Evidence Synthesis for Determining the Responsiveness of Depression Questionnaires and Optimal Treatment Duration for Antidepressant Medications

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VA’s Health Services Research and Development (HSR&D) Service works to improve the cost, quality, and outcomes of health care for our nation’s veterans. Collaborating with VA leaders, managers, and policy makers, HSR&D focuses on important healthcare topics that are likely to have significant impact on quality improvement efforts. One significant collaborative effort is HSR&D’s Evidence-based Synthesis Program (ESP). Through this program, HSR&D provides timely and accurate evidence syntheses on targeted health care topics. These products will be disseminated broadly throughout VA and will: inform VA clinical policy, develop clinical practice guidelines, set directions for future research to address gaps in knowledge, identify the evidence to support VA performance measures, and rationalize drug formulary decisions.

HSR&D provides funding for four ESP Centers. Each Center has an active and publicly acknowledged VA affiliation and also serves as an Evidence Based Practice Center (EPC) supported by the Agency for Healthcare Research and Quality (AHRQ). The Centers will each generate three evidence syntheses annually on clinical practice topics of key importance to VHA leadership and policymakers. A planning committee with representation from HSR&D, Patient Care Services (PCS), Quality Enhancement Research Initiative (QUERI), Office of Quality and Performance (OQP), and the VISN Clinical and Quality Management Officers, has been established to identify priority topics and key stakeholder concerns and to ensure the quality of final reports. Comments on this evidence report are welcome and can be sent to Susan Schiffner, ESP Program Manager, at Susan.Schiffner@va.gov.
This information is distributed solely for the purposes of pre-dissemination peer review. It has not been formally disseminated by the Department of Veterans Affairs. It does not represent and should not be construed to represent a Department of Veterans Affairs determination or policy.

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INTRODUCTION

According to projections from the World Health Organization, depression will be the second leading cause of disability in the developed world by 2020.[1] Primary care clinicians (PCCs) care for approximately two thirds of depressed individuals.[2] Rates of guideline concordant care for depression, however, are suboptimal and patient outcomes are often poor.[3, 4] A variety of strategies have been tested to improve patient outcomes including: physician education, continuous quality improvement, and reorganizing care to integrate mental health and primary care. Of these approaches, integrated care models have been found to be both effective and cost effective.[5-7] A recent analysis using meta-regression techniques identified baseline and follow-up assessments of depressive symptoms with a standardized scale as critical components of successful integrated models.[8] Patients randomized to integrated care are more likely to receive an adequate trial of antidepressants and/or empirically based psychotherapies and are approximately twice as likely to respond to treatment compared to usual care. Much like serial monitoring of Hemoglobin A1c in patients with diabetes, careful symptom assessment through standardized depression scales may facilitate treatment changes that improve outcomes. However, the review did not identify the standardized scales that are responsive to clinically important change.

A second issue relevant to the primary care management of depression is the optimal duration of antidepressant medication. For patients who remit with treatment, the benefits of sustained antidepressant medication to prevent relapse or recurrence must be balanced against the risks. Early clinical guidelines recommended 4-6 months of continuation phase treatment for uncomplicated major depression due to high rates of early relapse and demonstrated efficacy of continuation treatment. Maintenance phase treatment is recommended for patients at high risk for recurrence. More recently, some guidelines[9, 10] have recommended longer duration of continuation phase treatment despite emerging evidence about potential long-term adverse effects including gastrointestinal bleeding [11] and osteoporosis.[12, 13] The duration of antidepressant medication treatment not only has important implications for individual patients, but also has cost implications that include the direct cost of medication, longitudinal monitoring and treatment of adverse effects.

To inform recommendations for clinical guidelines and potential performance measures, this evidence synthesis evaluates the responsiveness of depression questionnaires feasible for primary care settings and data from randomized trials that examine the effects on continued antidepressant use to prevent relapse or recurrence.
BACKGROUND

DEPRESSION QUESTIONNAIRES

A prior systematic review identified eleven self-administered depression questionnaires that had been evaluated in primary care settings; most have been evaluated in VA settings.[14] Questionnaires ranged from 1 to 30 items; 7 had versions of ≤ 10 items. Response formats included “yes/no,” frequency ratings, and statements of symptom severity. Scores ranged from as brief as 0 to 1 for a single item, “yes/no” questionnaire to 0-100. All instruments could be self-administered in < 5 minutes but interview administration varied more substantially due to differences in length and response format. Six of the instruments were considered useful for monitoring severity or response but this judgment was based on scale characteristics rather than empirical data. A recent update of identified 3 additional questionnaires and new studies for existing questionnaires.[15] Brief, 2-9-item questionnaires compared comparably to longer questionnaires.[16] The review concluded that the Patient Health Questionnaire-9 (PHQ-9) had better performance characteristics and gave more information for depression diagnosis than other instruments. A recent National Heart, Lung, and Blood Institute working group recommended the PHQ-2 (whose items are contained within the PHQ-9) to screen for trial entry and recommended the interviewer-rated Hamilton Depression Rating Scale (HDRS) to assess outcomes. Interviewer-rated instruments, such as the Hamilton, are the reference standard for evaluating depression severity but require greater expertise, training and administration time than self-administered questionnaires and for this reason are not considered feasible for clinical purposes in the primary care setting.[17] Given the large number of validated questionnaires, we focused this review on brief instruments that may be more acceptable to clinicians and patients.

### Brief Depression Questionnaires Validated in Primary Care Settings

<table>
<thead>
<tr>
<th>Questionnaire</th>
<th>Items</th>
<th>Response format</th>
<th>Literacy level</th>
</tr>
</thead>
<tbody>
<tr>
<td>BDI Fast Screen</td>
<td>7</td>
<td>4 Statements of symptom severity</td>
<td>Easy</td>
</tr>
<tr>
<td>CES-D</td>
<td>10</td>
<td>4 Frequency ratings: “less than 1d” to “most or all (5-7d)”</td>
<td>Easy</td>
</tr>
<tr>
<td>DEPS</td>
<td>10</td>
<td>4 Frequency ratings: “not at all” to “extremely)”</td>
<td>Average</td>
</tr>
<tr>
<td>GDS</td>
<td>15</td>
<td>Yes or no</td>
<td>Easy</td>
</tr>
<tr>
<td>PHQ-9</td>
<td>9</td>
<td>4 Frequency ratings: “not at all” to “nearly every day”</td>
<td>Average</td>
</tr>
<tr>
<td>SDDS-PC</td>
<td>5</td>
<td>Yes or no</td>
<td>Easy</td>
</tr>
</tbody>
</table>

Abbreviations: BDI, Beck Depression Inventory; CES-D, Center for epidemiologic Studies Depression Screen; DEPS, Depression Scale; GDS, Geriatric Depression Scale; PHQ-9, Patient Health Questionnaire-9; SDDS-PC, Symptom-Driven Diagnostic System for Primary Care

MEASURING RESPONSIVENESS TO CHANGE

Health status measures are typically evaluated for reliability and validity. A third characteristic, important for detecting clinically important change over time, is the measure’s responsiveness.
Responsiveness is determined by two properties: reproducibility, and the ability to register changes in scores when a patient’s symptom status shows clinically important improvement or deterioration. Although there is no universally recommended measure of responsiveness, most indices rely on calculation of an effect size. The effect size is a unit-free index that uses the mean change score in the numerator and a measure of variability in the denominator. The Standardized Response Mean[18] and the Responsiveness Index[19, 20] are particularly useful approaches to calculating effect sizes for this application because they incorporate information about the response variance into the denominator. Deyo and others argue that the issue is not just sensitivity to change but the ability to discriminate between those who improve and those who do not.[19, 21] Receiver operating characteristic curves are proposed as an approach for describing how well various changes in scale scores can distinguish between improved and unimproved patients. This approach requires a valid reference standard to make these clinical classifications.

**RISK OF RELAPSE OR RECURRENCE**

The goal of depression treatment is to help patients achieve full recovery, defined as a sustained period where no or minimal symptoms exist and full functional status has returned. Operationally, this has been defined as a Hamilton Depression Rating Scale score of \( \leq 7 \). [22] Patients with major depression who remit with antidepressant medication have at least a 50% lifetime risk of recurrence. Patients at particularly high risk include those with \( \geq 2 \) prior major depressive episodes, chronic major depression, a family history of bipolar disorder and more severe depression. The 1993 Agency for Health Care Policy and Research clinical guideline for depression used epidemiological data to propose three treatment phases: acute, continuation and maintenance (Figure).[23] Acute phase treatment describes the period of initial treatment until remission is achieved, continuation phase extends treatment for 4 to 6 months to prevent early relapse, and maintenance phase treatment continues for 1 or more years for selected patients at increased risk of recurrence.

![Figure 1. Phases of Depression Treatment](image-url)
Determining the Responsiveness of Depression Questionnaires and Optimal Treatment Duration for Antidepressant Medications

Current guidelines provide a range of recommendations for continuation and maintenance phase treatment. For example, the National Institute for Clinical Excellence guidelines (developed by the British National Health Service) recommend ≥ 2 years treatment for patients with 2 or more major depressive episodes accompanied by functional impairment, while the Institute for Clinical Systems Improvement guidelines, a US regional health care collaborative, recommend 6 -12 months treatment without specifying which groups should get longer duration of treatment.[9, 10] Since these guidelines were published, new data from randomized trials provide additional evidence on the benefits of antidepressant medication for preventing relapse or recurrence. In addition, systematic reviews provide evidence on potential long-term risks of continuing antidepressant medication.

METHODS

TOPIC DEVELOPMENT

The Veterans Health Administration (VA) uses quality improvement strategies including clinical practice guidelines, clinical reminders in the electronic medical record and performance measurement to improve care processes. For veterans with depression and other mental illnesses managed in primary care settings, the VA has recently made major investments in integrated primary care-mental health programs. This project was nominated by Ira Katz, Deputy Chief, Patient Care Services for Mental Health and Carla Cassidy and Joe Francis, Office of Quality and Performance with input from a technical expert panel, and assigned to the Durham VA Evidence Synthesis Team. The overall goal was to synthesize data on two key issues – the responsiveness of depression severity instruments and minimum duration of treatment with antidepressants – to inform future quality improvement efforts.

The final key questions (KQ) are:

KQ1: In patients with major depressive disorder treated in primary care settings, what assessment tools are responsive to change? This review should specifically address instruments that are feasible for the primary care setting.

KQ2: In primary care patients with major depressive disorder who remit with antidepressant medication, what is the minimum treatment duration to decrease the risk of relapse or recurrence? This review will focus on patients without comorbid substance abuse, post-traumatic stress disorder, psychosis or other conditions where guidelines would recommend specialty based care.

SEARCH STRATEGY

We conducted a search in Medline and PsychInfo for literature published from 1950 through February 2009. For key question one (KQ1), we searched for relevant primary literature. For key question two (KQ2), our search strategy was designed to identify recent high quality systematic reviews and any relevant randomized controlled trials published since the review. A high quality review was identified that included articles published through March 2007; our search for additional
randomized controlled trials (RCT) included articles published from January 2007 through February 2009. Appendix A provides the search strategy in detail. We reviewed reference lists of pertinent studies for additional citations. All citations were imported into an electronic database (EndNote X1).

STUDY SELECTION

Two trained researchers reviewed the titles and/or abstracts of citations identified from literature searches. Full-text articles of potentially relevant citations were retrieved for further review. Each article was reviewed with a brief screening form (see Appendix B) to determine eligibility and record reasons for exclusion. In case of disagreement, the two reviewers met to identify and resolve the disagreement. Eligible articles had English-language abstracts and provided primary data relevant to the key questions. Eligibility criteria varied depending on the question of interest, as described below.

To be included in our evidence report for KQ1, a study had to:
• Evaluate Beck Depression Fast Screen [24], Center for Epidemiologic Studies Depression Scale 10-item version [25], DEPS scale [26,]Geriatric Depression Scale 15 item version [27], the Patient Heath Questionnaire-9[28], or Symptom Driven Diagnostic System-PC [29]
• Compare the depression questionnaire to an interview-based depression severity assessment such as the Hamilton Depression Rating Scale or Clinical Global Impression
• Use a longitudinal study design so that response to change could be assessed
• Be conducted in adult patients with depressive disorder followed in the outpatient setting and
• Be published in English

We restricted the depression questionnaires to those that had been identified in a previous systematic review[14, 15] as having adequate performance characteristics to identify patients with major depression in primary care settings, had a range of scores sufficient to show change and that were feasible for use as self- or interviewer administered instruments. Thus, questionnaires with a very limited scoring range (e.g. Yale, PRIME-MD) or with greater than 10 items (e.g., 21 item Beck Depression Inventory, 21 item Center for Epidemiologic Depression Scale, Hopkins Symptom Checklist) were not considered. Although the Geriatric Depression Scale is 15 items, we included this measure because it is specifically cited as an option in the VA/ DOD Major Depression Guideline.

To be included in our evidence report for KQ2, a study had to:
• Be a systematic review of randomized controlled trials. A review was considered systematic if it contained a methods section describing the search strategy and described an analytic approach to data synthesis.
• Focus on adult patients with major depressive disorder who remitted or improved substantially with antidepressant medication.
• Compared continuation or maintenance phase treatment with antidepressant medication to placebo.
• Report relapse and/or recurrence rates.
• Be published in English.
We then applied quality criteria (see below) and retained the most recent high quality systematic review. We included newly identified studies if they were randomized controlled trials, instead of reviews, and if they met all other criteria described for systematic reviews.

**DATA ABSTRACTION**

We abstracted the following data from included studies: Study Design/setting, eligibility criteria/method for assembling cohort, exclusion criteria, sample size, duration of follow-up, demographics, clinical category/baseline depression, results and conclusions. For KQ 1, we also abstracted information on the method of administration and version of depression questionnaire and on the interview-based depression evaluation. For KQ2, we also abstracted information on the intervention and comparator and follow-up rate. Data abstractions were completed by a single reviewer, then over-read for accuracy by 1-2 additional reviewers. Any disagreements were resolved by discussion and consensus.

**QUALITY ASSESSMENT**

To assess internal validity of studies, we used criteria appropriate to the study design (see Appendix C). For KQ1, we abstracted data on whether the interview-based assessment was performed blind to the depression questionnaire results; whether the depression questionnaire was performed blind to the interview-based assessment; whether the interview-based assessment was adequate; the completeness of follow-up; whether the analytic methods were appropriate; study funding; and whether a conflict of interest statement was given.

For KQ2, we abstracted data for systematic reviews and separately for randomized controlled trials. For systematic reviews, we abstracted search methods and strategy; whether inclusion/exclusion criteria were clearly defined and appropriate; whether primary studies were appropriately evaluated for quality; were the assessments reproducible; was there an analysis of variability; were results combined appropriately; was publication bias assessed; were clinically important outcomes, including harms and benefits, reported. For randomized trials, we determined whether the method of randomization and allocation concealment was adequate; whether intervention and control groups were similar at baseline regarding the most important prognostic indicators; was the outcome assessed using a valid methodology and the assessor blinded; was the care provider blinded; was the patient blinded; was loss to follow-up < 20% and differential loss between groups < 10%; were missing outcome data addressed adequately; and was there a conflict of interest.

**DATA SYNTHESIS**

We constructed evidence tables showing the study characteristics and results for all included studies, organized by key question. We critically analyzed studies to compare their characteristics, methods, and findings. We compiled a summary of findings for each key question or clinical topic, and drew conclusions based on qualitative synthesis of the findings. We assigned an overall quality of evidence using the GRADE criteria.[30]
PEER REVIEW
A draft version of this report was sent to four peer reviewers. Their comments and our responses are presented in Appendix D.

RESULTS

LITERATURE FLOW

For KQ1, the combined library contained 673 citations, of which we reviewed 82 articles at the full-text level (Figure 2.). Of the 82 articles, 4 studies met eligibility criteria [31-34] but two citations [31, 32] were derived from the same study population leaving 3 unique studies. For KQ2, the combined library for systematic reviews contained 106 citations, of which we reviewed 9 articles at the full-text level (Figure 3). Of the 9 articles, we included the most recent, high quality review meeting eligibility criteria. [35] To identify new studies since the eligible systematic review was complete, we searched for relevant RCT’s from January 2007 to present. This search identified 48 citations, of which we reviewed 6 articles at the full-text level (Figure 4.). Of the 6 articles, 3 studies with 4 comparisons met eligibility criteria.[36-39]
KEY QUESTION 1

STUDIES EVALUATING RESPONSIVENESS OF DEPRESSION QUESTIONNAIRES

We identified 3 studies that compared change scores for an eligible depression questionnaire to an interview based assessment of depression severity. All three studies used the PHQ-9 and one of these completed a separate analysis of the PHQ-2. Two studies were conducted in Germany, using German language versions of the questionnaire. One study was a secondary analysis from a multi-center randomized trial of care management in older adults and included three VA sites. Key features of the studies are summarized in the Table below and study details are contained in Appendix E.

Table 1: Characteristics of Studies Evaluating Responsiveness of the PHQ-9

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>N</td>
<td>434</td>
<td>1788</td>
<td>108</td>
</tr>
<tr>
<td>Primary care</td>
<td>Yes</td>
<td>Mixed</td>
<td>Mixed</td>
</tr>
<tr>
<td>VA settings</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>Mean age (SD)</td>
<td>70.9 (7.3)</td>
<td>50.3 (14.7)</td>
<td>41.1 (14.2) to 42.8 (12.1)</td>
</tr>
<tr>
<td>Men</td>
<td>160 (36%)</td>
<td>594 (33.2%)</td>
<td>34 (31.5%)</td>
</tr>
<tr>
<td>Major depressive disorder</td>
<td>317 (73%)</td>
<td>757 (42.3%)</td>
<td>55 (51%)</td>
</tr>
<tr>
<td>Questionnaire</td>
<td>PHQ-9 (English)</td>
<td>PHQ-9 (German)</td>
<td>PHQ-9 (German)</td>
</tr>
<tr>
<td>Comparator</td>
<td>Structured Clinical Interview for DSM-IV</td>
<td>Clinical Global Impression</td>
<td>Structured Clinical Interview for DSM-IV</td>
</tr>
<tr>
<td>Quality</td>
<td>Good</td>
<td>Fair</td>
<td>Fair</td>
</tr>
</tbody>
</table>

The responsiveness, or sensitivity to change of an instrument describes its ability to accurately detect clinically meaningful change when it occurs. There is no consensus on the best measure for describing responsiveness but three common methods are used in the studies reviewed: effect size, standardized response mean and responsiveness index.

- Effect size: Mean (time 2)-Mean (time1)/Standard deviation (time 1) [40]
- Standardized response mean: Mean (time 2)-Mean (time1)/Standard deviation of score changes [18]
- Responsiveness index: Mean (time 2)-Mean (time1)/Standard deviation in unchanged subjects [19, 20]

The good quality study by Lowe et al [34] is the most applicable to Veterans. It evaluated the responsiveness of the PHQ-9 and Symptom Checklist-20 (SCL) in older adults enrolled in a
randomized trial comparing collaborative care to usual care. The PHQ-9 was self-administered or given by telephone interview. The study was conducted in 18 primary care sites, three that were VA. Participants were age ≥ 60 years old, had a mean of 3.8 ± 2.0 chronic diseases and had a research-based diagnosis of major depressive disorder or dysthymia. Among intervention patients, 71% had ≥ 2 prior episodes of depression, 35% screened positive for cognitive impairment and 28% screened positive for anxiety symptoms. Important exclusion criteria were: severe cognitive impairment, CAGE ≥ 2 or history of bipolar disorder or psychosis. The analysis was limited to patients in the intervention arm who had the depression questionnaires, the interview-based Structured Clinical Interview for DSM-IV and clinical assessment within 2 weeks of each other at each scheduled assessment: baseline, 3- and 6-month follow-up. Of the 906 intervention patients, 434 (47.9%) had complete assessments. Study strengths were: independent, blind comparison of the questionnaires and interview-based assessments, an adequate criterion standard and appropriate analysis. A weakness was that only 48% of patients enrolled were analyzed, but the study sample was similar to the intervention group overall except for a smaller proportion of ethnic minorities. The mean change and standardized response mean (SRM) for the PHQ-9 and SLC-20 are shown below:

Table 2. Responsiveness in Patients with Major Depressive Disorder or Dysthymia (n=434)

<table>
<thead>
<tr>
<th>Instrument</th>
<th>Baseline Mean (SD)</th>
<th>3 Month Change Mean (SD)</th>
<th>6 Month Change Mean (SD)</th>
<th>SRM (95% CI)</th>
<th>SRM (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>PHQ-9, range 0-27</td>
<td>13.6 (5.4)</td>
<td>-7.5 (5.8)</td>
<td>-8.0 (6.1)</td>
<td>-1.3 (-1.4 to -1.2)</td>
<td>-1.3 (-1.4 to -1.2)</td>
</tr>
<tr>
<td>SCL-20, range 0-4</td>
<td>1.7 (0.6)</td>
<td>-0.6 (0.7)</td>
<td>-0.8 (0.7)</td>
<td>-0.9 (-1.0 to -0.8)</td>
<td>-1.2 (-1.4 to -1.1)</td>
</tr>
</tbody>
</table>

At 3 months, the PHQ-9 was more responsive than the longer SCL-20; at 6-months the responsiveness was not significantly different. The results were unchanged when the analysis was restricted to subjects with MDD. In a secondary analysis, the SCID was used to categorize treatment response for the 317 patients with MDD as persistent MDD (≥ 5 criterion symptoms), partial remission (1-4 criterion symptoms) or full remission (no criterion symptoms). Using this classification, the mean change and standardized response mean at six months were as follows:

Table 3. Responsiveness Characteristics in Patients with Major Depressive Disorder at Six Month Follow-up (n=317)

<table>
<thead>
<tr>
<th>SCID category</th>
<th>PHQ-9 Mean change (SD)</th>
<th>PHQ-9 Standardized response mean</th>
<th>SCL-20 Mean change (SD)</th>
<th>SCL-20 Standardized response mean</th>
</tr>
</thead>
<tbody>
<tr>
<td>Persistent MDD</td>
<td>-5.6 (6.6)</td>
<td>-0.8</td>
<td>-0.3 (0.7)</td>
<td>-0.4</td>
</tr>
<tr>
<td>Partial remission</td>
<td>-8.4 (6.1)</td>
<td>-1.4</td>
<td>-0.9 (0.6)</td>
<td>-1.5</td>
</tr>
<tr>
<td>Full remission</td>
<td>-9.8 (5.9)</td>
<td>-1.7</td>
<td>-1.3 (0.6)</td>
<td>-2.2</td>
</tr>
</tbody>
</table>

For both instruments, an independent assessment of clinical improvement is associated with greater reductions in symptom scores. Finally, the authors determined the minimum clinically important difference (MCID) in a subset of 82 patients who had the PHQ-9 administered twice, exactly 7 days apart at the 6-month follow-up. The MCID was calculated as the standard error
of measurement * 1.96. A sensitivity analysis showed the MCID ranged from 2.59 to 4.78 consistent with prior recommendations based on cross-sectional studies.[41]

The studies by Lowe et al conducted in Germany and using a German-language version of the PHQ-9 are less applicable to VA settings. [31-33] German language versions of the PHQ-9 may theoretically perform differently from the English language version. The larger study [33] enrolled 1878 patients and was conducted in the context of an open-label, post-marketing surveillance trial of sertraline. Patients were adults with major, minor or other depressive disorders beginning a course of the antidepressant sertraline. The PHQ-9 was compared to the Clinical Global Impression (CGI) at 3 months. Patients with a CGI of 1 (very much improved) or 2 (much improved) were classified as responders (n=1552, 86.8%). Study strengths were: a follow-up rate of 95%, and appropriate analysis and administration of the PHQ-9 blind to the CGI results. Study weaknesses were: the CGI criterion standard was applied with knowledge of the PHQ-9 results and almost 50% the raters were non-mental health professionals with a single training session on using the CGI rating scale. In addition the study team included a biostatistician from Pfizer and Pfizer funded the analysis by Lowe et al and the PHQ-9 development suggesting a potential conflict of interest. The mean change scores and standardized response means are shown for CGI responders and non-responders.

Table 4. Responsiveness Characteristics at Three Month Follow-up (n=1788)

<table>
<thead>
<tr>
<th>CGI category</th>
<th>PHQ-9 (German Language Version)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Mean change (95% CI)</td>
</tr>
<tr>
<td>Non-responder</td>
<td>-4.42 (-5.0 to -3.84)</td>
</tr>
<tr>
<td>Responder</td>
<td>-11.15 (-11.41 to -10.8)</td>
</tr>
</tbody>
</table>

Subgroup analyses were conducted comparing responsiveness by gender, age groups, depression diagnosis, and presence of comorbid physical illness. Standardized response means were similar for these subgroups. Because this study was an open label trial, the study population may be more representative of typical patients initiating antidepressants than those recruited into a randomized trial.

The second German-language evaluation of the PHQ-9 followed a cohort of 167 patients with major depressive disorder (n=55), other depressive disorder (n=53) or no depressive disorder (n=59).[31, 32] Only the first two groups with depressive disorders are relevant to our study question and our discussion is limited to these groups. At 12-months, PHQ-9 changes scores were compared to clinical status as determined by the Structured Clinical Interview for DSM-IV (SCID). Improved status included patients who transitioned from major depressive disorder to other- or no depressive disorder and patients with other depressive disorder who transitioned to no depressive disorder. Worse clinical status included those who transitioned from no depressive disorder to major depressive disorder. Study strengths include a follow-up rate > 80%, appropriate analysis and an adequate criterion standard administered by trained raters with excellent inter-rater reliability. Limitations are lack of an independent, blind comparison between the PHQ-9 and SCID at 12-month follow-up. Results are given for both the PHQ-9 and for its first 2 items (PHQ-2).
Table 5. Responsiveness Characteristics at Twelve Month Follow-up (n=108)

<table>
<thead>
<tr>
<th>SCID category</th>
<th>Mean change (SD)</th>
<th>Effect size</th>
<th>Standardized response mean</th>
<th>Mean change (SD)</th>
<th>Effect size</th>
<th>Standardized response mean</th>
</tr>
</thead>
<tbody>
<tr>
<td>Worse</td>
<td>3.25 (4.3)</td>
<td>0.62</td>
<td>0.75</td>
<td>1.0 (2.0)</td>
<td>0.6</td>
<td>0.5</td>
</tr>
<tr>
<td>Unchanged</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>MDD</td>
<td>0.24 (4.2)</td>
<td>0.05</td>
<td>0.06</td>
<td>0.4 (1.3)</td>
<td>0.3</td>
<td>0.3</td>
</tr>
<tr>
<td>Other Depression</td>
<td>-1.96 (5.28)</td>
<td>-0.38</td>
<td>-0.37</td>
<td>-0.7 (2.2)</td>
<td>-0.5</td>
<td>-0.3</td>
</tr>
<tr>
<td>Improved</td>
<td>-6.7 (4.91)</td>
<td>-1.33</td>
<td>-1.42</td>
<td>-2.3 (2.1)</td>
<td>-1.4</td>
<td>-1.1</td>
</tr>
</tbody>
</table>

Across the three studies, the standardized response mean ranged from -1.0 to 0.5 for patients who were unchanged or worse, and -2.15 to -1.4 for those who responded or remitted. Mean changes in PHQ-9 showed greater variability: -5.6 to 3.25 for non-responders and -11.15 to -6.7 for those who responded or remitted. The three studies vary on a number important design factors that may explain some of the observed heterogeneity. Effect sizes were calculated over a range of follow-up from 3 to 12 months. Study samples differed in ways that could affect responsiveness, including the proportion with major depressive disorder, the mean age and proportion male. The PHQ-9 was administered in English and German languages. The interview-based comparator differed and definitions of response varied across studies. Finally, study quality differed importantly. Despite these sources of potential variability, the overall results were consistent across studies. Greater clinical improvement as determined by an interview based severity measure was associated with greater improvement on the PHQ-9. Using the GRADE criteria that incorporates study design, consistency and precision of results, publication bias and directness, we judge the body of evidence as moderate quality, downgrading for limitations in study design.
KEY QUESTION 2

The selected systematic review[35] evaluated 23 fair quality RCT’s that compared a second-generation antidepressant to placebo in patients who achieved partial- or full remission after acute phase treatment. Using the quality assessment instrument described in Appendix D, this systematic review met all quality criteria. Included studies generally enrolled patients with a criteria-based diagnosis of major depressive disorder and excluded patients with concurrent psychiatric illness (e.g. substance abuse or anxiety disorder) or severe chronic medical conditions. None of the studies described a VA recruitment site. Four studies recruited patients from primary care and psychiatry outpatient clinics, 12 were conducted in unspecified outpatient clinics; the remaining seven settings were not described. Studies used a randomized discontinuation design, randomizing responders to continued antidepressant or placebo. In all trials, antidepressants were used in the acute phase of treatment; none described adjunctive treatment with non-pharmacological treatment. All but two[42, 43] of the 23 RCT’s continued the same antidepressant or antidepressants at the same dose from acute phase treatment to continuation and maintenance phases. Only studies evaluating second-generation antidepressants were included; a list of these is available in the evidence table (Appendix E). The primary outcomes were relapse and recurrence rates during continuation and maintenance phases. Relapse was generally defined as a Hamilton Depression Rating Scale score exceeding a specified severity level. Secondary outcomes were adverse event rates and treatment discontinuation due to adverse events. A separate analysis included 4 RCT’s that compared antidepressants to each other with regards to rates of relapse after remission; this analysis is not included for further discussion here because it does not relate directly to KQ2.

Because the RCT’s defined their continuation and maintenance phases differently, the authors of the systematic review stratified the studies by treatment duration: less than 1 year after acute phase treatment remission (continuation) and 1 year or more after acute phase treatment remission (maintenance). Results stratified by continuation and maintenance phase treatment are summarized in Table 6. The unadjusted frequency of relapse for continuation phase (12 studies) was 22% for active treatment and 42% for placebo. Heterogeneity among these trials was moderate ($I^2 = 47\%$). The unadjusted frequency of recurrence for maintenance phase (11 studies) was similar to continuation phase treatment: 26% for active treatment and 48% placebo. Heterogeneity for these longer duration studies was also moderate ($I^2=30\%$). Tests for publication bias were not statistically significant for either group of studies. Meta-regression analyses were conducted to evaluate heterogeneity. The duration of open-label treatment before random assignment of responders, the length of the post-randomization phase and type of second-generation antidepressant were not associated with the estimate of effect. The authors concluded that their results provide consistent evidence in favor of antidepressant treatment over placebo in both continuation and maintenance phases.
Table 6. Systematic Review -Summary of findings

<table>
<thead>
<tr>
<th></th>
<th>Unadjusted frequency of relapse/recurrence</th>
<th>Pooled relative risk of relapse/recurrence</th>
<th>Number needed to treat to prevent 1 additional relapse/recurrence</th>
</tr>
</thead>
<tbody>
<tr>
<td>Continuation (&lt;1yr of ongoing treatment)</td>
<td>22% antidepressant 42% placebo</td>
<td>0.54 (95% CI 0.46 to 0.62)</td>
<td>5 (95% CI 4 to 6) over a mean time of 8 months</td>
</tr>
<tr>
<td>Maintenance (≥1yr ongoing treatment)</td>
<td>26% antidepressant 48% placebo</td>
<td>0.56 (95% CI 0.48 to 0.66)</td>
<td>5 (95% CI 4 to 6) over a mean time of 16 months</td>
</tr>
</tbody>
</table>

Adverse events were reported incompletely. The most common adverse events documented in continuation and maintenance phases were headache (weighted mean incidence 15.5%) and nausea (7.4%). Based on data pooled from 18 of the RCT’s, loss to follow up due to adverse events was not statistically significantly different between antidepressant and placebo (relative risk=1.42, CI = 0.92 to 2.20).

The primary limitation of this review is the lack of studies designed to specifically answer our study question – the minimum duration of continued treatment to prevent relapse or recurrence. Only one study[44] randomized patients in remission to varying durations (14, 30 or 50 weeks) of continuation phase antidepressant or placebo. Relapse rates were significantly lower for patients on active treatment at 14 weeks (26% vs. 49%), and 38 weeks (9% vs. 23%) but not at 50 weeks (11% vs. 16%). Only 62 patients were randomized to 50 weeks treatment and the finding of no benefit is inconsistent with the overall body of evidence. A second limitation is incomplete descriptions of the study setting, recruitment approach and patient clinical and demographic characteristics. Careful descriptions of study populations, including risk factors for relapse would help decision makers apply these data. If patients included were at particularly high risk, then the estimates of baseline risk (from the placebo control groups) would not apply to patients with uncomplicated depression at low risk for relapse. Thus, the absolute benefit and number needed to treat (NNT) could be overstated.

Our search for additional RCT’s identified three eligible studies published since the systematic review. The Prevention of Recurrent Episodes of Depression with Venlafaxine for Two Years (PREVENT) was a multi-phase, double-blind, placebo-controlled study of patients with recurrent MDD. Analyses from two phases of this larger study[37, 39] were relevant to KQ2. In the first phase,[37] participants who maintained a satisfactory response or clinical remission after acute phase and six months continuation phase treatment were randomized to 12-month maintenance treatment with venlafaxine ER or placebo. Venlafaxine ER was associated with a statistically significantly lower recurrence rate at 12-month follow-up (23.1% vs. 42.0%). The study had significantly higher loss to follow-up in the placebo group, which may have underestimated the difference between relapse rates. In the second phase[39] patients maintaining response at 12 months in phase 1 were re-randomized into a second 12 month course of venlafaxine ER or placebo. Failure to maintain response was defined as an increase in maintenance dose to 300mg/day or recurrence (HDRS-17 score > 12 and reduction of <= 50% from acute-phase baseline). Kaplan-Meier probability estimates for maintaining response across the combined 2 years of maintenance therapy were 67% for venlafaxine ER <= 225 mg/day and 41% for placebo (P =
0.007). This second report from the PREVENT study was of fair quality and did not report an analysis of patients lost to follow up in placebo or antidepressant groups, thus limiting applicability of its conclusions.

A good quality RCT[38] reported the results of a 24 week randomized controlled trial of escitalopram (10-20 mg per day) versus placebo in older adults who responded to a 12 week trial of open label escitalopram for treatment of a major depressive episode. The proportion of patients who relapsed within 24 weeks was significantly higher in the placebo group (33%; 50 patients) than in the escitalopram group (9%; 13 patients), (p<0.001). A small, fair quality RCT[36] reported the one-year follow up of 106 patients who had responded to 16 weeks of treatment with paroxetine, cognitive therapy, or behavioral activation. Of the 49 responders allocated randomly to either continued paroxetine treatment (n=28) or to placebo (n=21), relapse rates were 53% for antidepressant medication and 59% for placebo.

These additional studies support the findings of the systematic review. Continued treatment for 1 to 2 years after achieving partial- or full-remission with second-generation antidepressants decreases the risk of relapse or recurrence by almost 50%. Based on RCT’s with some important limitations, generally consistent results and a precise estimate of effect, we grade the overall strength of evidence for this finding is moderate.
SUMMARY AND DISCUSSION

For KQ1, we only found studies addressing the responsiveness of the PHQ-9 and PHQ-2. In these few studies, there was a consistent association between PHQ-9 change scores and interview-based assessments of clinical status. The single study comparing the PHQ-9 and PHQ-2 showed comparable responsiveness. One study[34] included VA settings and is directly applicable to VA populations. In this study, a direct comparison of PHQ-9 to a longer questionnaire showed comparable responsiveness. Another study conducted relevant subgroup analyses with the German language version of the PHQ-9 and found similar responsiveness for important subgroups including men and women, and patients with comorbid medical conditions. A single study examined the minimum clinically important difference (MCID) and conservatively estimated this value as a 5 point change. This finding is consistent with other studies that use cross-sectional analyses to infer the MCID.[41] In summary, the PHQ-9 is the best validated instrument for identifying depressed patients in primary care [14-16]and for detecting clinically important response to treatment.

A recent literature synthesis [8] identified baseline and follow-up assessment of depression symptoms with a standardized scale as key features of effective depression care. The PHQ-9 appears well suited for this purpose and has been used in large VA evaluations of depression care.[45] Based on a single study conducted in Germany, the PHQ-2 appears responsive to change but only tracks two criterion symptoms and does not include an assessment of suicidal ideation. Based on this limited data and concerns about inadequate clinical data, the PHQ-2 alone cannot be recommended to monitor treatment response for clinical purposes. It may be useful for research studies when very brief instruments are needed. Our review was based in part on the assumption that questionnaires need to be brief to allow for both self-administration and interview administration in person or by telephone as is often done in integrated mental health-primary care models. Other, longer instruments may be preferred if the data collection burden can be eased through interactive voice response, web-based applications or scanable forms and the instrument has superior clinical content, better responsiveness or a better defined minimum clinically important difference. In addition, the response burden would need to be acceptable to patients.

Qualitative studies show that patients favor questionnaires to measure depression severity but general practitioners in the UK were cautious about the validity and utility of these measures and skeptical about the motives behind their introduction.[46] General practitioners specifically valued clinical judgment more than objective assessment. Practitioners were aware of the potential for manipulation of indicators for economic reasons. In the U.S.A., the PHQ-9 has been successfully implemented into primary care and psychiatric practices as part of quality improvement studies[47] and pragmatic clinical trials.[48, 49] These findings suggest that successful implementation of the PHQ-9 (or any other measure) will need to address attitudinal barriers and provide logistical support to integrate PHQ-9 administration into routine clinical processes.

For KQ2, the high quality systematic review[35] and 2 of the most recent relevant RCT’s provide moderately strong evidence that continued antidepressant treatment decreases the
risk of subsequent relapse for patients with MDD who achieve partial- or full-remission. The magnitude of risk reduction was similar for shorter- and longer-term trials and maintained for up to 2 years. The number needed to treat to prevent one relapse over a mean time of 8 or 16 months was 5. However, these trials do not directly answer our question about the minimum duration of continued antidepressant treatment since they report the average risk reduction over these time periods. In the single trial randomizing patients to differing durations of continuation treatment, the risk reduction was similar for 14 and 38 weeks but declined by 50 weeks. More studies utilizing this design in patients with various risks of relapse would better address the issue of minimum duration.

At the individual patient level, the decision for how long to continue antidepressant treatment should be based on effectiveness, adverse effects and patient preferences. These studies show clinically important risk reduction and adverse event rates similar to or slightly lower than acute phase treatment studies. A comprehensive review of adverse effects was beyond the scope of this study, but a careful evaluation of long-term adverse effects would be important to an accurate assessment of net benefit. Emerging evidence from observational studies suggest that newer antidepressants may increase the risk of osteoporosis[12, 13] or gastrointestinal bleeding in patients with concurrent non-steroidal anti-inflammatory drugs or low dose aspirin. Given the high rates of indicated aspirin use in the veteran population, a careful weighing of benefits and risks is needed and will depend in part on the patient’s baseline risk of relapse or recurrence. As baseline risk of relapse increases, the absolute benefit increases. Since these studies appeared to enroll patients primarily from mental health settings, these patients may have been at higher risk than the average primary care patient with major depression. However two factors argue for applicability to primary care. First, the trials excluded patients with concurrent psychiatric conditions that may have increased the risk of relapse. Second, the large primary care based study by Unutzer et al.[50] included VA settings and found that almost three-quarters of patients with major depression had at least two prior episodes, a strong predictor of relapse risk. The current APA guidelines recommend at least 16-20 weeks of continuation treatment after remission is achieved and a judgment about maintenance treatment that is individually tailored to the patient. Other guidelines recommend longer treatment in patients at elevated risk. A key point and one that may require increased attention in primary care is need for a careful assessment of relapse risk when making the decision about continuing antidepressants beyond the acute and continuation phase treatment.

LIMITATIONS

Our review has a number of potential limitations. First, there are no validated search strategies to identify the literature for KQ1, increasing the risk that we may have missed relevant studies. Second, there were insufficient studies to do quantitative evaluations for publication bias or statistical heterogeneity. In addition, these types of studies are not typically included in clinical trials registries, further limiting our ability to detect publication bias. Third, we did not include studies that examined simple change in depression scores without a comparator to an interview-based measure of response. These studies could provide some, although less convincing evidence for responsiveness. Finally, the same author (Lowe) used three separate datasets from different study populations to conduct the relevant analyses. Replication by multiple investigators could increase confidence in the results.
For KQ2, the search strategy did not include foreign-language or articles published outside the Medline® database, potentially excluding relevant findings. However, the systematic review that was identified was recent, high quality, and addressed heterogeneity and publication bias. The systematic review looked to compare relapse rates for placebo and antidepressants during continuation and maintenance phase, but did not address directly the optimal duration of treatment. The two higher quality RCT’s we identified found results consistent with the systematic review. Finally, we did not address first-generation antidepressants.

**Conclusions**

Table 7. Summary of Systematic Evidence Review by Key Question

<table>
<thead>
<tr>
<th>KQ</th>
<th>Key Question</th>
<th>Type of Evidence</th>
<th>Quality of Evidence</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Responsiveness of depression questionnaires</td>
<td>Observational</td>
<td>Moderate</td>
<td>PHQ-9 is responsive to change (mean change -11.2 to -6.7 for responders; standardized response mean -2.15 to -1.4)</td>
</tr>
<tr>
<td>2</td>
<td>Minimum duration of continued antidepressant treatment in patients achieving remission</td>
<td>RCT’s</td>
<td>Moderate</td>
<td>Continued antidepressant treatment decreases the risk of relapse by 0.54 to 0.56 for up to two years (Number needed to treat = 5)</td>
</tr>
</tbody>
</table>
FUTURE RESEARCH

Although moderately strong evidence shows the PHQ-9 is sensitive to change, studies that use receiver operating characteristic analysis to determine how well specific change scores classify patients into improved and unchanged or worse would be useful. These studies could help establish the minimum clinically important difference, which currently is based on limited data. Since data are limited in important subgroups (e.g., medical comorbidity, psychiatric comorbidity), studies evaluating responsiveness in key subgroups could also strengthen validity. Brief depression questionnaires, such as the PHQ-9, could be used in VA for performance measurement. Performance indicators could include: baseline administration at diagnosis (as an indicator of careful diagnostic assessment), administration longitudinally (as an indicator of careful follow-up), change scores or proportion achieving clinical response, or linked indicators that examine changes in treatment matched to changes in severity scores. Studies to examine the feasibility, acceptability to patients and clinicians, validity and impact on process of care and patient outcomes could help inform policy. If undertaken, these studies should include provisions for evaluating any unexpected consequences of introducing these measures into routine practice.

Although it is clear that continued antidepressant treatment beyond the acute phase decreases relapse, the optimal duration of treatment remains uncertain. Some clinical guidelines recommend that maintenance treatment duration should be customized based on risk factors for relapse, but the randomized trials we reviewed did not examine a risk factor based strategy. Future studies should carefully describe patient characteristics, such as number of prior depressive episodes that may predict relapse. These data would aid clinicians in applying these data and could help explain heterogeneity in treatment effects. Most importantly, analysis of a timeline for patients during continuation and maintenance phase would be most informative, documenting critical periods of increased relapse if they exist and measuring the balance between adverse effects and beneficial effects as patients stay on the antidepressant versus placebo treatment. It would also be informative to compare the different second-generation antidepressants, as well as compare first and second generation antidepressants.
Determining the Responsiveness of Depression Questionnaires and Optimal Treatment Duration for Antidepressant Medications

Evidence-based Synthesis Program

Figure 2. Key Question #1 Literature Flow

Figure 3. Key Question #2 Literature Flow

Figure 4. Key Question #2 Randomized Control Trials Literature Flow
REFERENCES (TEXT AND APPENDICES)

Determining the Responsiveness of Depression Questionnaires and Optimal Treatment Duration for Antidepressant Medications


Determining the Responsiveness of Depression Questionnaires and Optimal Treatment Duration for Antidepressant Medications

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