

# Blood biomarkers for assessment of mild traumatic brain injury and chronic traumatic encephalopathy



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Manpreet Romana/AFP/Getty <https://www.theguardian.com/world/2009/jul/15/first-photograph-ied-afghanistan-roadside-bomb>

# Outline

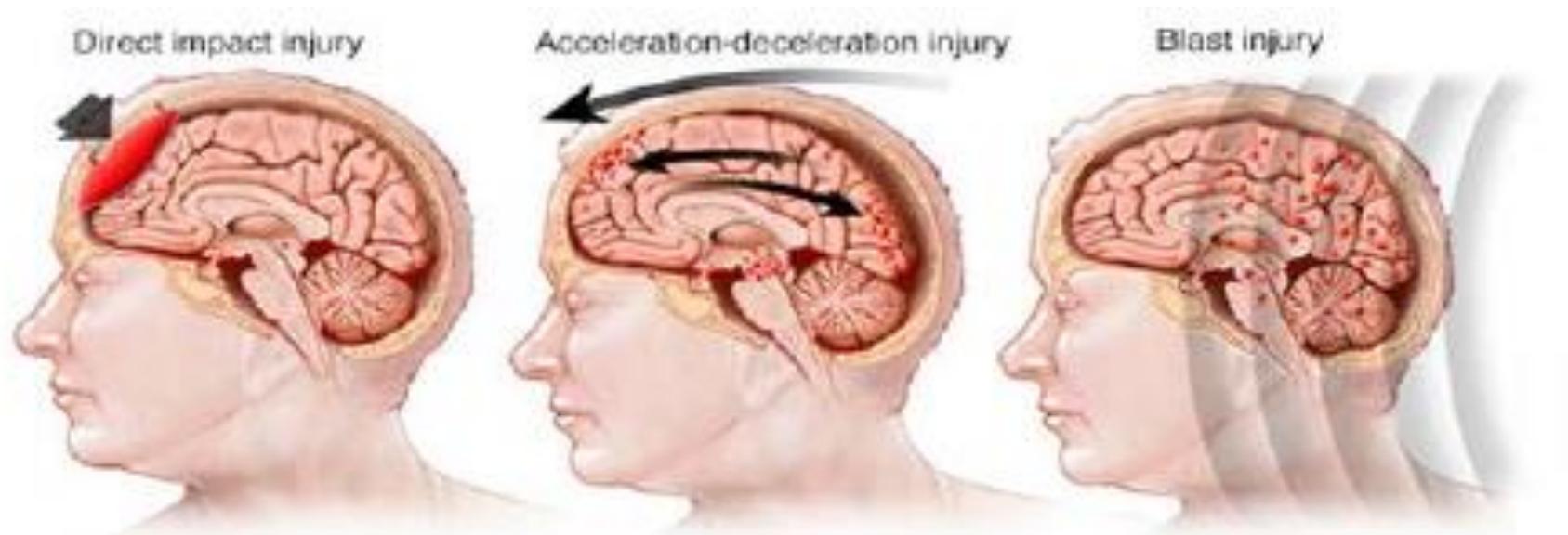
- mTBI prevalence and consequences
- mTBI aetiology and pathophysiology
- Clinical limitations in diagnosis
- Access and limitations of biomarkers
- Markers of neuronal damage
- Markers of astrocyte damage
- Markers of inflammation
- miRNAs
- Ongoing challenges

# Poll Question #1

- What is your area of involvement in health services?
  - Clinical research
  - Basic research
  - Rehab medicine
  - Other clinician

# Mechanisms of mTBI

- Direct impact (blunt force)
- Acceleration/deceleration
- Blast



# mTBI in the military

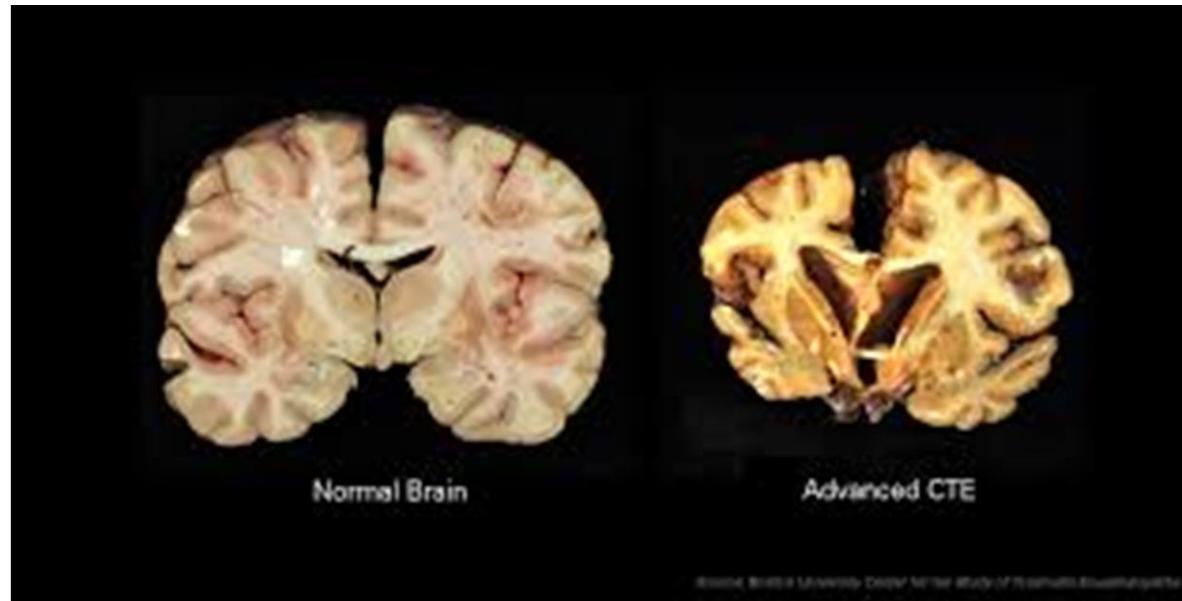
- Iraq & Afghanistan wars led to a considerable number of TBIs, most commonly from IED blast
- ~20% of returning veterans suffered from TBI between 2000 and 2019
- ~82% of TBI was classified as mild

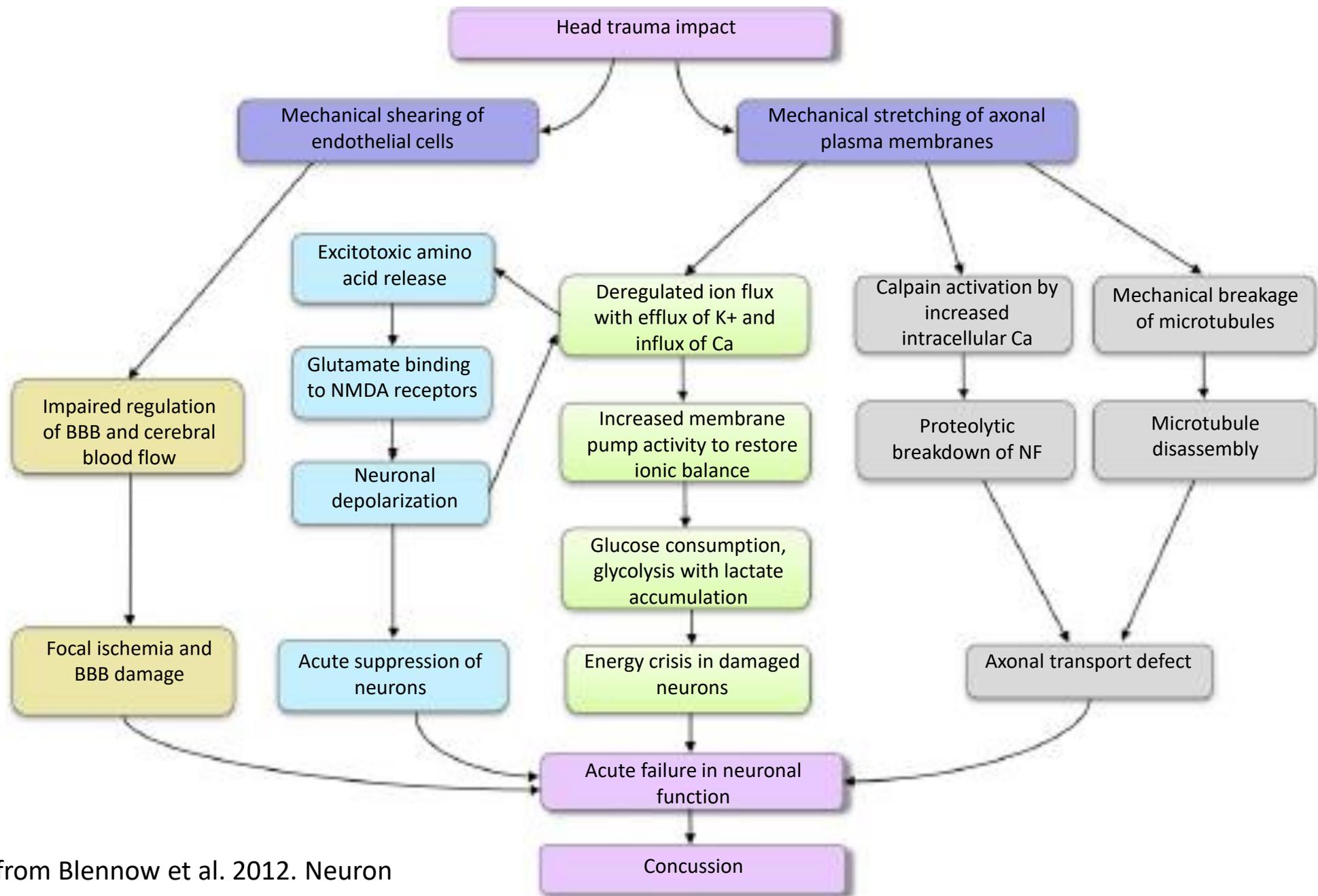


Defence and Veterans Brain Injury Center. DoD Worldwide Numbers for TBI.  
<http://dvbic.dcoe.mil/dod-worldwide-numbers-tbi>.

# Aetiology and pathophysiology

- Mitochondrial dysfunction, proliferation of free radicals, inflammation mediated by microgliosis, glial cell injury, neurotransmitter reuptake, microvasculature stenosis, calcium overload, atrophy of white matter





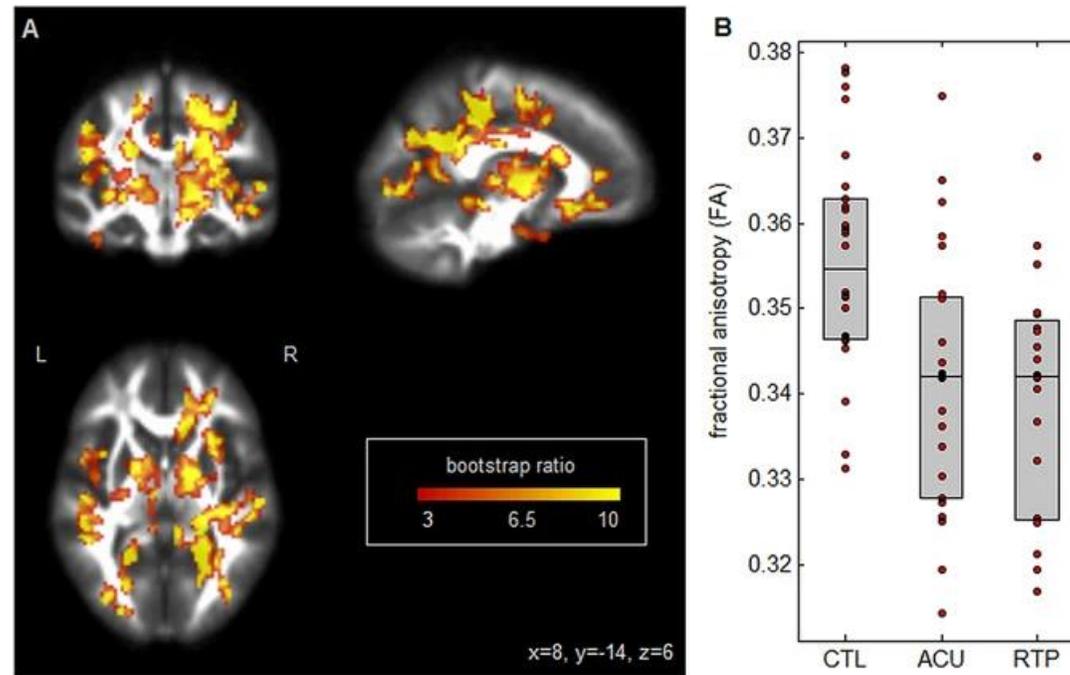
Adapted from Blennow et al. 2012. Neuron

# Consequences

- Acute symptoms
  - Fatigue, dizziness, headache, irritability, memory impairment, photosensitivity, sleep disturbances
- Long term consequences have been overlooked
  - Undetectable in standard CT and MRI
  - Repetitive mTBI or significant single blast injury linked with psychiatric, cognitive, and motor impairment
  - Neuropathological change involving p-tau aggregation, TDP-43 proteinopathy in neurons, irregular astrocyte and cell process distribution at the depths of the cortical sulci

# Screening and diagnosis limitations

- Imaging – MRS, fMRI, DTI
- Clinical tools – GCS, SCAT

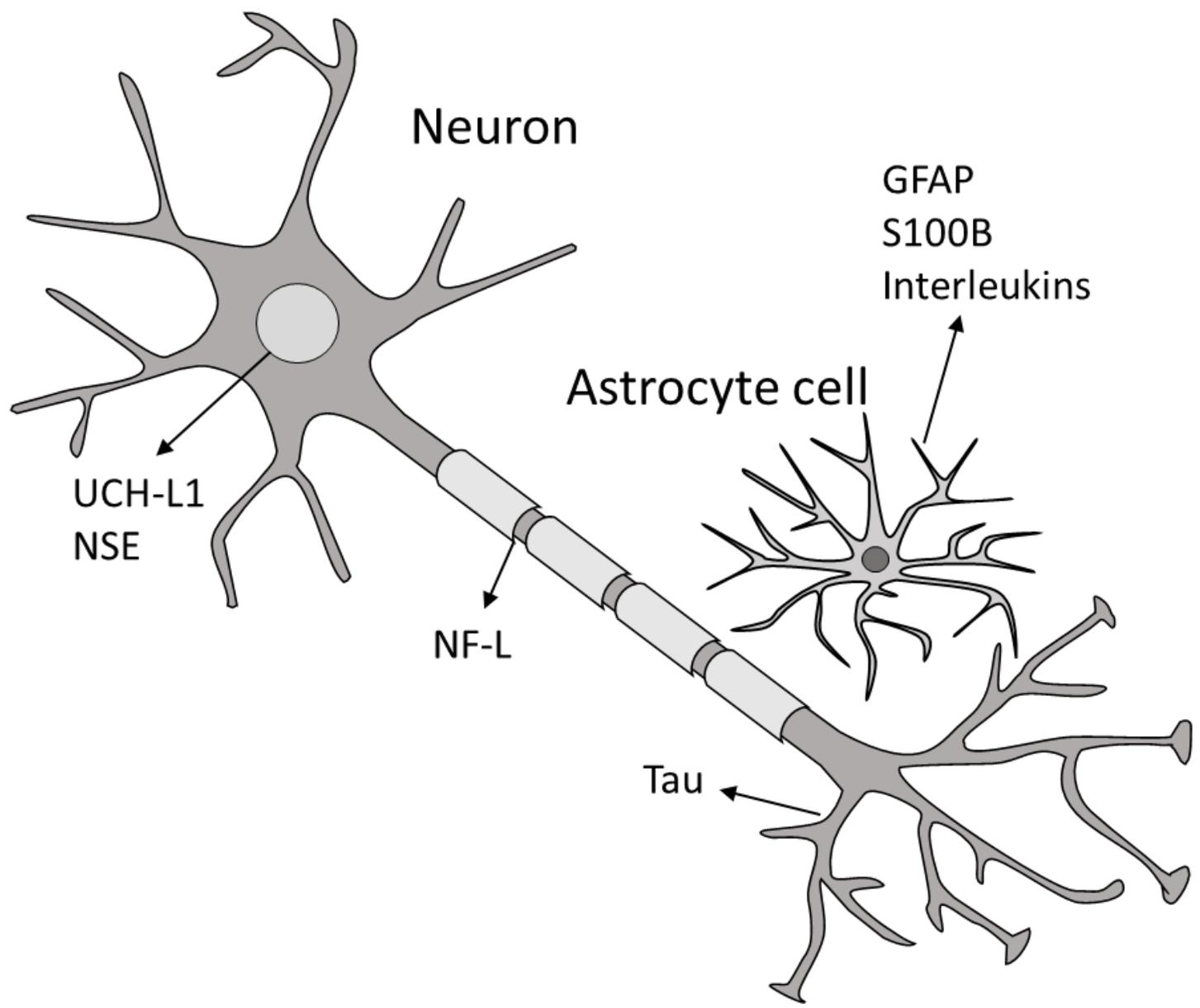


## Poll question #2

- As a clinician, what is your familiarity with blood biomarkers in mTBI?
  - Current use in diagnosis and prognosis
  - Some awareness of current status of development
  - Limited background

# Access to biomarkers

- Proteins in peripheral blood or CSF
  - Limited in saliva, urine, tears
- Limitations in blood
  - Blood brain barrier permeability
  - Proteolytic degradation
  - Liver or kidney clearance
  - Carrier protein binding
  - Serum vs plasma assays
  - Contamination with erythrocytes or platelets



Neuron

UCH-L1  
NSE

NF-L

Astrocyte cell

GFAP  
S100B  
Interleukins

Tau

# Markers of neuronal damage

- Neuron cytoskeleton formed by actin filaments, tubulin microtubules, and neurofilaments
- These structures may be damaged by mechanical and chemical injury following mTBI
- Axonal transport of proteins and organelles may be impaired
  - Formation of NFTs and neuronal death

# Tau

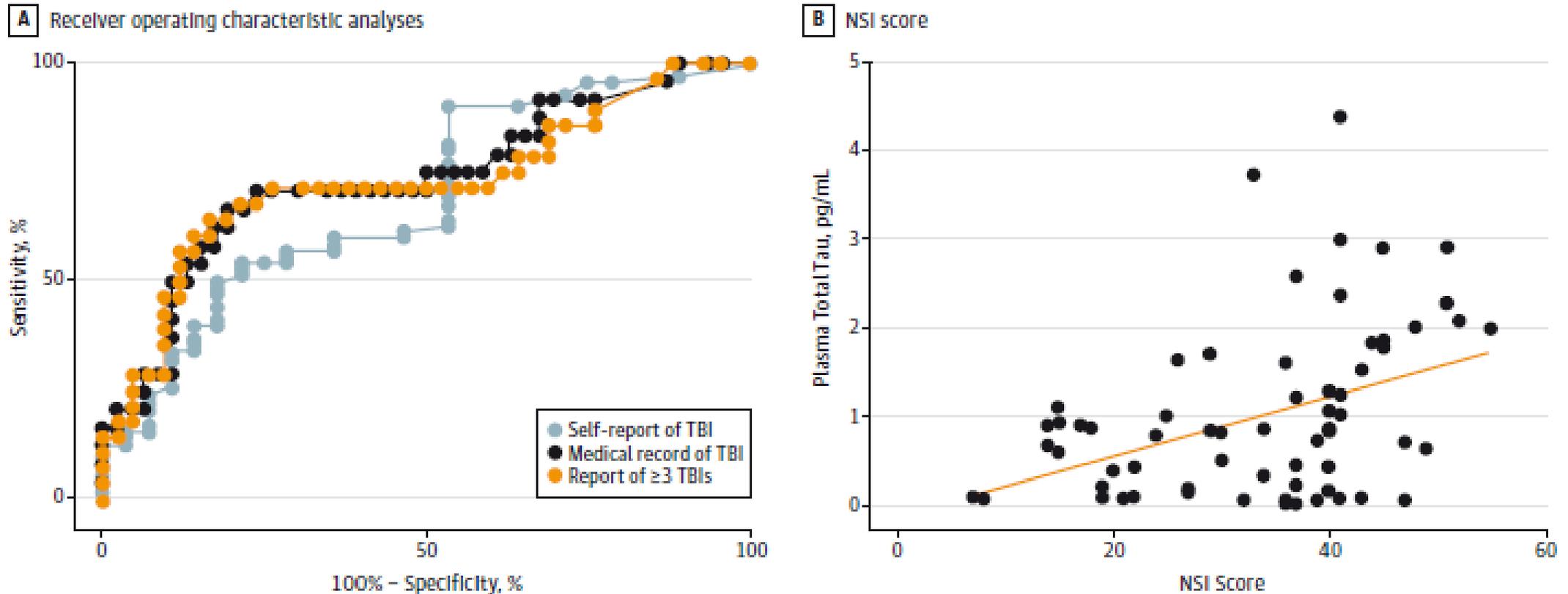
- Structural MT protein
- Hyperphosphorylated tau (p-tau) results in NFT aggregation in the cortex as seen in CTE
- Military personnel – plasma total tau (t-tau) increased up to 18 months compared to healthy controls (Olivera et al. 2015). Cumulative effect, levels higher in those with three mTBIs than with one mTBI. Relationship with t-tau and PCS severity.
- T-tau may not have the required sensitivity for sub-concussive diagnosis
- Lack of correlation between blood and CSF tau concentration

Original Investigation

# Peripheral Total Tau in Military Personnel Who Sustain Traumatic Brain Injuries During Deployment

Anlys Olivera, PhD; Natasha Lejbman, BS; Andreas Jeromin, PhD; Louis M. French, PsyD; Hyung-Suk Kim, PhD; Ann Cashion, PhD; Vincent Mysliwiec, MD; Ramon Diaz-Arrastia, MD, PhD; Jessica Gill, RN, PhD

Figure 2. Specificity of Tau in Traumatic Brain Injuries (TBIs) and Associated Chronic Postconcussive Disorder Symptoms



# Neurofilament light (NfL)

- Prominent in large myelinated axons that project into the subcortical white matter – these are particularly vulnerable to mTBI
- Following trauma, NFs are released into CSF and serum
- Serum NfL shows greater diagnostic and prognostic utility than GFAP, tau, and UCH-L1 for subacute and chronic TBI (Shahim et al. 2020)

# UCH-L1

- Found in the cytoplasm of neurons of the brain and peripheral nervous system
- Peak at 8 hr, decrease over following 48 hrs
- Has been approved as part of a composite panel for predicting presence of intracranial lesions to rule out unnecessary CT scans
- Failed to differentiate mTBI and orthopaedic patients in acute care setting (Posti 2017)
- Lack of correlation with head impacts or imaging in college football players (Puvenna 2014)

# Neuron-specific enolase

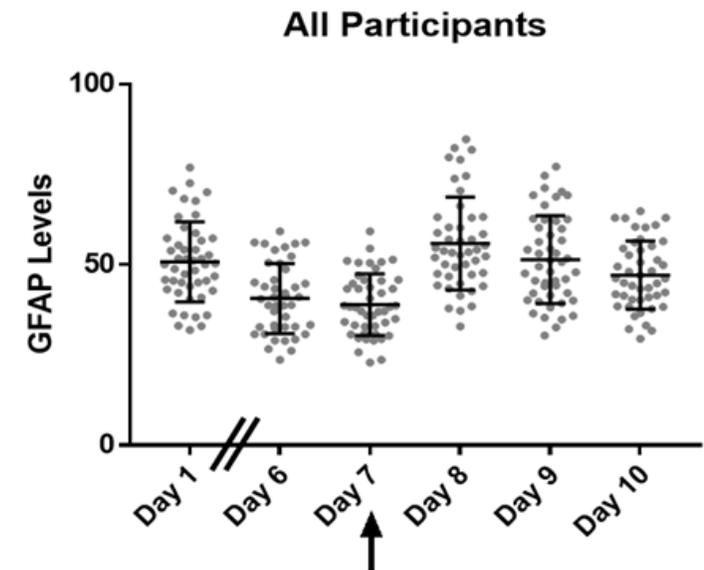
- Glycolytic enzyme elevated in the blood following neuron damage or death
- Expressed by other cells such as erythrocytes
- Demonstrated utility in moderate and severe TBI, but does not appear to be sensitive enough in blood for mTBI

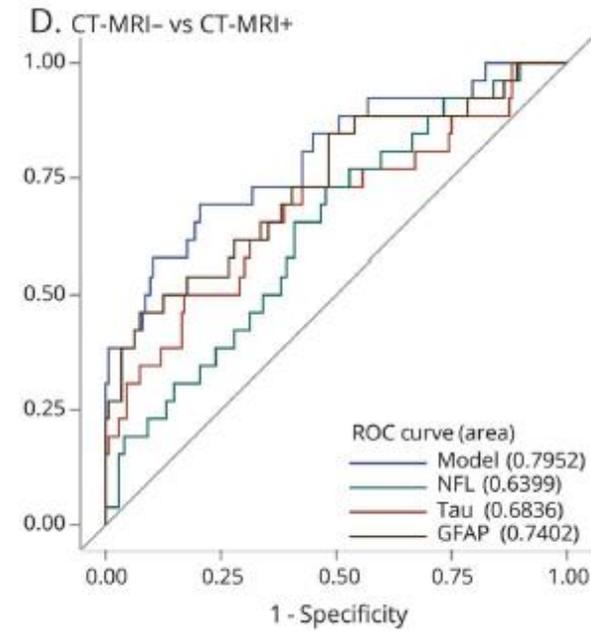
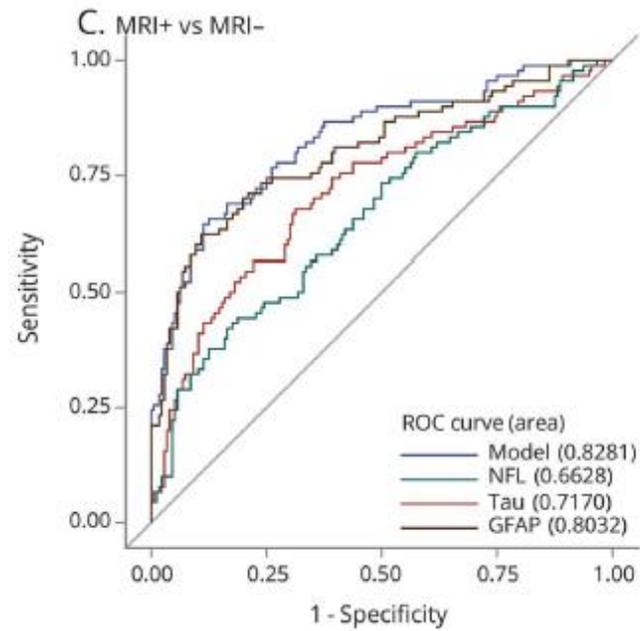
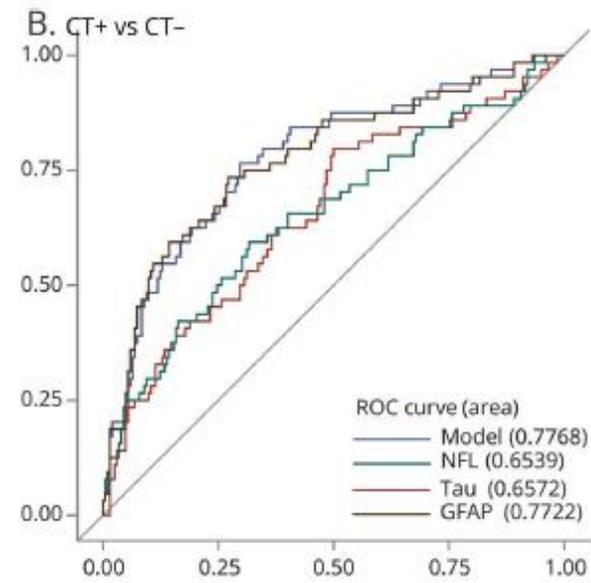
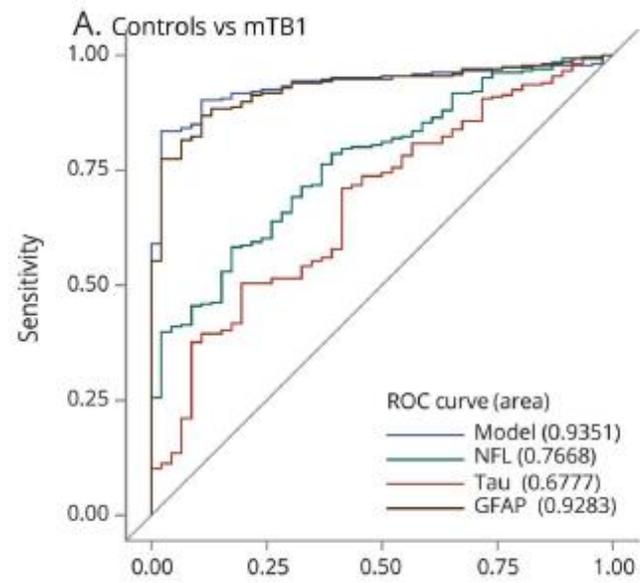
# Markers of astrocyte damage

- Support structures that respond to the metabolic demand of neurons
- Contribute to BBB
- Transformation following mTBI trauma for structure and defence
- Severe or persistent insults cause permanent change to structure and function, inhibiting CNS regeneration

# GFAP

- Structural protein of the astrocyte cytoskeleton
- Moderate increase may aid neuroregeneration in recovery, excessive elevation indicates neuroinflammation and gliosis
- Approved to differentiate patients with abnormal CT scans with controls in conjunction with UCH-L1
- Some inconsistencies with utility need to be clarified





# S100B

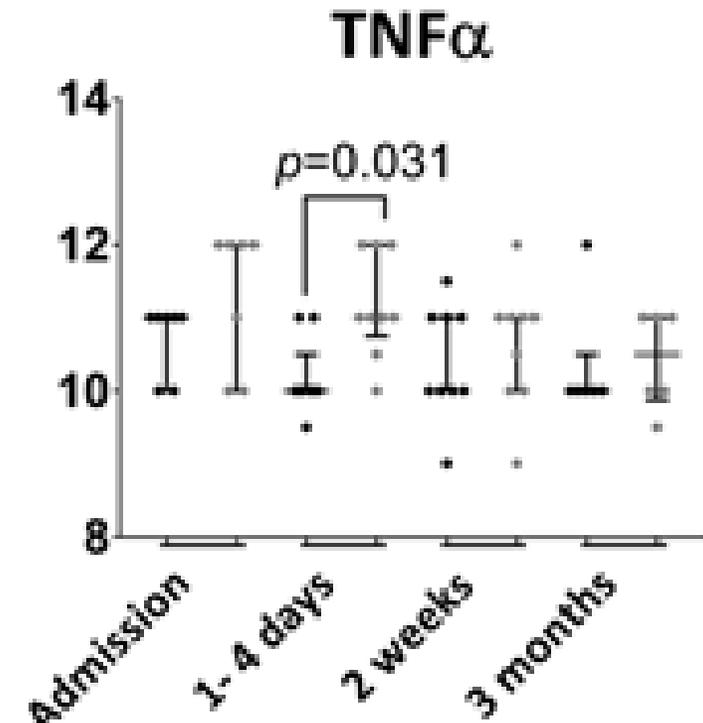
- Calcium binding protein with roles in signal transduction
- Following mTBI S100B is released by astrocytes to protect against secondary inflammation
- At high levels contributes to neuron death and tau hyperphosphorylation
- Also a marker of other cellular diseases and elevated following physical activity
- Traditionally been measured in more severe forms of TBI

# Inflammation

- Driven by microglia
  - Release of pro and anti-inflammatory cytokines, chemokines, interferons, reactive oxygen and nitrogen species, prostaglandins, excitotoxins
- Provide mechanism of defence, but greater damage results in neurons, dendrites, and synaptic connections if release is too great

# TNF

- Major signalling interleukin, but not specific to neuroinflammation
- Most commonly investigated in moderate and severe TBI
- In paediatric concussion, increased plasma TNF in the days following injury for those with symptoms, while no difference was seen in IL-6, IL-10, tau, NSE, S100B, and GFAP (Parkin et al. 2019)



# IL-6

- Pro and anti-inflammatory properties
- Promotes nerve growth factor synthesis and counteracts NMDA toxicity
- Elevated in high school and collegiate football players who were concussed within 6 hours of injury, and correlated with symptom duration (Nitta et al. 2019)
- Serum concentrations are also increased in orthopaedic injury, burns and exercise

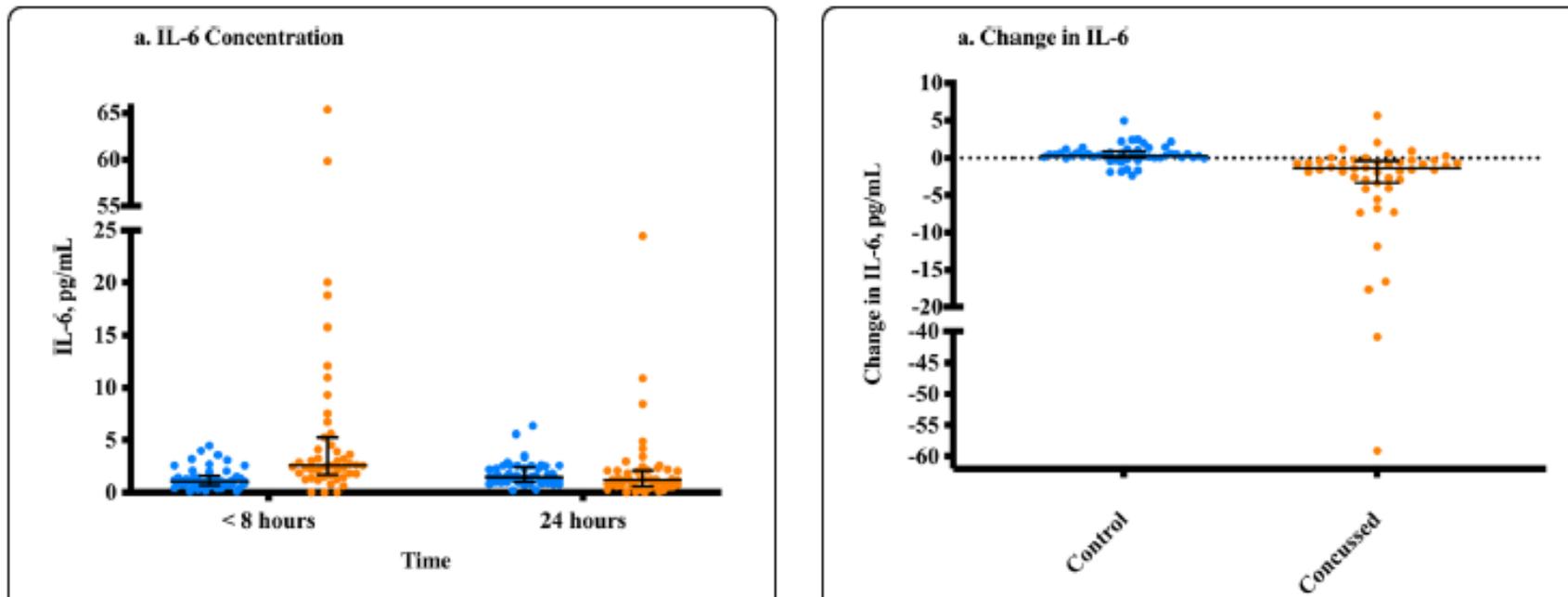
# IL-10

- Able to differentiate between CT positive and CT negative patients more successfully than S100B (Lagerstedt et al. 2018)
- Associated with the presence of behavioural symptoms such as PTSD in military subjects with and without TBI (Bersani et al. 2016; Devota et al. 2017)
- Compared to controls, military personnel who had experienced mTBI in the previous 18 months had elevated concentrations with levels related to PTSD symptoms (Gill et al. 2018)
- Serum levels are higher than CSF, indicating potential peripheral expression



# Interleukin-6 is associated with acute concussion in military combat personnel

Katie A. Edwards<sup>1,2\*</sup> , Jessica M. Gill<sup>1,3</sup>, Cassandra L. Pattinson<sup>1</sup>, Chen Lai<sup>1</sup>, Misha Brière<sup>4</sup>, Nicholas J. Rogers<sup>5</sup>, Denise Milhorn<sup>5</sup>, Jonathan Elliot<sup>6</sup> and Walter Carr<sup>7,8</sup>



Change in IL-6 across time was greater for the concussed group than healthy control, with no differences between groups in the change of IL-10 or TNF $\alpha$ .

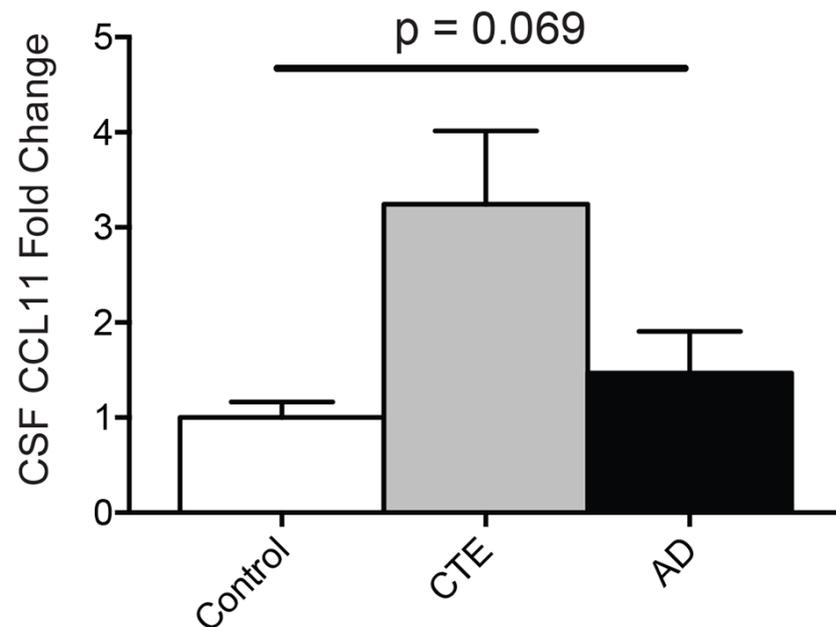
# CCL11

- Chemokine generated in the choroid plexus, also contributed by glial cells following CNS trauma
- Excess levels trigger ROS and excitotoxicity leading to tau pathology
- Significantly elevated in CSF of former American football players with CTE diagnosed at autopsy. A correlation between CCL11 levels and tau pathology, and between levels and number of years playing football (Cherry et al. 2017).
- Differentiate between diagnosis of AD

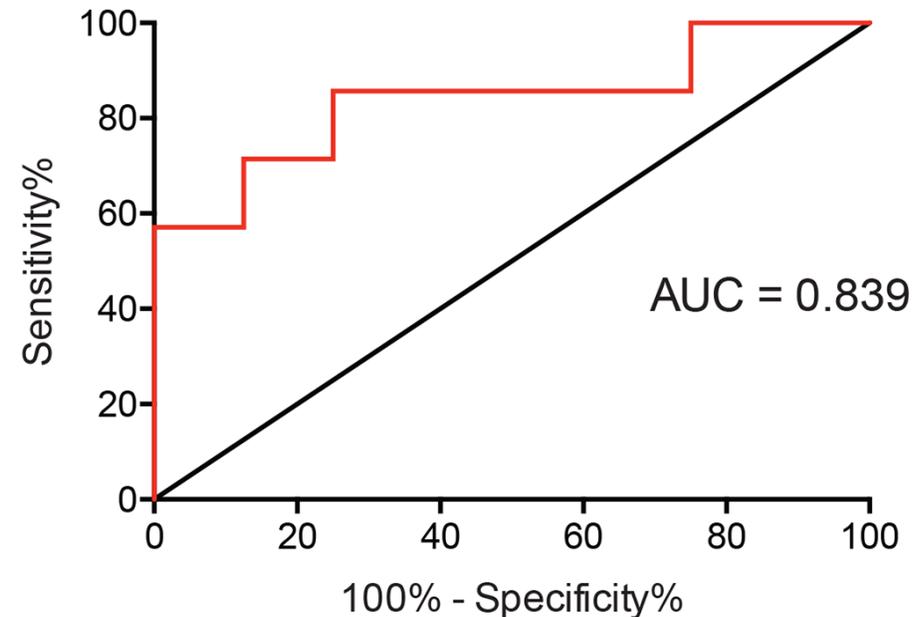
# CCL11 is increased in the CNS in chronic traumatic encephalopathy but not in Alzheimer's disease

Jonathan D. Cherry<sup>1,2,3,\*</sup>, Thor D. Stein<sup>1,2,4</sup>, Yorghos Tripodis<sup>5</sup>, Victor E. Alvarez<sup>1,2,3,4</sup>, Bertrand R. Huber<sup>1,2,3</sup>, Rhoda Au<sup>2,6</sup>, Patrick T. Kieman<sup>1</sup>, Daniel H. Daneshvar<sup>1</sup>, Jesse Mez<sup>1,2</sup>, Todd M. Solomon<sup>1</sup>, Michael L. Alosco<sup>1,2</sup>, Ann C. McKee<sup>1,2,3,4,7</sup>

A



B



# miRNA markers

- Non-coding nucleotide sequences that regulate gene expression
- May have benefits over protein biomarkers
  - Cross the BBB more readily
  - Protected by microvesicles, exosomes, or carrier proteins
  - Detection in fluids such as saliva
- Diagnosis - serum differences seen in military veterans with and without mTBI in miR-671-5p (Pasinetti et al. 2012)
- Preliminary results from the Chronic Effects of Neurotrauma Consortium Biomarker Discovery Project have identified exosomal MicroRNAs in Military Personnel with mTBI (Devoto et al. 2020)

# Ongoing challenges

- Consistency with sample preparation techniques and use of assays
- Time-dependent expression changes
- Differentiating the mechanisms of biomarker expression – BBB vs glymphatic system vs expression change

# Poll question #3

- After viewing this talk, how relevant do you think blood biomarkers are in diagnosis/prognosis for blast injury and mTBI?
  - Very
  - Somewhat
  - Not at all
  - Other, please comment

Questions?