/3 (color or colour))):ti,ab,kw	34578
	9	{OR #5-#7}	3640
	10	((over?estimat* or under?estimat* or detect* or classify* or rule in or rule out or identif*) NEAR/3 (hypox* or occult)):ti,ab,kw	4076
	11	(accura* or inaccura* or agreement or precision or evaluat* or reliab* or bias or concordance or performance or validat* or error* or fail* or discrepanc* or disparit* or vary or varied or varies or variab* or sensitivity or specificity or over?estimat* or under?estimat* or detect* or classify* or rule in or rule out or identif*):ti,ab,kw	994866
	12	MeSH descriptor: [Pediatrics] explode all trees	918
	13	(pediatric* or paediatric* or neonat* or infant* or hyperbaric or wearable):ti,ab,kw	118779
	14	#12 OR #13	118792
	15	#9 OR #10	7713
	16	#4 AND #11 AND #15	378
	17	#16 NOT #14	309



Search Date: 2/23/2023		Search Statement	Results
18	limit to last 7 years		1
		Total	1
		Total after deduplication	1

#### **PRIMARY STUDIES**

Search Date: 2/23/2023		Search Statement	Results
MEDLINE Origination to 2/23/2023	1	exp Oximetry/ or exp Photoplethysmography/ or (oximet* or PPG or photoplethysmography or ((saturation adj1 (oxygen or oxyhemoglobin or oxyhaemoglobin)) or (blood adj1 (gas or oxygen or arterial)) or PtcO2 or TcPCO2 or SpO2 or %SpO2 or Sp O2)).ti,ab,kf.	126556
	2	exp Skin Pigmentation/ or exp Ethnicity/ or exp Minority Groups/ or (((cutaneous or skin) adj3 (color* or colour* or pigment* or tone* or type)) or race or racial or ethnic* or ((minorit* or biracial or multiracial or african* or afro-american* or asian* or asiatic or black* or caucasian* or hispanic* or indian* or indigenous or latin* or native* or nonwhite* or (pacific adj3 islander*) or white) adj2 (patient* or participant* or adult*)) or ((persons or people) adj3 (color or colour))).ti,ab,kf.	434193
	3	((over?estimat* or under?estimat* or detect* or classify* or rule in or rule out or identif*) adj3 (hypox* or occult)).ti,ab,kf.	7300
	4	(accura* or inaccura* or agreement or precision or evaluat* or reliab* or bias or concordance or performance or validat* or error* or fail* or discrepanc* or disparit* or vary or varied or varies or variab* or sensitivity or specificity or over?estimat* or under?estimat* or detect* or classify* or rule in or rule out or identif*).ti,ab,kf.	13196427
	5	exp Pediatrics/ or (pediatric* or paediatric* or neonat* or infant* or hyperbaric or wearable).ti,ab,kw.	1151790
	6	2 OR 3	441424
	7	1 AND 4 AND 6	1364
	8	7 NOT 5	1120
	9	limit 8 to English language	1070
CINAHL Origination to 2/23/2023	1	( (MH "Oximetry+") OR (MH "Oximeters+") ) OR TI ( oximet* OR photoplethysmography OR PPG OR ((saturation adj1 (oxygen OR oxyhemoglobin OR oxy haemoglobin)) OR (blood adj1 (gas OR oxygen OR arterial)) OR PtcO2 OR TcPCO2 OR SpO2 OR %SpO2 OR Sp O2 ) OR AB ( oximet* OR photoplethysmography OR PPG OR ((saturation adj1 (oxygen OR oxyhemoglobin OR oxy haemoglobin)) OR (blood adj1 (gas OR oxygen OR arterial)) OR PtcO2 OR TcPCO2 OR %SpO2 OR Sp O2 )	10,601
	2	( (MH "Skin Pigmentation") OR (MH "Ethnic Groups+") OR (MH "Minority Groups") ) OR TI ( ((cutaneous OR skin) adj3 (color* OR colour* OR pigment* OR tone* OR type*)) OR ethnic* OR race OR racial OR ((minorit* or biracial or multiracial or african* or afro-american* or asian* or asiatic or black* or caucasian* or hispanic* or indian* or indigenous or latin* or native* or	249,307



Search Date: 2/23/2023		Search Statement	Results
		nonwhite* or (pacific adj3 islander*) or white) adj2 (patient* or participant* or adult*)) OR ((people OR persons) adj3 (color OR colour)) ) OR AB ( ((cutaneous OR skin) adj3 (color* OR colour* OR pigment* OR tone* OR type*)) OR ethnic* OR race OR racial OR ((minorit* or biracial or multiracial or african* or afro-american* or asian* or asiatic or black* or caucasian* or hispanic* or indian* or indigenous or latin* or native* or nonwhite* or (pacific adj3 islander*) or white) adj2 (patient* or participant* or adult*)) OR ((people OR persons) adj3 (color OR colour)) )	
	3	((overestimat* or over-estimat* OR underestimat* OR under- estimat* OR detect* or classify* or rule in or rule out or identif*)) adj3 (hypox* or occult))	0
	4	TI ( (accura* or inaccura* or agreement or precision or evaluat* or reliab* or bias or concordance or performance or validat* or error* or fail* or discrepanc* or disparit* or vary or varied or varies or variab* or sensitivity or specificity or overestimat* or over-estimat* or underestimat* or under-estimat* or detect* or classify* or rule in or rule out or identif*) ) OR AB ( (accura* or inaccura* or agreement or precision or evaluat* or reliab* or bias or concordance or performance or validat* or error* or fail* or discrepanc* or disparit* or vary or varied or varies or variab* or sensitivity or specificity or overestimat* or over-estimat* or underestimat* or under-estimat* or detect* or classify* or rule in or rule out or identif*) )	2487269
	5	(MH "Pediatrics+") OR TI ( (pediatric* or paediatric* or neonat* or infant* or hyperbaric or wearable) ) OR AB ( (pediatric* or paediatric* or neonat* or infant* or hyperbaric or wearable) )	349837
	6	S2 OR S3	249307
	7	S1 AND S4 AND S6	121
	8	S7 NOT S5	97
	9	limit 8 to English language	97
Scopus Origination to 2/23/2023	1	TITLE-ABS-KEY ( oximet* OR ppg OR photoplethysmography OR ( saturation W/1 ( oxygen OR oxyhemoglobin OR oxyhaemoglobin ) ) OR ( blood W/1 ( gas OR oxygen OR arterial ) ) OR ptco2 OR tcpco2 OR spo2 OR %spo2 OR "sp o2" )	249433
	2	TITLE-ABS-KEY ( ( ( cutaneous OR skin ) W/3 ( color* OR colour* OR pigment* OR tone* OR type ) ) OR race OR racial OR ethnic* OR ( ( minorit* OR biracial OR multiracial OR african* OR afro-american* OR asian* OR asiatic OR black* OR caucasian* OR hispanic* OR indian* OR indigenous OR latin* OR native* OR nonwhite* OR ( pacific W/3 islander* ) OR white ) W/2 ( patient* OR participant* OR adult* ) ) OR ( ( persons OR people ) W/3 ( color OR colour ) ) )	874035
	3	TITLE-ABS-KEY((over?estimat* OR under?estimat* OR detect* OR classify* OR "rule in" OR "rule out" OR identif*) W/3(hypox* OR occult))	10188
	4	TITLE-ABS-KEY (accura* OR inaccura* OR agreement OR precision OR evaluat* OR reliab* OR bias OR concordance OR performance OR validat* OR error* OR fail* OR discrepanc*	36072897

Search Date: 2/23/2023		Search Statement	Results
		OR disparit* OR vary OR varied OR varies OR variab* OR sensitivity OR specificity OR over?estimat* OR under?estimat* OR detect* OR classify* OR "rule in" OR "rule out" OR identif* )	
	5	TITLE-ABS-KEY ( pediatric* OR paediatric* OR neonat* OR infant* OR hyperbaric OR wearable )	2260722
	6	2 OR 3	884101
	7	1 AND 4 AND 6	2691
	8	7 NOT 5	2162
	9	limit 8 to English language	2023
		Total	3190
		Total after deduplication	2226



# STUDIES EXCLUDED DURING FULL-TEXT SCREENING

Citation	Exclude Reason
Occult Hypoxemia Is More Common in Black Patients than in White Patients About to Undergo ECMO for Respiratory Failure. <i>Critical Care Alert.</i> 2022;30(3):1-3.	Ineligible publication type
Impact of Skin Color on Spo2 Detection of Hypoxemia. In. Vol 43. Alisa Veijo, California: American Association of Critical-Care Nurses; 2023:80-80.	Ineligible publication type
Adams A, Cho HJ. Ensuring Progress Toward Racial Equity in Pulse Oximetry. <i>JAMA Internal Medicine.</i> 2022;182(12):1329.	Ineligible publication type
Anonymous. Racial Bias in Pulse Oximetry Measurement. <i>The New England</i> ournal of medicine. 2021;385(26):2496.	Ineligible publication type
Arefin MS, Dumont AP, Patil CA. Monte Carlo based Simulations of Racial bias in Pulse Oximetry. Paper presented at: Progress in Biomedical Optics and Imaging - Proceedings of SPIE2022.	Ineligible publication type
Baek HJ, Shin J, Cho J. The Effect of Optical Crosstalk on Accuracy of Reflectance-Type Pulse Oximeter for Mobile Healthcare. <i>Journal of healthcare engineering.</i> 2018;2018:3521738.	Ineligible comparator
Balmaceda J, D Gerber E, J Arnold M, A Williams D, Snyder K, M Pandya S. RACIAL BIAS IN PULSE OXIMETRY IN ACUTE HYPOXEMIC RESPIRATORY FAILURE. <i>CHEST.</i> 2022;162(4):A1168-A1168.	Ineligible publication type
Barker SJ, Wilson WC. Racial effects on Masimo pulse oximetry: a laboratory study. <i>Journal of clinical monitoring and computing.</i> 2022.	Ineligible population
Bickler P, Tremper KK. The pulse oximeter is amazing, but not perfect. Anesthesiology. 2022;136(5):670-671.	Ineligible publication type
Bota GW, Rowe BH. Continuous monitoring of oxygen saturation in prehospital patients with severe illness: The problem of unrecognized nypoxemia. <i>Journal of Emergency Medicine</i> . 1995;13(3):305-311.	Ineligible intervention
Brownscombe JJ, Loane H, Honan B. COVID-19 highlights the need for action on pulse oximeter accuracy in people with dark skin. <i>Medical Journal of</i> Australia. 2022;216(10):539.	Ineligible publication type
Bunch D. Pulse Oximeters May Overestimate Blood Oxygen Levels in Ethnic Groups. <i>AARC Newsroom.</i> 2022:8-8.	Unable to locate full text
Burnett GW, Stannard B, Wax DB, et al. Self-reported Race/Ethnicity and Dccult Hypoxemia: Reply. <i>Anesthesiology.</i> 2022;137(3):371-372.	Ineligible publication type
Cabanas AM, Fuentes-Guajardo M, Latorre K, Leon D, Martin-Escudero P. Skin Pigmentation Influence on Pulse Oximetry Accuracy: A Systematic Review and Bibliometric Analysis. <i>Sensors (Basel, Switzerland).</i> 2022;22(9).	Outdated or ineligible systematic review
Cahan C, Decker MJ, Hoekje PL, et al. Agreement between noninvasive oximetric values for oxygen saturation. <i>CHEST.</i> 1990;97(4):814-819.	Ineligible outcome
Crooks C, West J, Card T, Shaw D, Simmonds M, Fogarty A. Reply to: naccuracy of pulse oximetry in darker-skinned patients is unchanged across 32 years. <i>The European respiratory journal.</i> 2022;59(6).	Ineligible publication type
Crooks CJ, West J, Morling JR, et al. Pulse oximeter measurement error of oxygen saturation in patients with SARS-CoV-2 infection stratified by smoking status. <i>European Respiratory Journal.</i> 2022;60(5).	Ineligible outcome
Dabbous A, Bijjani A, Nader T, Dahdah S, Tarraf S, Baraka A. Incidence of postoperative hypoxemia as detected by pulse oximetry. <i>Middle East journal of anaesthesiology</i> . 1992;11(4):321-329.	Unable to locate full text



Citation	Exclude Reason
Ebmeier SJ, Barker M, Bacon M, et al. A Two Centre Observational Study of Simultaneous Pulse Oximetry and Arterial Oxygen Saturation Recordings in Intensive Care Unit Patients. <i>Anaesthesia and Intensive Care.</i> 2018;46(3):297-303.	Duplicate
Emery JR. Skin pigmentation as an influence on the accuracy of pulse oximetry. <i>Journal of Perinatology</i> . 1987;7(4):329-330.	Ineligible population
Feiner JR, Severinghaus JW, Bickler PE. Dark skin decreases the accuracy of pulse oximeters at low oxygen saturation: the effects of oximeter probe type and gender. <i>Anesthesia and analgesia</i> . 2007;105(6 Suppl):S18-S23.	Ineligible population
Ferrari M, Quaresima V. Racial discrepancies in oximetry: where do we stand? The gold standard choice. <i>Anaesthesia.</i> 2022;77(4):492-492.	Ineligible publication type
Ferrari M, Quaresima V, Scholkmann F. Pulse oximetry, racial bias and statistical bias: further improvements of pulse oximetry are necessary. <i>Annals of intensive care</i> . 2022;12(1):19.	Ineligible publication type
Gokhale SG, Daggubati V, Alexandrakis G. Innovative technology to eliminate the racial bias in non-invasive, point-of-care (POC) haemoglobin and pulse oximetry measurements. <i>BMJ Innovations.</i> 2022.	Ineligible intervention
Gottlieb ER, Ziegler J, Rush B. Ensuring Progress Toward Racial Equity in Pulse Oximetry-Reply. <i>JAMA Internal Medicine</i> . 2022;182(12):1329-1330.	Ineligible publication type
Gottlieb ER, Ziegler J, Morley K, Rush B, Celi LA. Assessment of Racial and Ethnic Differences in Oxygen Supplementation Among Patients in the Intensive Care Unit. <i>JAMA Internal Medicine.</i> 2022;182(8):849-858.	Ineligible outcome
Gadrey SM, Mohanty P, Haughey SP, et al. Overt and Occult Hypoxemia in Patients Hospitalized With COVID-19. <i>Critical care explorations.</i> 2023;5(1):e0825.	Ineligible intervention
Harlan EA, Colon Hidalgo D, Valley TS. Addressing racial bias in pulse oximetry. <i>Chest Physician.</i> 2022;17(8):18-18.	Ineligible publication type
Hassan EA, Mohamed SN, Hamouda EH, Ahmed NT. Clinical evaluation for the pharyngeal oxygen saturation measurements in shocked patients. <i>BMC Nursing.</i> 2022;21(1).	Ineligible comparator
Hess D. Detection and monitoring of hypoxemia and oxygen therapy. Paper presented at: Respiratory Care2000.	Unable to locate full text
Hobensack M, Phan NM. Racial Bias in Pulse Oximetry: Sjoding M, Dickson R, Iwashyna T, et al. N Engl J Med. 2020;383(25):2477-2478. doi:10.1056/NEJMc2029240. <i>Journal of Emergency Medicine (0736-4679).</i> 2021;60(2):262-263.	Duplicate
Holder AL, Wong A-KI. The Big Consequences of Small Discrepancies: Why Racial Differences in Pulse Oximetry Errors Matter. <i>Critical Care Medicine.</i> 2022;50(2):335-337.	Ineligible publication type
Hunasikatti M. Racial bias in accuracy of pulse oximetry and its impact on assessments of hypopnea and T90 in clinical studies. <i>Journal of clinical sleep medicine : JCSM : official publication of the American Academy of Sleep Medicine</i> . 2021;17(5):1145.	Ineligible publication type
Jacobs JW, Abels E. The potential for unnecessary medical interventions due to inaccurate pulse oximetry measurements. <i>Heart &amp; lung : the journal of critical care.</i> 2022.	Ineligible publication type
Jouffroy R, Jost D, Prunet B. Prehospital pulse oximetry: a red flag for early detection of silent hypoxemia in COVID-19 patients. <i>Critical care (London, England)</i> . 2020;24(1):313.	Ineligible outcome



Citation	Exclude Reason
Keating L, Christian C, Strykowski RK, Lee C, Strek ME, Adegunsoye A. PULSE OXIMETRY INACCURATELY REFLECTS SEVERITY OF LUNG FUNCTION IMPAIRMENT ACROSS RACIAL AND DIAGNOSTIC SUBGROUPS OF INTERSTITIAL LUNG DISEASE. <i>CHEST.</i> 2022;162(4):A2618-A2619.	Ineligible publication type
Keller MD, Harrison-Smith B, Patil C, Arefin MS. Skin colour affects the accuracy of medical oxygen sensors. <i>Nature.</i> 2022;610(7932):449-451.	Ineligible publication type
Knight MJ, Subbe CP, Inada-Kim M. Racial discrepancies in oximetry: where do we stand? <i>Anaesthesia.</i> 2022;77(2):129-131.	Ineligible publication type
Lahri S. Early detection of hypoxia in covid-19. <i>Pan African Medical Journal.</i> 2020;35:1-2.	Ineligible publication type
Lee WW, Mayberry K, Crapo R, Jensen RL. The accuracy of pulse oximetry in the emergency department. <i>The American journal of emergency medicine.</i> 2000;18(4):427-431.	Ineligible outcome
Lipchak D, Chupov A. Sensorex: The Challenges for Engineering Implementation of Low-Cost Non-Invasive Pulse Oximeter Applicable to Diverse Patient Population. Paper presented at: International Conference of Young Specialists on Micro/Nanotechnologies and Electron Devices, EDM2021.	Ineligible publication type
Longcoy J, Patwari R, Hasler S, et al. Racial and Ethnic Differences in Hospital Admissions of Emergency Department COVID-19 Patients. <i>Medical</i> <i>Care</i> . 2022;60(6):415-422.	Ineligible intervention
Louw A, Cracco C, Cerf C, et al. Accuracy of pulse oximetry in the intensive care unit. <i>Intensive Care Medicine.</i> 2001;27(10):1606-1613.	Ineligible outcome
Melton JD, Heller MB, Kaplan R, Mohan-Klein K. Occult hypoxemia during aeromedical transport: Detection by pulse oximetry. <i>Prehospital and Disaster Medicine</i> . 1989;4(2):115-120.	Ineligible intervention
Mendelson Y, Kent JC, Shahnarian A, Welch GW, Giasi RM. Evaluation of the Datascope ACCUSAT pulse oximeter in healthy adults. <i>Journal of clinical monitoring.</i> 1988;4(1):59-63.	Ineligible outcome
Nematswerani N, Collie S, Chen T, et al. The impact of routine pulse oximetry use on outcomes in COVID-19-infected patients at increased risk of severe disease: A retrospective cohort analysis. <i>South African Medical Journal</i> . 2021;111(10):950-956.	Ineligible intervention
Noninvasive blood gas monitoring: a review for use in the adult critical care unit. Technology Subcommittee of the Working Group on Critical Care, Ontario Ministry of Health. <i>CMAJ : Canadian Medical Association journal = journal de</i> <i>I'Association medicale canadienne</i> . 1992;146(5):703-712.	Outdated or ineligible systematic review
Norton HL. Variation in pulse oximetry readings: melanin, not ethnicity, is the appropriate variable to use when investigating bias. <i>Anaesthesia.</i> 2022;77(3):354-355.	Ineligible intervention
Ochoa-Gutierrez V, Guerrero-Zuniga S, Reboud J, Pazmino-Betancourth M, Harvey AR, Cooper JM. Changes in Oxygenation Levels During Moderate Altitude Simulation (Hypoxia-Induced): A Pilot Study Investigating the Impact of Skin Pigmentation in Pulse Oximetry. <i>Advances in experimental medicine</i> <i>and biology</i> . 2022;1395:391-396.	Ineligible population
Palmer SJ. Racial bias in pulse oximetry: a significant problem in healthcare. <i>British Journal of Healthcare Assistants.</i> 2022;16(9):430-433.	Ineligible publication type

Citation	Exclude Reason
Philip KEJ, Tidswell R, McFadyen C. Racial bias in pulse oximetry: more statistical detail may help tackle the problem. <i>BMJ (Clinical research ed).</i> 2021;372:n298.	Ineligible publication type
Pierson DJ. Pulse oximetry versus arterial blood gas specimens in long-term oxygen therapy. <i>Lung</i> . 1990;168 Suppl:782-788.	Ineligible publication type
Ploen L, Pilcher J, Beckert L, Swanney M, Beasley R. An investigation into the pias of pulse oximeters. Paper presented at: Respirology2016.	Ineligible publication type
Ralston AC, Webb RK, Runciman WB. Potential errors in pulse oximetry. III: Effects of interferences, dyes, dyshaemoglobins and other pigments. Anaesthesia. 1991;46(4):291-295.	Ineligible publication type
Ralston AC, Webb RK, Runciman WB. Potential errors in pulse oximetry III: Effects of interference, dyes, dyshaemoglobins and other pigments. Anaesthesia. 1991;46(4):291-295.	Ineligible publication type
Saunders NA, Powles AC, Rebuck AS. Ear oximetry: accuracy and practicability in the assessment of arterial oxygenation. <i>The American review</i> of respiratory disease. 1976;113(6):745-749.	Ineligible population
Schallom M, Prentice D, Sona C, Arroyo C, Mazuski J. Comparison of nasal and forehead oximetry accuracy and pressure injury in critically ill patients. <i>Heart Lung.</i> 2018;47(2):93-99.	Ineligible intervention
Shimada Y, Nakashima K, Fujiwara Y, et al. Evaluation of a new reflectance bulse oximeter for clinical applications. <i>Medical &amp; Biological Engineering &amp; Computing.</i> 1991;29(5):557-561.	Ineligible comparator
Sinaki FY, Ward R, Abbott D, et al. Ethnic disparities in publicly-available pulse oximetry databases. <i>Communications medicine</i> . 2022;2:59.	Ineligible intervention
Sjoding M, Iwashyna TJ, Valley TS. More on Racial Bias in Pulse Oximetry Measurement. Reply. <i>The New England journal of medicine.</i> 2021;384(13):1278.	Ineligible publication type
Smith RN, Hofmeyr R. Perioperative comparison of the agreement between a portable fingertip pulse oximeter v. a conventional bedside pulse oximeter in adult patients (COMFORT trial). <i>South African medical journal = Suid-Afrikaanse tydskrif vir geneeskunde</i> . 2019;109(3):154-158.	Ineligible comparator
Smyth RJ, D'Urzo AD, Slutsky AS, Galko BM, Rebuck AS. Ear oximetry during combined hypoxia and exercise. <i>Journal of Applied Physiology.</i> 1985;60(2):716-719.	Ineligible population
Stannard B, Levin MA, Lin H-M, Weiner MM. Regional cerebral oximetry is consistent across self-reported racial groups and predicts 30-day mortality in cardiac surgery: a retrospective analysis. <i>Journal of clinical monitoring and computing.</i> 2021;35(2):413-421.	Ineligible intervention
Stewart KG, Rowbottom SJ. Inaccuracy of pulse oximetry in patients with severe tricuspid regurgitation. <i>Anaesthesia</i> . 1991;46(8):668-670.	Ineligible comparator
hrush D, Hodges MR. Accuracy of pulse oximetry during hypoxemia. <i>South</i> <i>Med J.</i> 1994;87(4):518-521.	Ineligible population
obin MJ, Jubran A. Pulse oximetry, racial bias and statistical bias. <i>Annals of ntensive care.</i> 2022;12(1):2.	Ineligible publication type
obin MJ, Jubran A. Inaccuracy of pulse oximetry in darker-skinned patients is nchanged across 32 years. <i>The European respiratory journal.</i> 2022;59(6).	Ineligible publication type
obin MJ, Jubran A. Unreliable pulse oximetry in dark-skin patients: a plea for Igorithm disclosure. <i>Annals of Intensive Care</i> . 2022;12(1).	Ineligible publication type

Citation	Exclude Reason
Todd B. Pulse Oximetry May Be Inaccurate in Patients with Darker Skin. <i>American Journal of Nursing.</i> 2021;121(4):16.	Ineligible publication type
Valbuena VSM, Merchant RM, Hough CL. Racial and Ethnic Bias in Pulse Oximetry and Clinical Outcomes. <i>JAMA Internal Medicine</i> . 2022;182(7):699-700.	Ineligible publication type
Van de Louw A, Cracco C, Cerf C, et al. Accuracy of pulse oximetry in the intensive care unit. <i>Intensive care medicine.</i> 2001;27(10):1606-1613.	Ineligible outcome
Ward E, Katz MH. Confronting the Clinical Implications of Racial and Ethnic Discrepancy in Pulse Oximetry. <i>JAMA Internal Medicine</i> . 2022;182(8):858-858.	Ineligible publication type
Zeballos RJ, Weisman IM. Reliability of noninvasive oximetry in black subjects during exercise and hypoxia. <i>The American review of respiratory disease</i> . 1991;144(6):1240-1244.	Ineligible population

# **RISK OF BIAS ASSESSMENTS**

# **OBSERVATIONAL STUDIES (QUADAS)**

Study Name or Author Year	Patient Selection	Patient Categorization	Index Test	Reference Standard	Flow and Timing	Overall Rating
Abrams 2022	Unclear	High	Low	Unclear	Low	Moderate
	Does not describe how participants were enrolled in the study.	Used race (Black patients examined separately) as a proxy for pigmentation and method of determination was not reported.	Index test was conducted prior to interpretation of results from reference test.	Does not state whether reference test results were interpreted without knowledge of index test results.	ABG reading was obtained 1 minute after PO reading. All patients received the same reference standard. All patients were included in analysis.	
Adler 1998	Low	Low	Low	Unclear	Low	Low
	All adult patients requiring ABG measurement in the ER were eligible for enrollment. Included consecutive patients with vital signs and complete data on oxygen saturation measurements.	Used the Munsell color system and categorized patients into 3 groups.	Index test was conducted prior to interpretation of results from reference test.	Does not state whether reference test results were interpreted without knowledge of index test results.	PO measurement was taken at the time of ABG sampling, and ABG samples were taken immediately to the lab.	
Bangash 2022	Low	High	Unclear	Low	Low	Moderate
	Retrospective study that included patients for whom paired measurements and ethnicity were recorded.	Used self-reported race (Black, Asian, White, Other) as a proxy for pigmentation.	Unclear whether the index test was conducted prior to the results of the reference test being automatically entered into the HER.	Reference test results were automatically entered into the EHR upon analysis.	Included paired measurements occurring within a 20 minute time period.	
Blanchet 2023	Low	Unclear	Low	Unclear	Low	Unclear
	Appears that all patients meeting criteria during the study period were eligible and exclusions were appropriate.	Used the Fitzpatrick scale to characterize skin color, but do not report who made the assessment and only report that 96% were light skin (types 1 and 2).	Index test was conducted prior to interpretation of results from reference test.	Does not state whether reference test results were interpreted without knowledge of index test results.	PO values were collected simultaneously with ABG samples. Appears all patients were included in analysis.	
	Low	Low	Low	Unclear	Low	Low

Study Name or Author Year	Patient Selection	Patient Categorization	Index Test	Reference Standard	Flow and Timing	Overall Rating
	Included consecutive patients meeting criteria and exclusions were appropriate.	Patients were selected for the study when they subjectively appeared darkly pigmented. Pigmentation was objectively quantified with a portable EEL reflectance spectrophotometer (calculated mean reflectance). They compare their mean value to that of another study that included a group of pigmented volunteers.	Index test was conducted prior to interpretation of results from reference test.	Does not state whether reference test results were interpreted without knowledge of index test results.	PO measurements occurred at the same time as blood sample, which was immediately taken for analysis. The reference standard test (CO-oximeter) was the same for all patients. Appears that all patients were included in analysis.	
Burnett 2022	Low	High	Low	Unclear	Low	Moderate
	Included all patients who received an anesthetic with at least 1 ABG sample during the time period of the study.	Used self-reported race/ethnicity (White, Other, Hispanic/Latinx, Black, Asian) as a proxy for pigmentation.	Used the mean SpO2 during a 5 minute interval starting 10 min before ABG time.	Does not state whether reference test results were interpreted without knowledge of index test results.	Used the mean SpO2 during a 5 minute interval starting 10 min before ABG time. The reference standard test was the same for all patients. Appear to include all data where both measurements were identified during the specified time period.	
Cecil 1988	Low	High	Low	Unclear	Low	Moderate
	The only patient selection criterion was that the patient required an ABG test. Data collection occurred consecutively as requests for blood gas analysis were received from the attending physician.	A subjective assessment of skin pigmentation was made using a scale of I to 3 for light, medium, and dark pigment levels, respectively.	The PO probe was placed while the blood sample was taken.	Does not state whether reference test results were interpreted without knowledge of index test results.	The PO probe was placed while the blood sample was taken and was analyzed within 15 minutes. Included all data with the exception of identified outliers.	
Chelsey 2022	Low	High	Unclear	Unclear	Low	Moderate
	Included all critically ill patients with paired measurements within 10 minutes of each other during the study period.	Self-reported race/ethnicity obtained from medical record data.	Unknown whether the index test was conducted prior to the interpretation of the	Does not state whether reference test results were interpreted without knowledge of index test results.	Paired SpO2 with SaO2 measurements via ABG obtained within 10 min of each other. The 2 sites used different blood gas analyzers, but these devices	

## Evidence Synthesis Program

Study Name or Author Year	Patient Selection	Patient Categorization	Index Test	Reference Standard	Flow and Timing	Overall Rating
			reference standard results.		are regularly calibrated. Some analyses only included Black and White patient groups due to sample size, otherwise all patients appear to be included in analyses.	
Crooks 2023	Low	High	Low	Low	Low	Moderate
	Retrospective study that included patients admitted to a level 3 intensive treatment unit bed with COVID-19 infection during the study period.	Race/ethnicity determined from EHR data.	Unknown whether the index test was conducted prior to the interpretation of the reference standard results, but ward oximetry measurements are recorded routinely electronically using NerveCentre.	Reference test results were automatically entered into the EHR upon analysis.	Used last recorded observations and blood tests prior to the time of ITU admission. Timing of these measurements relative to one another is unknown. Method of ABG measurement not recorded. All patients included in analysis although patients without ethnicity data were excluded from multivariate analysis.	
Ebmeier 2018	Low	Low	Low	Unclear	Low	Low
	Included consecutive patients admitted to each ICU who had routine PO and ABG measurement as part of routine clinical care. Additional exclusions are appropriate.	The Fitzpatrick scale was used to categorize skin color at the bedside by the study investigator. The 6 numerical ratings were divided into 3 categories.	Index test was conducted prior to interpretation of results from reference test.	Does not state whether reference test results were interpreted without knowledge of index test results.	The SpO2 value recorded was the first value displayed after the blood entered the syringe for the ABG sample. ABG analysis was the same for all patients. A small number of patients were excluded (2 opted out of the study and 8 had measurements taken within 2 hours of ICU admission).	
Escourrou 1990	Unclear	High	Unclear	Unclear	Unclear	Moderate
	No information provided on selection of patients.	States only that 5 patients were "moderately pigmented but not Black;" no information was provided on how this judgment was made.	Oximeters used continuously display saturation. ABG was measured at rest and exercise and analyzed immediately; it is unclear whether continuous PO measurements were observed with	Does not state whether reference test results were interpreted without knowledge of index test results.	The time between ABG and PO measurement is not reported. ABG was sampled and analyzed the same for all patients. A satisfactory pulse output could not be obtained in 5 patients who were excluded. In 3 patients the transcutaneous value	

## Evidence Synthesis Program

Study Name or Author Year	Patient Selection	Patient Categorization	Index Test	Reference Standard	Flow and Timing	Overall Rating
			knowledge of the results of the initial ABG test.		was not obtained at exercise due to poor signal.	
Fawzy 2022	Low	High	Unclear	Unclear	Low	Moderate
	Retrospective study that included patients for whom specified data were available during the study period.	Used self-reported race/ethnicity (Asian, Black/African American, White and Hispanic or non-Hispanic).	Retrospective data and timing/conduct of measurements is unknown.	Retrospective data and timing/conduct of measurements is unknown.	SaO2 and SpO2 readings occurred within 10 minutes of each other. All ABG samples were analyzed via CO-oximetry using an ABL brand device. Flow diagrams shows reasons for exclusion of patients from each analysis and exclusions are appropriate.	
Gabrielczyk 1988	Unclear	High	Low	Unclear	Low	Moderate
	No information provided on selection of patients.	Only report that 4 patients had "racially pigmented skin."	Index test was conducted prior to interpretation of results from reference test.	Does not state whether reference test results were interpreted without knowledge of index test results.	Blood was drawn after the PO reading was obtained. All patients had ABG measurement done with the same CO-oximeter. Measurement pairs from all patients were included in analysis.	
Harskamp 2021	Low	Low	Low	Low	Low	Low
	Enrolled consecutive adult patients admitted to the ICU with a catheter for ABG samples. Exclusions listed are appropriate.	Used the Fitzpatrick classification scale, as assessed by the site investigator. Considered Fitzpatrick type IV-VI to be dark skin type, in accordance with FDA guidance.	PO readings were blinded for SaO2.	The intensive care personnel analyzing blood samples were blind to PO readings.	PO readings were done directly (within 10 minutes) after blood sample was taken. The same equipment was used to analyze all blood samples. Included all valid SpO2 measurements.	
Henry 2022	Low	High	Low	Unclear	Low	Moderate
	Retrospective study that included adults meeting criteria from 4 self- identified racial groups with paired measurements.	Four self-identified racial groups.	There were zero minutes of separation between tests so the results from the blood sample would not have been analyzed before the PO reading was taken.	Does not state whether reference test results were interpreted without knowledge of index test results.	PO readings and blood samples were done simultaneously ( <i>ie</i> , zero minutes of separation). Method of blood gas analysis not reported. Appear to include all patients in analysis.	

Evidence Synthesis Program

Study Name or Author Year	Patient Selection	Patient Categorization	Index Test	Reference Standard	Flow and Timing	Overall Rating
Hinkelbein (2007) acrylic	Low	High	Low	Unclear	Low	Moderate
	All adult ICU patients meeting criteria (mechanically ventilated, Caucasian patients with white skin color, plethysmographic waveform was of poor quality, other factors that can affect PO readings) with an arterial line within the study period who agreed to participate were included. Excluded non- White patients (n=2) due to "possible severe interference with the measurement."	Do not report how determination of skin color/race was made for inclusion in study and white patients may have represented a range of skin pigmentation.	PO readings were taken before blood sample.	Does not state whether reference test results were interpreted without knowledge of index test results.	Blood sample was taken directly after PO readings. Same device type was used for all ABG measurements. All study patients were included in analysis.	
Hinkelbein 2007 (nail polish)	Low	High	Low	Unclear	Low	Moderate
	All ICU patients meeting criteria (mechanically ventilated, 5 fingers without nail polish, Caucasian patients with white skin color, plethysmographic waveform was of poor quality, other factors that can affect PO readings) within the study period who agreed to participate were included. Excluded non-White patients (n=2).	Do not report how determination of skin color/race was made for inclusion in study and White patients may have represented a range of skin pigmentation.	Blood sample was drawn at the same time as PO readings.	Does not state whether reference test results were interpreted without knowledge of index test results.	Blood sample was taken at the time of PO readings. Same device type was used for all ABG measurements. All study patients were included in analysis.	
Jubran 1990	Unclear	High	Low	Unclear	Unclear	Moderate
	Unclear whether consecutive patients meeting criteria were included.	The skin of each of the Black patients was inspected by one of the investigators and subjectively graded as light, moderately dark, or very dark.	Each PO reading was taken at the same time as the paired blood sample.	Does not state whether reference test results were interpreted without knowledge of index test results. Since the index test values were set a priori according to the study protocol, investigators would have been aware of these	Blood sample was taken when each target PO reading was reached. Arterial oxygen saturation was measured with a CO- oximeter for all patients. It is unclear whether all patients were included in analysis.	

Study Name or Author Year	Patient Selection	Patient Categorization	Index Test	Reference Standard	Flow and Timing	Overall Rating
				values, but the publication does not report who analyzed the blood samples.		
Lee 1993	Unclear	High	Low	Unclear	Low	Moderate
	Unclear whether consecutive patients meeting criteria were included.	Made note of the patient's race (Chinese, Malay, Indian) but it was not reported how this was determined.	SpO2 reading was taken when blood sample was drawn.	Does not state whether reference test results were interpreted without knowledge of index test results.	SpO2 reading was taken when blood sample was drawn. All SaO2 readings were done with the same blood gas analyzer device type. Appears that jaundiced patients were excluded from analysis looking at effect of race.	
McGovern 1996	Unclear	High	Low	Unclear	Low	Moderate
	Patients were recruited from another study; details are not provided.	Reports that all included subjects were White but does not report how this was determined or whether there was variation in skin color within the group.	Blood samples were evaluated after the exercise test.	Does not state whether reference test results were interpreted without knowledge of index test results.	Arterial blood was collected at baseline and at each workload. SpO2 and SaO2 were recorded in the last 15s of each 1 min exercise period. All blood samples were analyzed with the same CO-oximeter. Measurements were obtained in all patients and data from each patient was included in the analysis.	
Muñoz 2008	Low	High	Low	Unclear	Low	Moderate
	Included all patients under assessment for long-term oxygen therapy at an outpatient center who had both measurements taken during the study period who met criteria. Excluded patients with factors that could affect measurements and exclusions are appropriate.	All patients were Caucasian; do not report how race determination was made or whether there was variation in skin color/pigmentation within this group.	States that SaO2 and SpO2 were obtained simultaneously.	Does not state whether reference test results were interpreted without knowledge of index test results.	States that SaO2 and SpO2 were obtained simultaneously. ABG was analyzed with the same CO- oximeter for all patients. All patients meeting criteria included in analysis.	
Nguyen 2022	Low	High	Low	Unclear	Low	Moderate
	Included all consecutive patients admitted to the	Examine the effect of ethnic group, but do not		Does not state whether reference test results were	SpO2 was measured continuously but the	

Study Name or Author Year	Patient Selection	Patient Categorization	Index Test	Reference Standard	Flow and Timing	Overall Rating
	ICU for acute respiratory failure (COVID-19 and non-COVID-19) during 2 different time periods who met criteria. Exclusion criteria included factors known to affect PO readings and exclusions are appropriate.	explain how this was determined; report % Black patients in table.	SpO2 reading was taken when ABG was performed.	interpreted without knowledge of index test results.	measurement was recorded at the time the ABG was performed. SaO2 was measured by the same type of blood gas analyzer device for all readings. All included patients included in analysis.	
Pilcher 2020	Low	Low	Low	Unclear	Low	Low
	Patients 16 or older who were to have an ABG measurement as part of routine clinical care in hospital wards and outpatient clinics were recruited. Exclusions appear appropriate.	Based on modified Fitzpatrick scale with patient skin color classified as either: Light (Type I to Type II), Medium (Type III to Type IV) or Dark (Type V to Type VI).	SpO2 reading was taken when blood was drawn for ABG.	Does not state whether reference test results were interpreted without knowledge of index test results.	The SpO2 value recorded was the first value on the oximeter when blood was first observed to enter the collection vial. Models of ABG analyzers appear to have varied. Excluded measurement paired with ABG samples identified to be venous or unusable. Participants in which there was a reported concern with oximeter accuracy were not excluded from analyses. Analyzed all participants that were included.	
Ries 1985	Unclear	High	Low	Unclear	High	High
	Included pulmonary patients referred to the laboratory for clinical exercise testing. Unclear if the study group represents all referred patients during a time period. Does not note any exclusions.	Report skin pigmentation for a subset of participants. Skin pigmentation was assessed using a semiquantitative scale of light (1) to dark (4). Do not describe how this judgment was made or by whom.	Ear oximetry readings were recorded by a separate observer simultaneously with each arterial blood sample.	Does not state whether reference test results were interpreted without knowledge of index test results.	Ear oximetry readings were recorded simultaneously with each arterial blood sample. Blood samples were analyzed using 2 different blood gas analyzers that were regularly calibrated as well as by CO-oximeter. Skin pigment data was only collected for the subset of patients who were tested with both oximeter types.	
Ries 1989	Unclear	High	Low	Unclear	Low	Moderate
	Included patients referred to the laboratory for evaluation of arterial blood gas changes during	Skin color quantified by the Munsell color system. The 5YR hue chart was used as it	SpO2 readings and withdrawal of blood	Does not state whether reference test results were interpreted without	Readings from the ear oximeters were taken simultaneously with the withdrawal of each arterial	

Study Name or Author Year	Patient Selection	Patient Categorization	Index Test	Reference Standard	Flow and Timing	Overall Rating
	exercise. Unclear if the study group represents all referred patients during a time period. Does not note any exclusions.	corresponded most closely to the range of skin colors. A technician selected the tile from the hue chart which best matched the skin at the probe placement site. However, the 4 lightest colors were each considered their own group while the darker colors were grouped together in a single group (range of skin colors was not evenly distributed across the groups).	sample occurred simultaneously.	knowledge of index test results.	blood sample. All blood samples were analyzed with a blood gas analyzer that was regularly calibrated and each sample was checked with a CO-oximeter. Appears measurements from all patients are included but not all patients have measurements for both oximeters.	
Seitz 2022	Low	High	Low	Unclear	Low	Moderate
	Retrospective study that included all patients meeting criteria with paired measurements and race documented as Black or White during the study period. Excluded patients with COVID-19. Patients with Other values for race were not included due to an inadequate number of patients for comparison.	Race (Black or White) was used as a surrogate for skin pigmentation.	SpO2 values were directly transferred from the bedside monitor into the institutional data warehouse every 1 minute.	Retrospective data and timing/conduct of measurements is unknown.	Measurements occurred within 10 minutes of each other and the SpO2 value closest in time was used. SaO2 measurement were done using the same CO- oximeter. All patients included in study were included in analysis.	
Sjoding 2020	Unclear	High	Unclear	Unclear	Low	Moderate
	Retrospective study; does not clearly describe how patients were selected for inclusion.	Race (non-Hispanic Black or non-Hispanic White) was used as a surrogate for skin pigmentation.	Retrospective data and timing/conduct of measurements is unknown.	Retrospective data and timing/conduct of measurements is unknown.	Measurements occurred within 10 minutes of each other. SaO2 was directly measured by CO-oximetry for all patients. Appear to include all study patients in analysis.	
Sudat 2022	Low	High	Unclear	Unclear	Low	Moderate
	Appear to include all patients meeting their criteria during the study period. Excluded patients	Race (Black or White) was used as a	Retrospective data and timing/conduct of	Retrospective data and timing/conduct of	Paired each SaO2 measurement with the nearest recorded SpO2 for the same person, truncated	

Study Name or Author Year	Patient Selection	Patient Categorization	Index Test	Reference Standard	Flow and Timing	Overall Rating
	who were not non- Hispanic Black or non- Hispanic white. Second cohort included ED patients with COVID-19. Excluded visits with no documented SpO2.	surrogate for skin pigmentation.	measurements is unknown.	measurements is unknown.	at ± 10m from the earlier of the ABG specimen time or result time. No information provided on ABG measurement. Appear to include all patients meeting their criteria in the respective cohort analyses.	
Valbuena 2022 ECMO	Low	High	Unclear	Unclear	Low	Moderate
	Retrospective study including adult patients with ARDS or COVID-19 on ECMO for respiratory failure during the study period. Included patients with relevant data (blood gas samples had to meet certain criteria for timing).	Race/ethnicity was used as a surrogate for skin pigmentation.	Retrospective data and timing/conduct of measurements is unknown.	Retrospective data and timing/conduct of measurements is unknown.	No data on the timing of measurements were available. No information provided on SaO2 measurement. For hypoxemia analyses, only included race/ethnicity categories that met their calculated sample size threshold.	
Valbuena 2022 VHA	Low	High	Unclear	Unclear	Low	Moderate
	Retrospective study including all SpO2 and SaO2 data available for hospital stays, with some exclusions for indicators of critical illness (to capture a general hospital sample, not ICU). Valid records in the database require core identifiers, including race and ethnic origin, to be present.	Race (Black, Hispanic, or White) was used as a surrogate for skin pigmentation.	Retrospective data and timing/conduct of measurements is unknown.	Retrospective data and timing/conduct of measurements is unknown.	Included pairs of measurements occurring with 10 minutes of one another. Information on whether the SaO2 reading was measured by CO- oximetry was not available. Do not include Hispanic patients in all analyses.	
Wang 1987	Unclear	High	Low	Unclear	Low	Moderate
	Unclear whether consecutive patients meeting criteria were included.	All patients were characterized as 'pigmented;' do not report how this judgment was made or on variation within the sample.	Index test was conducted prior to interpretation of results from reference test.	Does not state whether reference test results were interpreted without knowledge of index test results.	Arterial blood was drawn simultaneously with PO readings. Oxygen saturation was measured with a CO- oximeter for some samples and a blood gas analyzer for others. Either an ear or finger PO reading was obtained from all patients, but not both.	

Evidence Synthesis Program

Study Name or Author Year	Patient Selection	Patient Categorization	Index Test	Reference Standard	Flow and Timing	Overall Rating
Wiles 2022	Low	High	Low	Unclear	Low	Moderate
	Retrospective study that included all consecutive patients meeting criteria with both Spo2 and SaO2 measurements available; exclusions were appropriate.	Race/ethnicity was used as a surrogate for skin pigmentation.	Used the mean value of SpO2 readings that occurred during the 4 minute period prior to ABG analysis.	Does not state whether reference test results were interpreted without knowledge of index test results.	Used the mean value of SpO2 readings that occurred during the 4 minute period prior to ABG analysis. Arterial oxygen saturation was determined by CO- oximetry for all patients. All study patients included in analysis.	
Viles 2022 letter	Low	High	Low	Unclear	Low	Moderate
	Retrospective study that included critically ill patients with COVID-19 pneumonitis receiving invasive mechanical ventilation with pairs of SpO2 and SaO2 measurements during the study period. Other exclusions are appropriate.	Categorized patients by ethnic group (South Asian, Black, White, and other).	SpO2 measurements performed automatically by bedside monitoring system. Used the mean value of SpO2 readings that occurred during the 4 minute period prior to ABG analysis.	Does not state whether reference test results were interpreted without knowledge of index test results.	Used the mean value of SpO2 readings that occurred during the 4 minute period prior to ABG analysis. Same CO-oximeter device type used for all SaO2 measurements. Appear to include all study patients in analysis.	
Wong 2021	Low	High	Unclear	Unclear	Low	Moderate
	Retrospective study that included patients from all units available in the data sets with SpO2 measurements within the specified range and with self-identified race/ethnicity classified as Asian, Black, Hispanic, or White.	Classified patients by race/ethnicity, not skin pigmentation.	Retrospective data and timing/conduct of measurements is unknown.	Retrospective data and timing/conduct of measurements is unknown.	Each ABG-measured SaO2 was matched with the closest SpO2 value recorded within the previous 5 minutes. Do not report how blood gas analysis was conducted. All included patients included in analysis; excluded patients from subgroup analysis when data on corresponding characteristics were missing.	

Abbreviations. ABG=arterial blood gas, PO=pulse oximeter, ER=emergency room, HER=electronic health record, ITU=intensive treatment unit, ICU=intensive care unit, FDA=Food and Drug Administration, ARDS=acute respiratory distress syndrome, ECMO=extracorporeal membrane oxygenation.

# **OBSERVATIONAL STUDIES (QUIPS)**

Study Name or Author Year	Study Participation	Study Attrition	Prognostic Factor Measurement	Outcome Measurement	Study Confounding	Statistical Analysis and Reporting	Overall Risk of Bias
Burnett 2022	Low	Low	High	Low	Moderate	Low	Moderate
	Included all patients who received an anesthetic with at least 1 ABG sample during the time period of the study.	Retrospective cohort study. Appear to include all data where both measurements were identified during the specified time period.	Used self-reported race/ethnicity obtained from medical record data as a proxy for pigmentation.	Occult hypoxemia defined as SaO2 less than 88% despite SpO2 greater than 92%. SaO2 measurement was the same for all patients and changes in SpO2 devices were accounted for by year measurement was taken.	Used a multivariable model which controlled for all of the collected demographic, comorbidity, and operative variables. Do not account for all potential confounding variables ( <i>eg</i> , socioeconomic factors).	GEE modeling was used to determine if race was an independent predictor of occult hypoxemia. No evidence of selective reporting of results.	
Chelsey 2022	Low	Low	High	Low	Moderate	Low	Moderate
	Included all critically ill patients with paired measurements within 10 minutes of each other during the study period.	Retrospective cohort study. Some analyses only included Black and White patient groups due to sample size, otherwise all patients appear to be included in analyses.	Used self-reported race/ethnicity (White, Other, Hispanic/Latinx, Black, Asian) as a proxy for pigmentation.	Occult hypoxemia defined as SaO2 < 88% when pulse oximeter oxygen saturation was between 92–96%. The 2 sites used different blood gas analyzers, but these devices are regularly calibrated. Different pulse oximeters were used at the 2 study sites but there were no differences between sites when compared.	Multivariable model controlled for a limited number of potential confounders (age, sex, hemoglobin).	Used multivariable logistic regression model to examine the association between self- reported race and occult hypoxemia. No evidence of selective reporting of results.	
Crooks 2023	Low	Low	High	High	Moderate	Low	High
	Retrospective study that included patients admitted to a level 3 intensive treatment unit bed with COVID-19	Retrospective cohort study. Patients without race data were not included in multivariate analysis	Used self-reported race/ethnicity (White, Black/mixed, Indian/Pakistani,	Used last recorded observations and blood tests prior to the time of ITU admission. Timing of these	Model adjusted for age, sex, weekend ICU admission, and vaccination status. Other potential confounding	Utilized a multivariate model. No evidence of selective reporting.	

Study Name or Author Year	Study Participation	Study Attrition	Prognostic Factor Measurement	Outcome Measurement	Study Confounding	Statistical Analysis and Reporting	Overall Risk of Bias
	infection during the study period.	but otherwise all patients were retained.	Other) as a proxy for pigmentation.	measurements relative to one another is unknown. Do not report device types for PO and ABG measurements but these measurements are automatically uploaded to the EHR. Don't provide detail on measurement of other clinical variables ( <i>eg</i> , mean respiratory rate).	variables ( <i>eg</i> , socioeconomic factors, comorbidities) were not controlled for.		
Fawzy 2022	Low Included patients for whom specified	Low Retrospective cohort study. Flow	High Used self-reported race/ethnicity	Moderate All ABG samples were analyzed via	Moderate Model was adjusted for covariates that	Moderate The difference in time to recognition of treatment	Moderate
	data were available during the study period.	diagrams shows reasons for exclusion of patients from each analysis and exclusions are appropriate.	(Asian, Black/African American, White and Hispanic or non-Hispanic) as a proxy for pigmentation.	CO-oximetry using an ABL brand device. PO device type and reading location was not reported and likely varied between sites. Delayed treatment recognition was defined as those patients with a predicted SaO2 of 94% or less before a measured SpO2 of 94% or less or oxygen treatment initiation. Unrecognized treatment eligibility was defined as those patients with a predicted SaO2 of 94% or less who did not initiate treatment with oxygen or have a	captured disease severity or an underlying comorbidity or had a known or theoretical association with PO accuracy, including demographic characteristics along with time- varying clinical and laboratory variables. Do not account for all potential confounding variables ( <i>eg</i> , socioeconomic factors).	eligibility between patients of racial and ethnic minority groups and White patients was estimated using a Cox proportional hazards model. Among individuals with delayed recognition of treatment eligibility, Wilcoxon rank sum tests were used to compare the distributions of length of delayed recognition between groups. Only patients with complete data on covariates were included in adjusted model. No evidence of selective reporting of results.	

Study Name or Author Year	Study Participation	Study Attrition	Prognostic Factor Measurement	Outcome Measurement	Study Confounding	Statistical Analysis and Reporting	Overall Risk of Bias
				recorded SpO2 of 94% or less at any time.			
Henry 2022	Low Retrospective study that included adults meeting criteria from 4 self-identified racial groups with paired measurements.	Low Appear to include all patients in analysis.	High Patients were categorized into 4 self-identified racial groups.	Moderate PO readings and blood samples were done simultaneously ( <i>ie</i> , zero minutes of separation). Method of blood gas analysis and pulse oximetry not reported and may have differed between study sites. Occult hypoxemia was defined as SaO2 less than 88% despite a normal SpO2 ( <i>ie</i> , $\geq$ 92%). Hospital-free days were counted as the number of days alive and out of hospital following the index time through 28 days of follow-up.	Moderate Adjusted for select potential confounders in both analysis of occult hypoxemia (age, mean arterial pressure less than 65 mmHg or the use of continuous infusions of IV vasopressors at the time of SaO2 assessment, and presence of COPD or home oxygen use) and analysis of treatment outcomes (age, sex, COPD or home oxygen use, index location [ICU vs surgical], and acuity of illness).	Low GEE was used to account for multiple observations. No evidence of selective reporting.	Moderate
Seitz 2022	Low Retrospective study that included all patients meeting criteria with paired measurements and race documented as Black or White during the study period. Excluded patients with COVID-19. Patients with other values for race were not	Low Retrospective study and all patients included in study were included in analysis.	High Race (Black or White) was used as a surrogate for skin pigmentation	Low Measurements occurred within 10 minutes of each other and the SpO2 value closest in time was used. SaO2 and SpO2 measurements were done using the same devices for all patients. Occult hypoxemia was defined as SaO2 <	High Did not examine occult hypoxemia with a multivariate model that accounts for potential confounders.	Low Compared rate of occult hypoxemia between groups (t test?). No evidence of selective reporting.	Low

Study Name or Author Year	Study Participation	Study Attrition	Prognostic Factor Measurement	Outcome Measurement	Study Confounding	Statistical Analysis and Reporting	Overall Risk of Bias
	inadequate number of patients for comparison.			88% with SpO2 values of 92–96%.			
Sudat 2022	Low	Low	High	Moderate	Moderate	Low	Moderate
	Appear to include all patients meeting their criteria during the study period. Excluded patients who were not non- Hispanic Black or non-Hispanic White. Second cohort included ED patients with COVID-19. Excluded visits with no documented SpO2.	Retrospective study; appear to include all patients meeting their criteria in the respective cohort analyses.	Race (Black or White) was used as a surrogate for skin pigmentation.	Paired each SaO2 measurement with the nearest recorded SpO2 for the same person, truncated at ± 10m from the earlier of the ABG specimen time or result time. No information provided on ABG or PO measurement. Treatment outcomes (time spent in ED, hospital admission, dexamethasone administration and timing, oxygen supplementation and timing, return to the hospital after discharge home) from EHR data. Defined hypoxemia as an SaO2 < 90%.	Important differences were noted between NHB and NHW groups at baseline (homelessness, insurance types, comorbidities), but these and additional demographic and clinical covariates were included in the model. Do not account for all possible confounders.	Used G-computation to build 2 counterfactuals to assess the possible impacts of differential SpO2 measurement error on COVID-19-related outcomes for NHB patients. Computed the mean difference between the predicted outcome with the observed SpO2 values and the predicted outcome with SpO2 values shifted by the measurement difference from the initial PO bias analysis. Also compared prevalence of OH between groups and reported p value. No evidence of selective reporting.	
Valbuena 2022 ECMO	Moderate	Low	High	High	High	Low	High
	Retrospective study including adult patients with ARDS or COVID-19 on ECMO for respiratory failure during the study period. Included patients with relevant data (blood gas samples had to meet certain criteria for timing). For	Retrospective study using registry data.	Race/ethnicity was used as a surrogate for skin pigmentation.	No data on the timing of measurements were available. No information provided on SaO2 or SpO2 measurement. Occult hypoxemia was defined as low arterial oxygen saturation (SaO2 ≤ 88%) on arterial	Only adjusted for sex and measured SpO2.	Multivariable analyses were performed by logistic regression for each race and ethnicity group compared with White patients to examine the relationship between these variables with the odds of occult hypoxemia. No evidence of selective reporting.	

Study Name or Author Year	Study Participation	Study Attrition	Prognostic Factor Measurement	Outcome Measurement	Study Confounding	Statistical Analysis and Reporting	Overall Risk of Bias
	hypoxemia analyses, only included race/ethnicity categories that met their calculated sample size threshold ( $N \ge 400$ ). Excluded a large number of patients for this reason, including groups where patients may have had more pigmented skin ( <i>eg</i> , North African).			blood gas measurement despite a pulse oximetry reading in the range of 92% to 96%.			
Valbuena 2022 VHA	Low Retrospective study including all SpO2 and SaO2 data available for hospital stays, with some exclusions for indicators of critical illness (to capture a general hospital sample, not ICU). Valid records in the database require core identifiers, including race and ethnic origin, to be present.	Low Retrospective study and all patients included in study were included in analysis, although Hispanic patients were not included in all analyses.	High Race (Black, Hispanic, or White) was used as a surrogate for skin pigmentation.	Moderate Included pairs of measurements occurring with 10 minutes of one another. No information on device/location for PO and SaO2 measurements was available. Occult hypoxemia was defined as defined as arterial SaO2 <88% despite a SpO2 reading of ≥92%.	Moderate Models were adjusted for patient level characteristics that included age, sex, patient comorbidities, supplemental oxygen, and diagnoses on admission. Do not account for all potential confounders.	Low Fit a multivariable logistic regression model to predict the odds of occult hypoxemia. No evidence of selective reporting.	Moderate
Wong 2021	Low Retrospective study that included patients from all units available in the data sets with SpO2 measurements within the specified range and with self- identified	Low Retrospective study. All included patients included in analysis; excluded patients from subgroup analysis when data on corresponding characteristics were missing.	High Classified patients by race/ethnicity, not skin pigmentation.	Moderate Each ABG- measured SaO2 was matched with the closest SpO2 value recorded within the previous 5 minutes. Do not report how blood gas analysis was conducted or	Moderate Adjusted only for age, sex, SOFA score.	Low Multivariate logistic regression was used for assessing binary end points, multivariate ordinal regression for numerical end points, and multivariate linear models for continuous end points, using analysis of variance to	Moderate

Study Name or Author Year	Study Participation	Study Attrition	Prognostic Factor Measurement	Outcome Measurement	Study Confounding	Statistical Analysis and Reporting	Overall Risk of Bias
	race/ethnicity classified as Asian, Black, Hispanic, or White.			device/location of PO measurement. Occult hypoxemia was defined as SpO2 > 88% but SaO2 < 88%. Clinical outcomes (in-hospital mortality, length of stay, organ dysfunction [SOFA scores], laboratory values) extracted from HER.		test for the impact of hidden hypoxemia while adjusting for other covariates. Calculated relative risk of OH by race/ethnicity. No evidence of selective reporting.	

Abbreviations. ABG=arterial blood gas, GEE=generalized estimating equation, ITU=intensive treatment unit, PO=pulse oximeter, HER=electronic health record, ICU=intensive care unit, COPD=chronic-obstructive pulmonary disease, ED=emergency department.

# SYSTEMATIC REVIEWS (ROBIS)

Study Name or Author Year	Study Eligibility Criteria	Identification and Selection of Studies	Data Collection and Study Appraisal	Synthesis and Findings	Overall Risk of Bias
Shi 2022	Low Reasonable and clearly defined eligibility criteria.	Low Multiple databases searched. Conducted searches of clinical trial registries. Hand-searched reference lists of included studies and relevant reports. Dual independent study selection.	Low A single reviewer abstracted data and assessed risk of bias, checked by another reviewer. Risk of bias was assessed using appropriate criteria.	Low Appears all data were included, as appropriate. Performed both pre- planned and post-hoc sensitivity analyses.	Low

# **CHARACTERISTICS OF INCLUDED STUDIES**

Study Name or Author Year	Country	Participant Characteristics	Pulse Oximetry Location	Skin Pigmentation or Race/Ethnicity Factors	Outcome Types	
	Setting			Magaziramant		
			CO-oximetry	Measurement		
Abrams 2002	US	Prospective liver transplant	Nellcor N-200	Race (Black, White)	Bias	
N=294	Oliniaal	candidates with cirrhosis and controls with an indication	Finger	ND		
	Clinical	for ABG measurement	Radiometer ABL520	NR		
		Mean age: 53.3	Radiometer ABL320			
		% male: 54.4				
		% non-Hispanic White: NR				
Adler 1998	US	NR	Nellcor D-25	Skin pigmentation (dark,	Bias	
N=298		Mean age: 60	Finger	intermediate, light)		
	Clinical	% male: 51		Museell color suctors		
		% non-Hispanic White: NR	4-wavelength spectrophotometer or CO- oximeter (Radiometer OSM3)	Munsell color system		
Bangash 2022	UK	NR	NR	Race (White, Asian,	Bias, prevalence of occult	
N=18,069		Median age: 63		Black, Other)	hypoxemia	
	Clinical	% male: 57.9	NR			
		% non-Hispanic White: 81.2		Self-reported		
Blanchet 2023	Canada	Stable adults in the intensive	Nellcor N-600	96.2% light skin (types 1	Bias, root mean square error,	
N=193		care unit with an arterial catheter in place	Finger	and 2)	prevalence of occult hypoxemia, observations	
	Clinical	Median age: 66.3 years		Fitzpatrick scale	UDSELVATIONS	
		% male: 79.3	Radiometer ABL 800Flex OSM- 3	Fitzpatrick scale		
Bothma 1996	US	Critically ill patients wrth	Simed S100e	Subjects (darkly	Bias	
N=100	00	arterial lines in situ	Finger	pigmented patients) had		
	Clinical		Nihon Koden	a mean reflectance of		
	Olimiodi		Finger	19.9		
			Ohmeda 3740			
			Ear	Portable EEL Reflectance spectrophotometer		
				sheeri ohuoromerei		
			Instrumentation Laboratories IL482 CO-oximeter System			
Burnett 2022	US	Patients receiving anesthetic	Primarily Nellcor prior to 2011	NR	Bias, prevalence of occult	
N=46,253		Median age: 57± 21 years	and Masimo after		hypoxemia, OR observations	
	Clinical	% male: 54.5				
		% White: 47.8	GEMStat Premier 3000			

Study Name or Author Year	Country	Participant Characteristics	Pulse Oximetry Location	Skin Pigmentation or Race/Ethnicity Factors	Outcome Types
	Setting			••	
			CO-oximetry	Measurement	
Cecil 1988 N=152	US Clinical	ABG determination was needed Median age: 64.1 ± 18.7 years % male: 53.9 % White: 89.5	Nellcor N-100; Ohmeda 3700 <i>Finger</i> IL 282 CO-Oximeter	For Black patients, the subjective estimate of pigmentation level ranged from 1 to 3 ( $2.5 \pm 0.6$ ). A subjective assessment of skin pigmentation was	Bias
				made using a scale of I to 3 for light, medium, and dark pigment levels, respectively.	
Chelsey 2022 N=7,693	US	Critically ill patients admitted to ICUs	Covidien Nellcor oximeter and OxiMax disposable finger	NR	Bias, intrasubject variability in measurement error, prevalence of
N-7,093	Clinical	Median age: 64 years % male: 58.9 % White: 60	sensors; Masimo pulse oximeter and LNCS disposable sensors <i>Finger</i>		occult hypoxemia, OR both
			Multi-wavelength CO-oximeter (ABL90 and GEM 4000)		
Crooks 2023 N=748	UK	Patients admitted to a level 3 intensive treatment unit bed with COVID-19 infection	NR	NR	Bias, prevalence of occult hypoxemia, treatment delivery
	Clinical	Median age: 56 years % male: 62.5 % White:56.1			
Ebmeier 2018	Australia and New Zealand	ICU patients	Marquette Rac-4A and Masimo	Dark 3.1%	Bias, limits of agreement,
N=394		Median age: 62.5 ± 15.1 % female: 36.6 % European: 80.6	(NZ); Philips IntelliVue MP70 monitors with Philips Adult Reusable SpO2 sensors	Medium 34.0% Light 61.9%	association with oxygen saturation
		7 European. 00.0	(Australia) Ear, finger, or toe	The Fitzpatrick Scale	
			Alpha-stat method using Radiometer ABL 800 FLEX		
Escourrou 1990 N=101	France	Patients with COPD, emphysema, sarcoidosis,	Ohmeda Biox 3700 <i>Ear</i>	5 patients were moderately pigmented	Bias, standard error
	Research	pulmonary fibrosis, Hodgkin's disease, primary pulmonary hypertension, cirrhosis of the liver, restrictive pulmonary disease, miscellaneous	Criticare CSI 501 + <i>Ear</i> Nellcor N200	but not black	

Study Name or Author Year	Country	Participant Characteristics	Pulse Oximetry Location	Skin Pigmentation or Race/Ethnicity Factors	Outcome Types
	Setting		CO-oximetry	Measurement	
		diseases, dyspnea of unknown origin Age: 17 – 81 years % male: 71.3	Sampled using a microsampler and analyzed using a Corning 178 gas analyzer. Measured SaO2 was determined by a spectrophotometric method.		
Fawzy 2022 N=1216 (accuracy) 6673 (treatment eligibility analysis)	US Clinical	For sample with concurrent SaO2 and SpO2 measurements: Median 59.8 years % male: 58.3 % White: 37.8	NR	NR	Bias, prevalence of occult hypoxemia, treatment delivery
Gabrielczyk 1988 N=21	UK Clinical	Hypothermic patients after cardiac surgery Median age: 59.5 years	Nellcor N-100 <i>Finger</i> Hemoglobin saturation (Radiometer OS2), blood gas estimation (ABL30 Radiometer)	Racially pigmented skin 19% (4 patients and 14 paired obs) NR	Bias
Harskamp 2021 N=35	Netherlands Clinical	ICU patients primarily admitted for respiratory failure due to COVID-19 or other pulmonary diseases Median age: 69 years % female: 40	Direct-to-consumer pulse oximeters: AFAC FS10D, AGPTEK FS10C, ANAPULSE ANP 100, Cocobear, Contec CMS50D1, HYLOGY MD-H37, Mommed YM101, PRCMISEMED F4PRO, PULOX PO-200 and Zacurate Pro Series 500 DL Included a hospital-grade SpO2 monitor (Philips M1191BL sensor glove) as a clinical index test	Fitzpatrick IV-VI skintype 14.3% Fitzpatrick classification scale	Bias, root mean square difference, mean absolute error
Henry 2022 N=26,603	US Clinical	Patients admitted to the ICU or undergoing surgery during inpatient hospitalization Median age: 64 years % male: 58.4 % White: 92.1	ABL90 Flex Plus NR	NR	Bias, prevalence of occult hypoxemia, OR Obs, treatment delivery
Hinkelbein 2007 acrylic N=46	Germany	Critically ill and mechanically ventilated (for at least 24	Siemens (monitor SC1281 and module SIREM connected to a re-usable Nellcor DS-100A	Only included patients with white skin color (Caucasian race)	Bias
	Clinical		Durasensor finger probe) or		

Study Name or Author Year	Country	Participant Characteristics	Pulse Oximetry Location	Skin Pigmentation or Race/Ethnicity Factors	Outcome Types
	Setting		CO-oximetry	Measurement	
		hours via a tracheal tube or cannula) patients Median age: 58.1 years	Philips (IntelliVue MP70 attached to the finger probe M1191A) device		
			Radiometer Copenhagen System ABL625		
Hinkelbein 2007 nail polish	Germany	Critically ill and mechanically ventilated (for at least 24	Siemens monitor SC1281 and module SIREM, connected to a	Only included patients with white skin color	Bias
	Clinical	hours via a tracheal tube) patients	reusable finger sensor probe Nellcor DS100-A Durasensor	(Caucasian race)	
		Median age: 59 ± 14.2 % female: 38	Finger		
			Radiometer Copenhagen ABL System		
Jubran 1990 N=54	US	Patients in the MICU receiving mechanical	Nellcor	NR The skin of each of the	Bias, frequency of inaccurate readings ( <i>ie</i> , bias > 4%)
N-34	Clinical	ventilation Median age: 53 years % female: 55.6	Finger, ear, or toe Ohmeda-Biox 3700 Ear or finger	Black patients was inspected by one of the investigators and	
		% White: 46.3	Arterial O2 saturation was measured with a CO-oximeter	subjectively graded as light, moderately dark, or very dark	
Lee 1993	Singapore	MICU patients	Nellcor, Simed, Critikon	NR	Bias
N=33	Clinical	Median age: 54.4 years % Chinese: 66.7	NR		
	Clinical	% Malay: 18.2	Nova Stat Profile 3 pH/blood		
		% Indian: 15.2	gas analyser (This represents functional oxygen saturation and not fractional oxygen saturation as would be given with a CO-oximeter.)		
McGovern 1996 N=8	US	Patients in stable condition with severe COPD	Ohmeda 3700 <i>Finger</i>	All subjects were White	Bias, bias after correction for COHb level
	Research	Median age: 63.2 ± 9.6		NR	
		% male: 100	IL 482 CO-oximeter		
Muñoz 2008 N=846	Spain	Patients under assessment for long-term home oxygen	Minolta Pulsox-7	All were Caucasian	Bias, impact of arterial oxygen tension (Pa, O2)
	Clinical	therapy in a specialized outpatient clinic	IL 682 CO-oximeter	NR	
		Median age: 68.4 ± 12.2 % male: 70			

Study Name or Author Year	Country	Participant Characteristics	Pulse Oximetry Location	Skin Pigmentation or Race/Ethnicity Factors	Outcome Types	
	Setting		CO-oximetry	Measurement		
Nguyen 2022 N=55	France	Patients with acute respiratory distress syndrome (ARDS) with and	Masimoset Finger (all but 3) or ear	NR	Bias, Correlations, agreement, concordance between relative changes in SpO2 and SaO2	
	Clinical	without COVID-19. All patients were mechanically ventilated	ABL800 Radiometer			
		Median age: 60 ± 17 years % male: 60				
		% Black: 31%				
Pilcher 2020 N=400	Australia and New Zealand	Patients who were to have an ABG measurement as	Most common were Nonin Avant 9700, Masimo Rainbow	Fitzpatrick Score 1 11% II 49.5%	Bias	
	Clinical	part of routine clinical care Median age: 64 ± 15.2	Radical 7, and Nonin Avant 4000) <i>Ear or finger</i>	III 31.8% IV 7.5%		
		% male: 53		V 0.3%		
			The model used was recorded	VI 0%		
			for each patient	Based on modified Fitzpatrick scale with patient skin color		
				classified as either: Light (Type I to Type II), Medium (Type III to Type		
				IV) or Dark (Type V to Type VI)		
Ries 1985 N=116	US	Pulmonary patients referred for evaluation of ABG	Hewlett-Packard 47201A and Biox IIA	Only reported skin pigmentation in Phase 3	Bias	
N-110	Clinical	changes during exercise	Ear	patients (simultaneous testing of both ear		
			IL813 and 513 blood gas analyzers. The SaO2 was	oximeters): Moderate skin pigmentation 21.7%		
			measured both directly with the CO-oximeter and calculated from the measured PaO2,	Light skin pigmentation 78.3%		
			PaCO2,	Skin pigmentation was		
			and pH, assuming a normal body temperature and P50	assessed using a semiquantitative scale of light (1) to dark		
				(4)		
Ries 1989 N=187	US	Pulmonary patients referred to the laboratory for clinical	Ohmeda Biox III and Hewlett- Packard 47201A	Group 1, value of 8 (very light); group 2, value of 7	Bias, prevalence of technical problems	
	Clinical	exercise testing NR	Ear	(light); group 3, value of 6 (average); and group 4,		

Study Name or Author Year	Country	Participant Characteristics	Pulse Oximetry Location	Skin Pigmentation or Race/Ethnicity Factors	Outcome Types
	Setting		CO-oximetry	Measurement	
			Blood gas analyzers	value less than or equal to 5 (moderately dark to very dark). These value scores corresponded to rows of lightness (value) on the 5YR hue chart	
				Skin color quantified by the Munsell color system. The 5YR hue chart was used as it corresponded most closely to the range of skin colors observed. A technician of normal color vision selected the tile from the 5YR hue chart which best matched the skin at the placement site for the ear probes.	
Seitz 2022 N=1,024	US Clinical	Critically ill adults receiving mechanical ventilation. Excluded patients with COVID-19 Median age (Black patients): 54 Median age (White patients): 58 % female (Black patients): 47 % female (White patients): 43	Nellcor Werfen GEM Premier 5000 blood gas analyzer	NR	Bias, prevalence of occult hypoxemia, obs
Sjoding 2021 N=10,001	US Clinical	One cohort was patients receiving supplemental oxygen. The other cohort was patients in ICUs. NR % White (UM cohort): 82.8 % White (multicenter cohort): 87.5	NR CO-oximetry	NR	Bias
Sudat 2022 N=11,237	US Clinical	All hospital patients who self-identified as non- Hispanic White or non- Hispanic Black (Cohort 1); all	NR NR	NR	Bias (cohort 1), prevalence of occult hypoxemia, obs

Study Name or Author Year	Country	Participant Characteristics	Pulse Oximetry Location	Skin Pigmentation or Race/Ethnicity Factors	Outcome Types
	Setting		CO-oximetry	Measurement	
		adults who visited the ED with COVID-19 and self- identified as NHW or NHB (cohort 2)			
		Median age (Cohort 2): 57.3 years % female (Cohort 2): 52.3 % NHW (Cohort 1): 81.1 % NHB (Cohort 1): 17.2 % NHW (Cohort 2): 87.5 % NHB (Cohort 2): 12.5			
Valbuena 2022 N=28,531	US Clinical	Inpatients in general care (medical and surgical not ICU)	NR	NR	Bias, root mean square error, probability obs,
		Median age (NHW): 69 years Median age (NHB): 66 Median age (Hispanic/Latino): 68			
/		% male: 96			
√albuena 2022 ECMO N=372	US Clinical	Patients with ARDS or COVID-19 in respiratory failure and about to undergo extracorporeal membrane oxygenation % male: 68 % White: 50	NR	NR	Bias, prevalence of occult hypoxemia, OR obs
Wang 1987 N=31	Singapore Clinical	Most (27) patients admitted to the hospital with acute symptoms. In 4 outpatients, ABG was performed as part	Biox III Finger and ear	All patients were characterized as "pigmented"	Bias
		of lung function assessment Median age: NR % male: 96.8	NR	NR	
Wiles 2022 N=194	UK	Patients who were critically ill with COVID-19	B1x5 M/P monitoring system using NellcorTM reusable or	NR	Bias, prevalence of occult hypoxemia, Obs
	Clinical	pneumonitis who received non-invasive respiratory	disposable probes NR		

#### Evidence Synthesis Program

#### Differential Pulse Oximeter Accuracy

Study Name or Author Year	Country	Participant Characteristics	Pulse Oximetry Location	Skin Pigmentation or Race/Ethnicity Factors	Outcome Types
	Setting		<b></b>	Measurement	
			CO-oximetry	Weasurement	
		support CPAP, low- flow/high-flow oxygen therapy) within the first 7 days of critical care admission.	RAPIDpoint 500 analyser		
		Median age: 62 ± 12.4 % White: 69.6			
Wiles 2022 Letter	UK	Patients with COVID-19 pneumonitis who were	B1x5 M/P monitoring system using NellcorTM reusable or	NR	Bias, prevalence of occult hypoxemia, obs
N=178	Clinical	receiving mechanical ventilation of their lungs	disposable probes <i>NR</i>		
		Median age: 60 ± 14 years			
		% male: 75 % White ethnic origin: 70.8	RAPIDpoint 500 analyser		
Wong 2021 N=NR	US	Patients with SpO2 within the range of 88%to 100%	NR	NR	Bias, prevalence of occult hypoxemia, RR both
	Clinical	Median age: 62.2% % female: 42.9 % Black: 29.6			

Abbreviations. ABG=arterial blood gas; NR=not reported, NHW=non-Hispanic White, NHB=non-Hispanic Black, CPAP=continuous positive airway pressure.

# PEER REVIEW COMMENTS AND RESPONSES

Comment #	Reviewer #	Comment	Author Response
Are the objec	tives, scope, a	and methods for this review clearly described?	
1	1	Yes	
2	2	Yes	
3	3	Yes	
4	4	Yes	
5	5	Yes	
6	6	Yes	
Is there any i	ndication of bi	as in our synthesis of the evidence?	
7	1	No	
8	2	No	
9	3	No	
10	4	No	
11	5	No	
12	6	No	
Are there any	/ published or	unpublished studies that we may have overlooked?	
13	1	No	
14	2	No	
15	3	No	
16	4	Yes - Okunlola et al 2021 Respiratory Care is important for putting pulse oximeter errors in perspective.	Thank you for this suggestion. We have incorporated this recommendation paper into the Discussion.
17	5	No	
18	6	No	
Additional su	ggestions or c	omments can be provided below.	
19	1	None	
20	2	None	

Comment #	Reviewer #	Comment	Author Response
21	3	p.v. line 5/6 and line 11. Why do the first two key findings use the mitigating word "likely"? The data which has been graded as moderate strength shows that pulse oximeters often/typically overestimate Black patients' O2 sat and that occult hypoxemia is more common among Black than White patients. Using mitigating language, while scientifically accurate, detracts from the very important message of this review. I suggest re-writing these top two key findings either by just removing the word "likely" or re-phrasing the sentences (for example, Pulse oximeters are more likely to overestimate Black or African American than White patients' blood oxygen saturation level)	Use of "likely" (or "may") correspond to strength of evidence ratings (moderate or low, respectively), which we have clarified in the Methods section.
22	3	<ul> <li>p.vi. line 30-32. "In Black or African American patients, pulse oximeters appear to overestimate blood oxygen saturation by an average of 150% compared with CO-oximetry in arterial blood"</li> <li>I do not understand what is meant by 150%. This would benefit from more explanation. This same phrasing is used in the main findings section on p8. Lines 28-30, where is again is unclear to me what this means and would benefit from more explanation.</li> </ul>	Thank you. This was a typographical error on our part that has been corrected.
23	3	On p9, line 30, I don't understand how the addition of 68,455 paired observations with a mean bias of 1.4 to the Shi 2022 review of 2,646 paired observations with mean bias of 0.31, resulted in an updated estimate that is basically the same as the original mean bias described in the Shi paper (0.31). P9, line 48. Again, I don't understand how the updated estimate of mean bias changed so little from Shi's estimate, and I really don't understand how the lower bound of the confidence interval moved lower than for either the Shi 2022 review of the recent studies. I assume that my lack of understanding is just because I don't understand the math involved (I chose not to read Tang et al's paper that is cited as the reference for the method of pooling the estimates because most other clinicians reading this ESP report also will not read the Tang paper). Provided the math is right and it is my lack of math knowledge that is the problem, I recommend explaining somewhere how the math has created these non-intuitive situations. This could be explained in the discussion – or it could be pre-empted by more explanation in the "synthesis" section.	Thank you for pointing this out. The reason the overall (updated) estimate is quite similar to the Shi estimate is because the precision estimates/standard deviations from the studies included in Shi were much smaller than those in the more recent (and much larger) studies. This results in Shi's estimate being more heavily weighted in the final meta-analysis that pools pre-2021 and recent evidence, regardless of the fact that the more recent evidence includes a much larger amount of data.

Comment #	Reviewer #	Comment	Author Response
24	3	<ul> <li>Three minor comments:</li> <li>1) P12. Line 8. Final word on this line is "few", I think it is supposed to be "fewer".</li> <li>2) P12, line 21. "No or delayed recognition of eligibility" is hard to parse. I think this sentence means "Failure to recognize eligibility or delayed recognition of eligibility"</li> <li>3) P13, line 29-29. Cites ref 28 as having proposed use of a correction factor, whereas the authors of this paper explicitly state that a correction factor cannot be used. It would be a more accurate reflection of this paper to place the citation at the end of the sentence ie after stating that this approach "may have limited efficacy because the accuracy and variability of pulse oximetry readings is influenced by a number of factor."</li> </ul>	Thank you. We have corrected these issues.
25	4	This is an important and timely review that accurately describes a probable increased rate of missed diagnosis of hypoxemia in black patients monitored with current pulse oximeter technology. I think the authors have done a good job of capturing the concept that pulse oximeters can be biased to read too high in black patients. This issue has been observed multiple times, in multiple clinical scenarios, and with varying methodologies. Based on the weight of the published evidence, there is basis for concern and review.	Thank you for this comment.
26	4	I think the review needs to take several additional steps to increase its value. The first is that the reason for clinical errors in pulse oximeter function are not well understood, and contradict some very good clinical laboratory evidence that the magnitude of intrinsic pulse oximeter errors is smaller than observed clinically. The review by Okunlola points out this issue clearly This point is important because it emphasizes that factors in the clinical environment can amplify a small bias in the technology. Merely fixing this bias by technological means is unlikely to erase the structural issues with black patients having poor access to care, presenting to the hospital sicker, and possibly receiving a lower quality of care in the hospital. Structural racism will not yield to minute improvements in pulse oximeter technology.	section.

Comment #	Reviewer #	Comment	Author Response
		The other missed opportunity is to emphasize that any medical technology is imperfect and needs to be interpreted with clinical judgement. Emphasizing that clinicians owe black patients more skepticism and investigation based on pulse oximeter readings is important at the present time. The problem is that clinical decision making is often based on a lab value threshold—e.g. administering supplemental oxygen at a saturation threshold of 89% or lower, not recognizing that if the pulse oximeter reads 89% the true saturation may be 85%-96% depending on signal quality, due to such factors as low perfusion, misapplied probes, patient movement, clinician inexperience, etc. This would have much more impact than waiting for manufacturers to fix an error that is probably in the 1-2% range.	
27	4	One problem that you want to fix is on page 20, line 30. The data do not indicate that pulse oximeters overestimate saturation by 150% that is clearly a wrong conclusion. The right analysis is rate of missed hypoxemia, assuming a threshold and range, as done by Sjoding and colleagues from the Univ. of Michigan. Mean bias or Arms values do not capture the clinically important ranges of readings or errors.	Thank you. This was a typographical error on our part that has been corrected.
28	5	None	
29	6	This report is extremely well written. Complex information is presented in a lucid and comprehensive manner. I deeply appreciated the detailed explanations of systematic review methodology and synthetic algorithmic evaluation.	Thank you for this comment.
30	6	Ln. 28 pg. vi: Please indicate whether any of the studies come from hospital systems comparable to VHA in any way.	Where such studies were not already highlighted, we have attempted to emphasize when a study or evidence base is comparable to the VA setting (eg, from integrated health systems).
31	6	Ln 30ff pg. vi: Are these patients comparable to Veterans in any way? Veterans are sicker, may have more toxic exposures etc. This should be considered to ensure the patient populations are truly comparable. If they are close in comparison, or are not representative or comparable to Veterans, it should be pointed out. I note that you bring out VA evidence a little later in the review. Perhaps moving it up in the review would be better.	We agree that Veterans are a unique population with a greater burden of chronic disease than the general population. At the same time, the complex and dynamic array of factors (including skin pigmentation level) that likely result in differential pulse oximeter accuracy make it challenging to identify specific patient characteristics (outside of skin pigmentation level, or by potentially imprecise proxy, race/ethnicity) that are relevant to use to evaluate the comparability of non-VA study samples

Comment #	Reviewer #	Comment	Author Response
			with the VA patient population. In our view, the most feasible way of addressing this (important) consideration is to emphasize studies conducted in the VA patient population, which we have done.
32	6	Ln 39 pg vii: It's not just structural "inequities," the disparities in this report are terrific examples of structural racism. The biases and unequal outcomes described in pulse oximetry readings here are racist (i.e., skin-tone based, poor correlation between science of device development and real-world use, etc.), maintained by structural factors in US healthcare to which VHA is not immune. That the historic component of this problem was well understood for decades yet no action was taken to address it is symptomatic of structural encasements that render the suffering of Black patients invisible. White Supremacy, therefore, is at the heart of this problem. What remains troubling is that I could not find the word 'racism' used to describe the underpinnings of this issue anywhere in this synthesis. By not doing so, the case is being (inadvertently) made that the lungs of Black or African Americans are somehow different biologically than those of White people, and therefore are more diseased. The ultimate point of this review may well be the dismantling of racially-tailored clinical practices in favor of identification and evaluation of more precise biomarkers. Yet, context of the likely structural conditions (i.e., the harms of clinicians using phenotypic race to determine treatment decisions) are merely hinted at in this review. Structural racism is not neutral, it is a harm to Veteran patients.	Thank you for raising these important points. We have revised the section in which "inequities" were mentioned. Regarding the point about race definitions, we have added a section to the Discussion about the use of race/ethnicity (versus objective skin pigmentation measures) in accuracy studies. It is likely that there were many factors related to the pandemic that broadened awareness of biases in pulse oximeter accuracy, potentially including the ones you have mentioned. Another contributing factor may be the exponential increase in accuracy data that became available due to, or at least contemporary with, the pandemic.
		More precision regarding how race is being defined and operationalized here would be helpful (e.g., self-identified, etc.). In addition, what was it about the COVID-19 pandemic that heightened awareness of the discrepancies in pulse oximetry? Was it more Black patients with poor lung function in the ER? Or similar? Because of the historicity of the problems, the harms are not new. Yet, something about COVID-19 brought this up and out.	
33	6	Because systematic reviews are often predicated on assessing bias in published studies, and that this research was undertaken to examine racial bias, more selective wording or terminology	Thank you. We have attempted to better distinguish the various meanings of "bias" used throughout the review.

Comment #	Reviewer #	Comment	Author Response
		would be advisable. At times, I was confused as to which bias the authors were referring: risk of bias in systematic reviews versus bias in pulse oximetry readings.	
34	7	Well written with appropriate methods that are well explained. The tables are useful, though a figure could perhaps aid the reader in visually understanding the magnitude of differences better, as the main table has a lot of numeric data, perhaps akin to a forest plot in a meta analysis or systematic review.	Thank you for this comment.
35	7	Since the principal mechanistic argument is that skin pigmentation affects the wavelength of light being perceived by the photometer in the SpO2 probe, it would be helpful if a bit more discussion about how this was operationalized in these studies was done. For example, in the Shi paper that is referenced, did they use something semi-quantitative like the Fitzpatrick scale, or was based on the investigators in the study or something else? This would help clinicians who are familiar with the ways that skin tone is semi-quantitatively measured understand how that factored into the research that derived that SpO2 may be unreliable.	Thank you. We have added considerable discussion of this topic in the Discussion section.
36	7	page vi line 32: " an average of 150% compared with CO- oximetry in arterial blood (pooled mean bias = 1.54, 95% CI [0.99, 2.10])" wouldn't that be 54% overestimation? also CI crosses 1, is this significant?	Thank you. This was a typographical error on our part that has been corrected.
37	8	The report is quite thoughtful, rigorous, and extensive. It substantially exceeds any other synthesis yet available, and I hope it will be published. My compliments to the team for their care.	Thank you for this comment.
38	8	My major advice is clarifying the Table headings on page 9 moving between things where the null value is 0 and the null value is 1 was a little hard for me, given how often the Bias and Precision (for which the null value is 0) happened to have values in the "plausible aOR range of 1.xx". Similarly, in the footnote to that table, consider defining k.	Thank you for raising these points. We have simplified the table for clarity. <i>k</i> was previously defined in the table footnote.